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DISTANCE-REGULAR GRAPHS
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Abstract

We will show that every Q -polynomial distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$ is 1-homogeneous in the sense of Nomura.

Key words: distance-regular graphs, Q -polynomial distance-regular graphs, 1-homogeneous distance-regular graphs.

1 Introduction

Let Γ denote a Q -polynomial distance-regular graph with diameter $d \geq 3$ and intersection numbers c_i, a_i, b_i . We will show that if $a_1 = 0$ then Γ is 1-homogeneous in the sense of Nomura [7]. We will also give explicit formulae for the parameters of the equitable partition of the vertices of Γ , corresponding to the distance from a pair of adjacent vertices x and y . To obtain our results, we use Terwilliger's "balanced set" characterization of the Q -polynomial property [8].

The Hermitean forms graphs with $r = 2$ (see Brouwer et al. [2, p. 285]) provide examples of Q -polynomial distance-regular graphs with $a_1 = 0$.

After some preliminaries in the next section, we will discuss the 1homogeneous and the Q -polynomial property in Section 3 and Section 4. We will prove the main theorem and some of its consequences in Section 5 and Section 6. In Section 7 we will discuss the Hermitean forms graphs.

2 Preliminaries

In this Section, we review some definitions and basic concepts. See the book of Brouwer et al. [2] for more background information.

Throughout this paper, Γ will denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set $V\Gamma$, edge set $E\Gamma$, path length distance function ∂ , and diameter $d := \max\{\partial(x, y) | x, y \in V\Gamma\}$. For a vertex $x \in V\Gamma$ define $\Gamma_i(x)$ to be the set of vertices at distance i from x . We abbreviate $\Gamma(x) := \Gamma_1(x)$. The graph Γ is said to be *distance-regular* whenever for all integers h, i, j ($0 \leq h, i, j \leq d$), and all $x, y \in V\Gamma$ with $\partial(x, y) = h$, the number

$$p_{ij}^h := |\{z \in V\Gamma, \partial(x, z) = i, \partial(y, z) = j\}| \quad (1)$$

is independent of x, y . The constants p_{ij}^h ($0 \leq h, i, j \leq d$) are known as the *intersection numbers* of Γ . For convenience, set $c_i := p_{1i-1}^i$ ($1 \leq i \leq d$), $a_i := p_{1i}^i$ ($0 \leq i \leq d$), $b_i := p_{1i+1}^i$ ($0 \leq i \leq d-1$), $k_i := p_{ii}^0$ ($0 \leq i \leq d$), and $c_0 = b_d = 0$. We observe $a_0 = 0$, $c_1 = 1$. Moreover,

$$c_i + a_i + b_i = k \quad (0 \leq i \leq d), \quad (2)$$

where $k := k_1$. From now on we assume Γ is distance-regular with diameter $d \geq 3$.

In the following two lemmas we cite some well known facts about the intersection numbers; see for example Brouwer et al. [2, p. 127, 134]

Lemma 2.1 *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Then for all integers h, i, j ($0 \leq h, i, j \leq d$) the following (i), (ii) hold.*

(i) *If one of h, i, j is greater than the sum of the other two, then $p_{ij}^h = 0$.*

(ii) *If one of h, i, j is equal to the sum of the other two, then $p_{ij}^h \neq 0$. ■*

Lemma 2.2 *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Then the following (i)-(iii) hold.*

$$(i) \quad k_i = \frac{b_0 b_1 \cdots b_{i-1}}{c_1 c_2 \cdots c_i} \quad (0 \leq i \leq d),$$

$$(ii) \quad p_{i,i-1}^1 = \frac{c_i k_i}{k} \quad (1 \leq i \leq d),$$

$$(iii) \quad p_{ii}^1 = \frac{a_i k_i}{k} \quad (0 \leq i \leq d).$$

■

Let Γ denote a distance-regular graph with diameter $d \geq 3$. For each integer i ($0 \leq i \leq d$), the i th *distance matrix* A_i has rows and columns indexed by $V\Gamma$, and x, y entry

$$(A_i)_{xy} = \begin{cases} 1 & \text{if } \partial(x, y) = i, \\ 0 & \text{if } \partial(x, y) \neq i \end{cases} \quad (x, y \in V\Gamma). \quad (3)$$

Then

$$A_0 = I, \quad (4)$$

$$A_0 + A_1 + \cdots + A_d = J \quad (J = \text{all 1's matrix}), \quad (5)$$

$$A_i^t = A_i \quad (0 \leq i \leq d), \quad (6)$$

and

$$A_i A_j = \sum_{h=0}^d p_{ij}^h A_h \quad (0 \leq i, j \leq d). \quad (7)$$

By (4), (6) and (7), the matrices A_0, A_1, \dots, A_d form a basis for a commutative semi-simple \mathbb{R} -algebra M , known as the *Bose-Mesner algebra*. By Godsil [4, Theorem 12.2.1], the algebra M has a second basis E_0, E_1, \dots, E_d such that

$$E_0 = |V\Gamma|^{-1} J, \quad (8)$$

$$E_i E_j = \delta_{ij} E_i \quad (0 \leq i, j \leq d), \quad (9)$$

$$E_0 + E_1 + \cdