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ON GROWTH OF  
HOLOMORPHIC EMBEDDINGS  
INTO  $\mathbb{C}^2$

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# ON GROWTH OF HOLOMORPHIC EMBEDDINGS INTO $\mathbb{C}^2$

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## 1. The result

Let  $\Delta$  be the open unit disc in  $\mathbb{C}$ . A holomorphic embedding  $f: \Delta \rightarrow \mathbb{C}^3$  can grow arbitrarily fast near  $b\Delta$ . In fact, it is not difficult to see that given a sequence  $R_n$  of positive numbers that increases to 1 and a sequence  $C_n$  of positive numbers that increases to  $+\infty$  there is a holomorphic map  $g: \Delta \rightarrow \mathbb{C}^2$  such that  $|g(\zeta)| \geq C_n$  if  $R_n \leq |\zeta| < 1$ ,  $n \in \mathbb{N}$ . Taking  $\zeta$  as the third component produces such an embedding  $f$ . Whether the same holds for holomorphic embeddings  $f: \Delta \rightarrow \mathbb{C}^2$  is a much harder problem since already to find a proper holomorphic embedding  $f: \Delta \rightarrow \mathbb{C}^2$  without additional properties is not easy [St, A, GS].

In the present note we show that a holomorphic embedding  $f: \Delta \rightarrow \mathbb{C}^2$  can grow arbitrarily fast near  $b\Delta$ . We also show that a holomorphic embedding  $f: \mathbb{C} \rightarrow \mathbb{C}^2$  can grow arbitrarily fast near infinity.

**Theorem 1.1** *Let  $C_n$  be an increasing sequence of positive numbers converging to  $+\infty$ .*

(a) *Given a strictly increasing sequence  $R_n$  of positive numbers converging to 1 there is a holomorphic embedding  $f: \Delta \rightarrow \mathbb{C}^2$  such that  $|f(\zeta)| \geq C_n$  ( $R_n \leq |\zeta| < 1$ ,  $n \in \mathbb{N}$ ).*

(b) *Given a strictly increasing sequence  $R_n$  of positive numbers converging to  $+\infty$  there is a holomorphic embedding  $f: \mathbb{C} \rightarrow \mathbb{C}^2$  such that  $|f(\zeta)| \geq C_n$  ( $|\zeta| \geq R_n$ ,  $n \in \mathbb{N}$ ).*

A *holomorphic embedding* is a holomorphic immersion which is one to one. Since  $C_n$  above increase to  $+\infty$  the maps  $f$  in the theorem are proper. So in (a),  $f(\Delta)$  is a (closed) complex submanifold of  $\mathbb{C}^2$  which is, via the map  $f$ , biholomorphically equivalent to  $\Delta$ , and in (b),  $f(\mathbb{C})$  is a (closed) complex submanifold of  $\mathbb{C}^2$  which is, via  $f$ , biholomorphically equivalent to  $\mathbb{C}$ .

In the proof we shall use alternating sequences of shear automorphisms of  $\mathbb{C}^2$  of the form

$$\begin{aligned} \Theta_n(z, w) &= (z, w + L_n(z/\lambda_n)^{N_n}) \text{ if } n \text{ is odd} \\ \Theta_n(z, w) &= (z + L_n(w/\lambda_n)^{N_n}, w) \text{ if } n \text{ is even} \end{aligned} \tag{1.1}$$

where  $N_n$  is a sequence of positive integers and  $L_n, \lambda_n$  are sequences of positive numbers. Such sequences (with  $\lambda_n = L_{n-1}$ ) were used in [GS] and later in [S, G1, G2, G3].

## 2. Proof of (b)

**Part 1.** We shall start with the embedding  $g_1(\zeta) = (0, \zeta)$  and then apply the sequence  $\Theta_n$  from (1.1). Given  $n \in \mathbb{N}$ ,  $n \geq 2$ , let  $g_n = \Theta_n \circ \Theta_{n-1} \circ \cdots \circ \Theta_2 \circ g_1 = (P_n, Q_n)$ . Clearly  $P_n, Q_n$  are polynomials,  $P_{n+1} = P_n$  for even  $n$ ,  $Q_{n+1} = Q_n$  for odd  $n$ . Let  $S_n, T_n$  be the leading coefficients of  $P_n, Q_n$  so that  $P_n = S_n p_n$ ,  $Q_n = T_n q_n$  where  $p_n, q_n$  are polynomials with the leading coefficients 1. Put  $N_1 = 1$ . Clearly  $\deg(p_n) = N_1 N_2 \cdots N_n$  for even  $n$  and  $\deg(q_n) = N_1 N_2 \cdots N_n$  for odd  $n$ . We shall choose  $L_n, \lambda_n$  and  $N_n$  in such a way that our embedding  $f$  will be the limit, uniform on compacta in  $\mathbb{C}$ , of the sequence  $g_n$ .

Before we begin with the proof we describe the main point of a typical induction step. Suppose that  $n$  is odd. We pass from  $(P_n, Q_n) = (S_n p_n, T_n q_n)$  to

$$\begin{aligned} (P_{n+1}, Q_{n+1}) &= \left( P_n + L_{n+1} \left( \frac{Q_n}{T_n R_n^{N_1 \cdots N_n}} \right)^{N_{n+1}}, Q_n \right) \\ &= \left( P_n + L_{n+1} \left( \frac{q_n}{R_n^{N_1 \cdots N_n}} \right)^{N_{n+1}}, Q_n \right). \end{aligned}$$

Suppose for a moment that  $q_n(\zeta) = \zeta^{N_1 \cdots N_n}$ . Then

$$\left| \frac{q_n(\zeta)}{R_n^{N_1 \cdots N_n}} \right| = 1 \quad \text{iff} \quad |\zeta| = R_n.$$

However, our  $q$  is a monomial only if  $n = 1$ . We choose the constants in our process so that

$$\left\{ \zeta : \left| \frac{q_n(\zeta)}{R_n^{N_1 \cdots N_n}} \right| = 1 \right\} \subset R_{n+1} \Delta \setminus R_{n-1} \overline{\Delta}.$$

This is achieved mainly with choosing  $L_{n+1}$  at each step large enough. The preceding inclusion implies that choosing  $N_{n+1}$  large enough,  $P_{n+1} - P_n$  will be arbitrarily small on  $R_{n-1} \overline{\Delta}$  (which we need for convergence and to achieve that the limit map is one to one and regular) and  $P_{n+1}$  will be arbitrarily large on  $\mathbb{C} \setminus R_{n+1} \Delta$  (which we need to achieve the prescribed growth).

**Part 2.** It is enough to prove that if  $R_n = 5^n$ , and if  $C_n$  is an increasing sequence of positive numbers then there is a holomorphic embedding  $f: \mathbb{C} \rightarrow \mathbb{C}^2$  such that  $|f(\zeta)| \geq C_n$  ( $|\zeta| \geq 2R_n$ ,  $n \in \mathbb{N}$ ).

Assume for a moment that we have already constructed the sequences  $L_n, \lambda_n, N_n$  and a decreasing sequence  $\varepsilon_n$ ,  $0 < \varepsilon_n < 1$ , with the following properties:

$$\text{If } n \in \mathbb{N} \text{ is odd and } |q_n(\zeta)| = R_n^{N_1 \cdots N_n} \text{ then } R_n/2 < |\zeta| < 2R_n, \quad (2.1')$$

$$\text{if } n \in \mathbb{N} \text{ is even and } |p_n(\zeta)| = R_n^{N_1 \cdots N_n} \text{ then } R_n/2 < |\zeta| < 2R_n, \quad (2.1'')$$

$$|Q_{n+1}(\zeta)| \geq C_n + 1 \text{ if } n \text{ is even and } |p_n(\zeta)| \geq R_n^{N_1 \cdots N_n} \quad (2.2')$$

$$|P_{n+1}(\zeta)| \geq C_n + 1 \text{ if } n \text{ is odd and } |q_n(\zeta)| \geq R_n^{N_1 \cdots N_n} \quad (2.2'')$$

and

$$\left. \begin{aligned} &|P_{n+2}(\zeta) - P_{n+1}(\zeta)| < \frac{\varepsilon_{n+1}}{2^{n+1}} \quad (|\zeta| \leq 2R_n, \text{ } n \text{ even}) \\ &\text{- note that } Q_{n+2} = Q_{n+1} \text{ in this case} \end{aligned} \right\} \quad (2.3')$$

and

$$\left. \begin{aligned} &|Q_{n+2}(\zeta) - Q_{n+1}(\zeta)| < \frac{\varepsilon_{n+1}}{2^{n+1}} \quad (|\zeta| \leq 2R_n, \text{ } n \text{ odd}) \\ &\text{- note that } P_{n+2} = P_{n+1} \text{ in this case} \end{aligned} \right\} \quad (2.3'')$$

and

$$\left. \begin{array}{l} \text{if } g \text{ is a holomorphic map on } R_{n-1}\Delta \text{ satisfying } |g - g_n| < \varepsilon_n \\ \text{on } R_{n-1}\Delta \text{ then } g \text{ is one to one and regular on } R_{n-2}\Delta. \end{array} \right\} \quad (2.4)$$

By (2.3)  $g_n$  converges, uniformly on compacta in  $\mathbb{C}$ , to a holomorphic map  $f = (P, Q): \mathbb{C} \rightarrow \mathbb{C}^2$ . Since (2.3) implies that

$$|g_{j+1}(\zeta) - g_j(\zeta)| < \frac{\varepsilon_j}{2^j} \quad (|\zeta| \leq R_{j-1}, j \in \mathbb{N}),$$

it follows that  $|f(\zeta) - g_n(\zeta)| < \varepsilon_n$  ( $\zeta \in R_{n-1}\Delta$ ) which, by (2.4) implies that  $f$  is one to one and regular on  $R_{n-2}\Delta$ . This holds for every  $n$ , so  $f$  is one to one and regular on  $\mathbb{C}$ .

Suppose that  $n$  is even and that  $\zeta \in (2R_{n+1}\overline{\Delta}) \setminus 2R_n\Delta$ . Since  $\zeta \notin 2R_n\Delta$  (2.1) implies that  $|p_n(\zeta)| > R_n^{N_1 \cdots N_n}$  which, by (2.2) implies that  $|Q_{n+1}(\zeta)| \geq C_n + 1$ . On the other hand, since  $\zeta \in 2R_{n+1}\overline{\Delta} \subset 2R_m\overline{\Delta}$  ( $m \geq n+1$ ) it follows by (2.3) that  $Q_{j+1} = Q_j$  for odd  $j$  and  $|Q_{j+1}(\zeta) - Q_j(\zeta)| < \varepsilon_j/2^j$  for even  $j$ ,  $j \geq n+1$ , which implies that  $|f(\zeta)| \geq |Q(\zeta)| \geq |Q_{n+1}(\zeta)| - \sum_{j \geq n+1} |Q_{j+1}(\zeta) - Q_j(\zeta)| \geq C_n + 1 - \sum_{j \in \mathbb{N}} 2^{-j} = C_n$ . Thus,  $|f(\zeta)| \geq C_n$  ( $\zeta \in (2R_{n+1}\overline{\Delta}) \setminus 2R_n\Delta$ ) for even  $n$ . For odd  $n$  this is proved in the same way. Since  $C_n$  and  $R_n$  are increasing it follows that  $|f(\zeta)| \geq C_n$  ( $|\zeta| \geq 2R_n$ ).

It remains to prove the existence of the sequences  $\Theta_n$  and  $\varepsilon_n$  with the above properties. To do this we need

**Lemma 2.1** *Let  $S_0 > S > 0$ . Let  $p$  be a nonconstant polynomial and let  $q$  be a polynomial. Given  $\varepsilon$ ,  $0 < \varepsilon < 1$ , there are  $T_0$  and  $N_0$  such that if  $T \geq T_0$ ,  $N \geq N_0$ , and  $S_1 \geq S_0$ , then*

$$q(\zeta) + T \left( \frac{p(\zeta)}{S} \right)^N = T \left( \frac{S_1}{S} \right)^N$$

implies that

$$(1 - \varepsilon)S_1 < |p(\zeta)| < (1 + \varepsilon)S_1.$$

**Proof of (b), Part 3.** We now prove the existence of  $\Theta_n$  and  $\varepsilon_n$  with the required properties.

Choose a decreasing sequence  $\delta_n$ ,  $0 < \delta_n < 1/2$ , such that

$$\prod_{n=1}^{\infty} (1 - \delta_n) > \frac{1}{2}, \quad \prod_{n=1}^{\infty} (1 + \delta_n) < 2. \quad (2.5)$$

We shall show that one can construct our  $\Theta_n$  in such a way that, in addition to the properties above, our polynomials satisfy the following:

$$\left. \begin{array}{l} \text{if } R \geq R_n/2 \text{ then } R \prod_{j=1}^n (1 - \delta_j) < |\zeta| < R \prod_{j=1}^n (1 + \delta_j) \text{ provided that} \\ \text{either } n \text{ is even and } |p_n(\zeta)| = R^{N_1 \cdots N_n} \text{ or } n \text{ is odd and } |q_n(\zeta)| = R^{N_1 \cdots N_n}. \end{array} \right\} \quad (2.6)$$

Note that this, together with (2.5), implies (2.1).

To begin the induction, put  $g_1(\zeta) = (0, \zeta)$ ,  $R_0 = 1$ ,  $R_{-1} = 1/5$ . Choose  $\varepsilon_1$ ,  $0 < \varepsilon_1 < 1/2$ , such that (2.4) holds for  $n = 1$ . Let  $\Theta_2(z, w) = (z + L_2(w/R_1)^{N_2}, w)$  where  $L_2$  is so large that (2.2) holds for  $n = 1$  and for every  $N_2 \in \mathbb{N}$  and then choose  $N_2$  so large that (2.3'') holds for  $n = 0$ . Note that (2.6) holds for  $n = 1$ .

Suppose that  $n$  is odd and that we have already constructed  $\varepsilon_{n-1}$  and  $(P_n, Q_n) = (S_n p_n, T_n q_n)$  such that (2.6) holds. Choose  $\varepsilon_n$ ,  $0 < \varepsilon_n < \varepsilon_{n-1}$  so small that (2.4) holds. We shall choose  $L_{n+1}$  and  $N_{n+1}$  such that  $\Theta_{n+1}$  given by

$$\Theta_{n+1}(z, w) = \left( z + L_{n+1} \left( \frac{w}{T_n R_n^{N_1 \cdots N_n}} \right)^{N_{n+1}}, w \right)$$

will have the required properties. We have

$$Q_{n+1} = Q_n, \quad P_{n+1} = P_n + L_{n+1} \left( \frac{q_n}{R_n^{N_1 \cdots N_n}} \right)^{N_{n+1}}.$$

By (2.6),  $|q_n(\zeta)/R_n^{N_1 \cdots N_n}| = 1$  implies that  $R_n \prod_{j=1}^n (1 - \delta_j) < |\zeta|$ . Since, by (2.5),  $2R_{n-1} < R_n/2 < R_n \prod_{j=1}^n (1 - \delta_j)$  it follows that there is an  $\eta$ ,  $0 < \eta < 1$ , such that

$$\left| \frac{q_n(\zeta)}{R_n^{N_1 \cdots N_n}} \right| \leq \eta \quad (|\zeta| \leq 2R_{n-1}). \quad (2.7)$$

Since  $R_n < R_{n+1}/2$  Lemma 2.1 provides  $A_0 < \infty$  and  $M_0 \in \mathbb{N}$ ,  $M_0 \geq 2$ , such that whenever  $L_{n+1} \geq A_0$  and  $N_{n+1} \geq M_0$ , for every  $R \geq R_{n+1}/2$  the set

$$\begin{aligned} \mathcal{S}(R, L_{n+1}, N_{n+1}) &= \left\{ \zeta : \left| P_n(\zeta) + L_{n+1} \left( \frac{q_n(\zeta)}{R_n^{N_1 \cdots N_n}} \right)^{N_{n+1}} \right| = L_{n+1} \left( \frac{R^{N_1 \cdots N_n}}{R_n^{N_1 \cdots N_n}} \right)^{N_{n+1}} \right\} \\ &= \{ \zeta : |p_{n+1}(\zeta)| = R^{N_1 \cdots N_{n+1}} \} \end{aligned}$$

is contained in the set

$$\{ \zeta : ((1 - \delta_{n+1})R)^{N_1 \cdots N_n} < |q_n(\zeta)| < ((1 + \delta_{n+1})R)^{N_1 \cdots N_n} \}$$

which, by (2.6), is contained in the set  $\{ \zeta : R \prod_{j=0}^{n+1} (1 - \delta_j) < |\zeta| < R \prod_{j=0}^{n+1} (1 + \delta_j) \}$ . Thus (2.6) with  $n$  replaced by  $n + 1$ , will hold whenever  $L_{n+1} \geq A_0$  and  $N_{n+1} \geq M_0$ .

Passing to a larger  $L_{n+1}$  if necessary we may assume that

$$L_{n+1} \left| \frac{q_n(\zeta)}{R_n^{N_1 \cdots N_n}} \right|^{N_{n+1}} - |P_n(\zeta)| > C_{n+1}$$

whenever  $N_{n+1} \geq 2$  and  $|q_n(\zeta)| \geq R_n^{N_1 \cdots N_n}$  which implies (2.2). Choosing  $N_{n+1}$  so large that  $L_{n+1} \eta^{N_{n+1}} < \varepsilon_n$  will, together with (2.7), imply (2.3). This completes the proof of the induction step for odd  $n$ . The proof for even  $n$  is similar. The proof of (b) is complete.

**Proof of Lemma 2.1.** The equality  $q + T(p(\zeta)/S)^N = T(S_1/S)^N$  implies that

$$q/T + (p/S)^N = (S_1/S)^N. \quad (2.8)$$

Choose  $N_0$  so large that  $(S_0/S)^{N_0} \geq 2$ . Let  $S_1 \geq S_0$ . Put  $\mathcal{T} = \{\zeta : |p(\zeta)/S| \leq 1\}$ . Since  $p$  is not a constant  $\mathcal{T}$  is a compact set. Choose  $T_0$  so large that  $|q/T_0| \leq 1/2$  on  $\mathcal{T}$ . Let  $N \geq N_0$ . Since  $(S_1/S)^N \geq (S_0/S)^{N_0} \geq 2$  it follows that (2.8) has no solution in  $\mathcal{T}$  whenever  $T \geq T_0$  and  $N \geq N_0$ .

If  $T \geq T_0$  and  $\zeta \in \mathbb{C} \setminus \mathcal{T}$  satisfies (2.8) then

$$(p(\zeta)/S)^N \left(1 + \frac{q(\zeta)/T}{(p(\zeta)/S)^N}\right) = (S_1/S)^N. \quad (2.9)$$

Passing to a larger  $N_0$  we may assume that  $\deg(q) < \deg(p^{N_0})$ . Fix such an  $N_0$ . One can choose  $T_0$  so large that

$$\left| \frac{q(\zeta)/T}{(p(\zeta)/S)^N} \right| < \omega \quad \text{whenever } T \geq T_0, N \geq N_0 \text{ and } \zeta \in \mathbb{C} \setminus \mathcal{T}$$

where  $\omega > 0$  is so small that  $1 - \varepsilon < (1 + \omega)^{-1/N_0}$ ,  $1 + \varepsilon > (1 - \omega)^{-1/N_0}$ . Now (2.9) implies that

$$|(p(\zeta)/S)^N|(1 - \omega) \leq (S_1/S)^N \leq |(p(\zeta)/S)^N|(1 + \omega)$$

so

$$(S_1/S)(1 + \omega)^{-1/N} \leq |p(\zeta)/S| \leq (S_1/S)(1 - \omega)^{-1/N}.$$

It follows that  $(1 - \varepsilon)S_1 \leq |p(\zeta)| \leq (1 + \varepsilon)S_1$ . This completes the proof.

### 3. Proof of (a)

We shall need the following lemma.

**Lemma 3.1** *Let  $p, q$  be polynomials. Assume that  $p$ , as a map from  $\mathbb{R}^2$  to  $\mathbb{R}^2$  is transverse to  $b\Delta$  and assume that  $p^{-1}(b\Delta) = \{\rho_p(\zeta)\zeta : \zeta \in b\Delta\}$  where  $\rho_p$  is a smooth positive function on  $b\Delta$ . Given  $\varepsilon > 0$  there is a  $\delta > 0$  such that whenever  $0 < t < \delta$  and  $N \in \mathbb{N}$ , the polynomial  $h = p^N + tq$  is transverse to  $b\Delta$  and  $h^{-1}(b\Delta) = \{\rho_h(\zeta)\zeta : \zeta \in b\Delta\}$  where  $\|\rho_h - \rho_p\|_{\mathcal{C}^1(b\Delta)} < \varepsilon$ .*

Lemma 3.1 follows from [G2, Lemma 5.1].

**Proof of (a), Part 1** We observe first that given  $R_n$  and  $C_n$  as in the theorem it is enough to find  $f$  such that for a subsequence  $m(n)$  of  $\mathbb{N}$ ,  $n(1) = 1$ , we have  $|f(\zeta)| \geq C_{n(m)+1}$  ( $R_{n(m)} \leq |\zeta| < 1$ ).

We shall construct our  $L_m$ ,  $\lambda_m$  and  $N_m$  as in the proof of (b) and will obtain our map  $f$  as the limit of the sequence  $f_m = g_m \circ \varphi_m$  where  $\Omega_m = \{\zeta \in \mathbb{C} : |p_m(\zeta)| < 1\}$  ( $m$  even),  $\Omega_m = \{\zeta \in \mathbb{C} : |q_m(\zeta)| < 1\}$  ( $m$  odd) and  $\varphi_m: \Delta \rightarrow \Omega_m$  is the conformal map satisfying  $\varphi_m(0) = 0$ ,  $\varphi'_m(0) > 0$ . Each  $b\Omega_m$ ,  $m \in \mathbb{N}$ , will be of the form  $\{\rho_m(\zeta)\zeta : \zeta \in b\Delta\}$  where  $\rho_m$  is a sequence of smooth positive functions converging in  $\mathcal{C}^1(b\Delta)$  to a positive function  $\rho$  such that  $b\Omega = \{\rho(\zeta)\zeta : \zeta \in b\Delta\}$ . In fact, we shall construct  $g_m$  (and  $\varphi_m$ ) in

such a way that  $g_m$  will converge, uniformly on compacta in  $\Omega$ , the limit of the sequence  $\Omega_n$ , to a holomorphic embedding  $g$  of  $\Omega$  to  $\mathbb{C}^2$ , and  $\varphi_m$  will converge, uniformly on  $\Delta$  to  $\varphi$ , the conformal map from  $\Delta$  to  $\Omega$ , satisfying  $\varphi(0) = 0$ ,  $\varphi'(0) > 0$ , so that  $f = g \circ \varphi$  will have the required growth properties.

Before we begin the proof we describe the main points of a typical induction step. Suppose that  $m$  is even. In the induction step we pass from  $(P_m, Q_m) = (S_m p_m, T_m q_m)$  to  $(P_{m+1}, Q_{m+1})$ . The set  $\Omega_m$  will be a small perturbation of  $\Delta$ , the map  $p_m$  will be transverse to  $b\Delta$  and  $b\Omega_m = \{\zeta: |p_m(\zeta)| = 1\}$  will be a small perturbation of  $b\Delta$ . Let  $\varphi_m$  be as above and let  $D_{mn} = \varphi_m(R_n \Delta)$  ( $m, n \in \mathbb{N}$ ). We have also constants  $t_m$ ,  $0 < t_m < 1$ , and  $\varepsilon_m > 0$  such that if  $\Sigma_m = \{\zeta \in \mathbb{C}: |p_m(\zeta)| < t_m^{N_1 \cdots N_m}\}$  then we have  $D_{m-1, n(m-1)} \subset \Sigma_m \subset \Sigma_m + 2\varepsilon_m \Delta \subset D_{m, n(m)}$ . Let  $W_m = b\Omega_m + 2^{-m} \Delta$ . Choose  $t_{m+1}$ ,  $t_m < t_{m+1} < 1$ , and  $\eta > 0$  such that  $t_{m+1}^{N_1 \cdots N_m} (1 + \eta) < 1$  and that the set  $\mathcal{S}_m = \{\zeta: t_{m+1}^{N_1 \cdots N_m} (1 - \eta) < |p_m(\zeta)| < t_{m+1}^{N_1 \cdots N_m} (1 + \eta)\}$  is contained in  $W_m$ . Now choose  $n(m+1) > n(m)$  and  $\varepsilon_{m+1}$ ,  $0 < \varepsilon_{m+1} < \varepsilon_m$ , such that  $D_{m, n(m)} \subset \subset \{\zeta: |p_m(\zeta)| < t_{m+1}^{N_1 \cdots N_m} (1 - \eta)\}$ , and  $\{\zeta: |p_m(\zeta)| < t_{m+1}^{N_1 \cdots N_m} (1 + \eta)\} + 3\varepsilon_{m+1} \Delta \subset D_{m, n(m+1)}$ . Now pass from  $P_m$  to  $P_{m+1} = P_m$  and from  $Q_m$  to

$$\begin{aligned} Q_{m+1} &= Q_m + L_{m+1} \left( \frac{P_m}{S_m t_m^{N_1 \cdots N_m}} \right)^{N_{m+1}} \\ &= Q_m + L_{m+1} \left( \frac{p_m}{t_m^{N_1 \cdots N_m}} \right)^{N_{m+1}} \\ &= \frac{L_{m+1}}{t_m^{N_1 \cdots N_m N_{m+1}}} \left[ \frac{t_m^{N_1 \cdots N_{m+1}}}{L_{m+1}} Q_m + p_m^{N_{m+1}} \right] \\ &= \frac{L_{m+1}}{t_m^{N_1 \cdots N_m N_{m+1}}} q_{m+1} . \end{aligned}$$

By Lemma 3.1  $b\Omega_{m+1} = \{\zeta: |q_{m+1}(\zeta)| = 1\} = \{\rho_{m+1}(\zeta)\zeta: \zeta \in b\Delta\}$  is an arbitrarily small  $C^1$ -perturbation of  $b\Omega_m$  provided that  $L_{m+1}$  is large enough, independently of  $N_{m+1}$ ; in particular, this will imply that  $b\Omega_{m+1} \subset W_m$  and that  $|\varphi_{m+1} - \varphi_m| < \varepsilon_{m+1}$  on  $\Delta$  provided that  $L_{m+1}$  is large enough [P, p.286] and thus  $\{\zeta: |p_m(\zeta)| < t_{m+1}^{N_1 \cdots N_m} (1 + \eta)\} + 2\varepsilon_{m+1} \Delta \subset D_{m+1, n(m+1)}$ . If in all subsequent steps the constants  $L_{n+1}$  will be chosen large enough  $\varphi - \varphi_m$  will be very small on  $\Delta$ . By choosing  $L_{m+1}$  sufficiently large we get also that  $|Q_{m+1}(\zeta)| \geq C_{n(m+1)} + 1$  outside  $\Sigma_m$  which will be necessary to achieve the prescribed growth.

After choosing  $L_{m+1}$  we choose  $N_{m+1}$  so large that  $Q_{m+1} - Q_m$  will be very small on  $\Sigma_{m-1}$  which we need for the convergence and for the fact that the limit map  $f$  is regular and one to one.

Finally, we pass to an even larger  $N_{m+1}$  to achieve that  $\{\zeta: |q_{m+1}(\zeta)| = t_{m+1}^{N_1 \cdots N_{m+1}}\}$  is contained in  $\mathcal{S}_m$  so that if  $\Sigma_{m+1} = \{\zeta: |q_{m+1}(\zeta)| < t_{m+1}^{N_1 \cdots N_{m+1}}\}$  then  $D_{m, n(m)} \subset \Sigma_{m+1} \subset \Sigma_{m+1} + 2\varepsilon_{m+1} \Delta \subset D_{m+1, n(m+1)}$  and  $b\Sigma_{m+1} \subset W_m$ .

**Part 2.** Assume for a moment that we have already constructed  $L_m, \lambda_m$  and  $N_m$ . Suppose that there are an increasing sequence  $t_m$ ,  $0 < t_m < 1$ , converging to 1, a decreasing

sequence  $\varepsilon_n$ ,  $0 < \varepsilon_n < 1$ , and a subsequence  $n(m) \in \mathbb{N}$ ,  $n(1) = 1$ , such that if

$$\Sigma_m = \{\zeta \in \mathbb{C}: |p_m(\zeta)| < t_m^{N_1 \cdots N_m}\} \text{ (} m \text{ even)}, \quad \Sigma_m = \{\zeta \in \mathbb{C}: |q_m(\zeta)| < t_m^{N_1 \cdots N_m}\} \text{ (} m \text{ odd)}$$

then

- (i')  $|Q_{m+1}(\zeta)| \geq C_{n(m+1)} + 1$  ( $\zeta \in \mathbb{C} \setminus \Sigma_m$ ) if  $m$  is even
- (i'')  $|P_{m+1}(\zeta)| \geq C_{n(m+1)} + 1$  ( $\zeta \in \mathbb{C} \setminus \Sigma_m$ ) if  $m$  is odd
- (ii')  $|Q_{m+1} - Q_m| < \varepsilon_m/2^m$  on  $\Sigma_{m-1}$  if  $m$  is even (recall that  $P_{m+1} = P_m$  in this case)
- (ii'')  $|P_{m+1} - P_m| < \varepsilon_m/2^m$  on  $\Sigma_{m-1}$  if  $m$  is odd (recall that  $Q_{m+1} = Q_m$  in this case)
- (iii) if  $h: \Sigma_{m-1} \rightarrow \mathbb{C}^2$  is a holomorphic map such that  $|h - g_m| < \varepsilon_m$  then  $h$  is one to one and regular on  $\Sigma_{m-2}$
- (iv) the map  $\zeta \mapsto p_m(\zeta)$  is transverse to  $b\Delta$  if  $m$  is even and the map  $\zeta \mapsto q_m(\zeta)$  is transverse to  $b\Delta$  if  $m$  is odd
- (v)  $\Sigma_m + 2\varepsilon_m\Delta \subset D_{m,n(m)} \subset \Sigma_{m+1}$
- (vi)  $|\varphi_{m+1} - \varphi_m| < \varepsilon_m/2^m$  on  $\Delta$
- (vii)  $b\Sigma_{m+1} \subset b\Omega_m + 2^{-m}\Delta$
- (viii)  $b\Omega_{m+1} \subset b\Omega_m + 2^{-m}\Delta$
- (ix) the domains  $\Omega_m = \{\rho_m(\zeta)\zeta: \zeta \in b\Delta\}$  converge to  $\Omega = \{\rho(\zeta)\zeta: \zeta \in b\Delta\}$  in the sense that  $\rho_m$  converge to  $\rho$  in  $\mathcal{C}^1(b\Delta)$ .

By (v),  $\Sigma_m \subset \Sigma_{m+1}$  ( $m \in \mathbb{N}$ ) and by (vii), (viii), and (ix),  $\bigcup_{m=1}^{\infty} \Sigma_m = \Omega$ . By (ii),  $g_m$  converge uniformly on compacta in  $\Omega$  to a holomorphic map  $g = (P, Q)$ . If  $\zeta \in \Sigma_{m-1}$  then by (ii),  $|g(\zeta) - g_m(\zeta)| \leq \sum_{j=m}^{\infty} |g_{j+1}(\zeta) - g_j(\zeta)| \leq \varepsilon_m/2^m + \varepsilon_{m+1}/2^{m+1} + \cdots < \varepsilon_m$  and consequently by (iii),  $g$  is one to one and regular on  $\Sigma_{m-2}$ . Since this holds for every  $m$  it follows that  $g$  is one to one and regular on  $\Omega$ . By (ix) the maps  $\varphi_n$  converge, uniformly on  $\Delta$  to the conformal map  $\varphi: \Delta \rightarrow \Omega$  such that  $\varphi(0) = 0$ ,  $\varphi'(0) > 0$  [P, p.286].

Let  $f = g \circ \varphi$ . The map  $f: \Delta \rightarrow \mathbb{C}^2$  is a one to one, regular holomorphic map. We show that it has the required growth properties. Suppose that  $\zeta \in \Sigma_{m+1} \setminus \Sigma_m$ . If  $m$  is even then (i') implies that  $|Q_{m+1}(\zeta)| \geq C_{n(m+1)} + 1$ . Since  $\zeta \in \Sigma_{m+1}$  (ii') implies that either  $Q_{m+j+1}(\zeta) - Q_{m+j}(\zeta) = 0$  when  $j \in \mathbb{N}$  is odd or  $|Q_{m+j+1}(\zeta) - Q_{m+j}(\zeta)| < \varepsilon_{m+j}/2^{m+j}$  when  $j \in \mathbb{N}$  is even. Thus,  $|Q(\zeta)| \geq |Q_{m+1}(\zeta)| - \sum_{j=1}^{\infty} |Q_{m+j+1}(\zeta) - Q_{m+j}(\zeta)| \geq |Q_{m+1}(\zeta)| - \sum_{j=1}^{\infty} \varepsilon_{m+j}/2^j \geq C_{n(m+1)} + 1 - 1 = C_{n(m+1)}$ . Since the sequence  $C_j$  is increasing it follows that  $|Q(\zeta)| \geq C_{n(m+1)}$  ( $\zeta \in \Omega \setminus \Sigma_m$ ). In the same way, using (ii''), we get  $|P(\zeta)| \geq C_{n(m+1)}$  ( $\zeta \in \Omega \setminus \Sigma_m$ ,  $m$  odd). Thus,  $|g(\zeta)| \geq C_{n(m+1)}$  ( $\zeta \in \Omega \setminus \Sigma_m$ ;  $m \in \mathbb{N}$ ).

Suppose that  $\zeta \in \Delta \setminus R_{n(m)}\Delta$ . Then  $\varphi_m(\zeta) \notin D_{m,n(m)}$ . By (vi),  $|\varphi_{m+j+1}(\zeta) - \varphi_{m+j}(\zeta)| < \varepsilon_{m+j}/2^{m+j}$  ( $j \geq 0$ ) so  $|\varphi(\zeta) - \varphi_m(\zeta)| \leq \sum_{j=0}^{\infty} |\varphi_{m+j+1}(\zeta) - \varphi_{m+j}(\zeta)| \leq \varepsilon_m/2^m + \varepsilon_{m+1}/2^{m+1} + \cdots < \varepsilon_m$  which, by (v), implies that  $\varphi(\zeta) \notin \Sigma_m + \varepsilon_m\Delta$ . The preceding discussion implies that  $|f(\zeta)| = |g(\varphi(\zeta))| \geq C_{n(m+1)}$ .

**Part 3.** It remains to prove the existence of  $\Theta_m, t_m, \varepsilon_m$  and  $n(m)$  with the properties above. To start the induction, put  $g_1(\zeta) = (P_1(\zeta), Q_1(\zeta)) = (0, \zeta)$ ,  $\Sigma_{-1} = \Sigma_0 = \emptyset$ ,  $n(1) = 1$ , and  $t_1 = R_1/2$  so that  $\Sigma_1 = (R_1/2)\Delta$ . Clearly  $\Omega_1 = \Delta$ ,  $\varphi_1 = \text{id}$ ,  $D_{11} = R_1\Delta$ . Choose  $\varepsilon_1$ ,  $0 < \varepsilon_1 < 1$  so that the left inclusion in (v) for  $m = 1$  holds. Obviously (iii) and (iv) are satisfied for  $m=1$ .



Suppose that  $m$  is even and that we have already constructed  $\Theta_1, \dots, \Theta_m, t_1, \dots, t_m, \varepsilon_{m-1}$ , and  $n(m)$  such that

$$\overline{\Sigma_m} \subset D_{m,n(m)} \quad (3.1)$$

and such that (iv) holds and (v) holds for  $m-1$  in the place of  $m$ . Choose  $\varepsilon_m$ ,  $0 < \varepsilon_m < \varepsilon_{m-1}$ , so small that (iii) and the left inclusion in (v) hold. We shall choose  $L_{m+1}$  and  $N_{m+1}$  such that  $\Theta_{m+1}$  given by

$$\Theta_{m+1}(z, w) = \left( z, w + L_{m+1} \left( \frac{z}{S_m t_m^{N_1 \dots N_m}} \right)^{N_{m+1}} \right)$$

will have the required properties.

Let  $W_m = b\Omega_m + 2^{-m}\Delta$ . Since  $\overline{D_{m,n(m)}}$  is a compact subset of  $\Omega_m$  we can choose  $n(m+1) > n(m)$ ,  $t_{m+1}$ ,  $t_m < t_{m+1} < 1$ , and  $\eta > 0$  and pass to a smaller  $\varepsilon_m$  if necessary such that  $t_{m+1}^{N_1 \dots N_m} (1 + \eta) < 1$ , that

$$D_{m,n(m)} \subset \{ \zeta : |p_m(\zeta)| < (1 - \eta) t_{m+1}^{N_1 \dots N_m} \}, \quad (3.2)$$

that

$$\{ \zeta : |p_m(\zeta)| < (1 + \eta) t_{m+1}^{N_1 \dots N_m} \} + 2\varepsilon_m \Delta \subset D_{m,n(m+1)}, \quad (3.3)$$

and that the set  $\mathcal{S}_m = \{ \zeta : t_{m+1}^{N_1 \dots N_m} (1 - \eta) < |p_m(\zeta)| < t_{m+1}^{N_1 \dots N_m} (1 + \eta) \}$  is contained in  $W_m$ . We have

$$\begin{aligned} Q_{m+1} &= Q_m + L_{m+1} \left( \frac{p_m}{t_m^{N_1 \dots N_m}} \right)^{N_{m+1}} \\ &= \frac{L_{m+1}}{t_m^{N_1 \dots N_m N_{m+1}}} \left[ \frac{t_m^{N_1 \dots N_{m+1}}}{L_{m+1}} Q_m + p_m^{N_{m+1}} \right] \end{aligned}$$

so that  $q_{m+1} = p_m^{N_{m+1}} + (t_m^{N_1 \dots N_{m+1}} / L_{m+1}) Q_m$  and  $T_{m+1} = L_{m+1} / t_m^{N_1 \dots N_{m+1}}$ . By (iv) and by Lemma 3.1  $b\Omega_{m+1} = \{ \zeta : |q_{m+1}(\zeta)| = 1 \} = \{ \rho_{m+1}(\zeta) \zeta : \zeta \in b\Delta \}$  will be arbitrarily small  $\mathcal{C}^1$ -perturbation of  $b\Omega_m = \{ \zeta : |p_m(\zeta)| = 1 \} = \{ \rho_m(\zeta) \zeta : \zeta \in b\Delta \}$  independently of  $N_{m+1}$  provided that  $L_{m+1}$  is sufficiently large; in particular,  $b\Omega_{m+1} \subset b\Omega_m + 2^{-m}\Delta$  so that (viii) is satisfied. Moreover, (iv) will hold with  $m$  replaced by  $m+1$ . Choose  $L_{m+1}$  so large that (vi) and (vii) hold for every  $N_{m+1}$ . Passing to an even larger  $L_{m+1}$  if necessary we may assume that (i') holds whenever  $N_{m+1} \geq 2$ .

By (v) with  $m$  replaced by  $m-1$ ,  $\overline{\Sigma_{m-1}}$  is a compact subset of  $\Sigma_m$ . It follows that there is a  $t'_m$ ,  $0 < t'_m < t_m$ , such that  $\overline{\Sigma_{m-1}} \subset \{ \zeta : |p_m(\zeta)| \leq (t'_m)^{N_1 \dots N_m} \}$  which implies that

$$\left| \frac{p_m(\zeta)}{t_m^{N_1 \dots N_m}} \right| \leq \left( \frac{t'_m}{t_m} \right)^{N_1 \dots N_m} \quad (\zeta \in \overline{\Sigma_{m-1}}).$$

Choosing  $N_{m+1}$  so large that

$$L_{m+1} \left( \frac{t'_m}{t_m} \right)^{N_1 \dots N_m N_{m+1}} < \frac{\varepsilon_m}{2^m}.$$

implies (ii'). Now,  $|q_{m+1}(\zeta)| = t_{m+1}^{N_1 \cdots N_{m+1}}$  iff

$$\left| \frac{p_m(\zeta)^{N_{m+1}}}{t_{m+1}^{N_1 \cdots N_{m+1}}} + \left( \frac{t_m}{t_{m+1}} \right)^{N_1 \cdots N_{m+1}} \frac{Q_m(\zeta)}{L_{m+1}} \right| = 1. \quad (3.4)$$

It is easy to see that there is a compact set  $K$  such that all solutions of (3.4) belong to  $K$  for each  $N_{m+1} \geq 2$ . There is a constant  $\gamma < \infty$  such that  $|Q_m(\zeta)|/L_{m+1} < \gamma$  ( $\zeta \in K$ ). Passing to a larger  $M_{n+1}$  we may assume that

$$1 - \eta < (1 - \gamma)^{1/N_{m+1}}, \quad 1 + \eta < (1 + \gamma)^{1/N_{m+1}}. \quad (3.5)$$

Since every solution  $\zeta$  of (3.4) satisfies  $1 - \gamma < |p_m(\zeta)/t_m^{N_1 \cdots N_m}|^{N_{m+1}} < 1 + \gamma$ , (3.5) implies that  $(1 - \eta)t_m^{N_1 \cdots N_m} < |p_m(\zeta)| < (1 + \eta)t_m^{N_1 \cdots N_m}$ . Thus,  $\{\zeta: |q_{m+1}(\zeta)| = t_{m+1}^{N_1 \cdots N_{m+1}}\} \subset \mathcal{S}_m$ .

Since  $q_{m+1}(0) = p_m(0) = 0$  it follows that  $D_{m,n(m)}$ , a connected set containing 0 and contained in  $\{\zeta: |p_m(\zeta)| < (1 - \eta)t_m^{N_1 \cdots N_m}\}$  is contained in the component of  $\Sigma_{m+1}$  containing 0. In particular,  $D_{m,n(m)} \subset \Sigma_{m+1}$  so the right inclusion in (v) holds. Further, the unbounded component of  $\mathbb{C} \setminus \overline{\Sigma}_{m+1}$  has its boundary in  $\{\zeta: |p_m(\zeta)| < (1 + \eta)t_{m+1}^{N_1 \cdots N_m}\}$ , whose  $2\varepsilon_m$ -neighbourhood is contained in  $D_{m,n(m+1)}$ , a Jordan domain. It follows that  $\Sigma_{m+1} + 2\varepsilon_m \Delta \subset D_{m,n(m+1)}$  which, by (vi), gives  $\Sigma_{m+1} + \varepsilon_m \Delta \subset D_{m+1,n(m+1)}$  so (3.1) holds for  $m + 1$  in place of  $m$ . This completes the proof of the induction step when  $m$  is even. The proof for odd  $m$  is similar, with the roles of the coordinates interchanged.

It is clear that at each step  $\rho_{m+1}$  can be made so small  $\mathcal{C}^1$ -perturbation of  $\rho_m$  that (ix) is satisfied. The proof of (a) is complete.

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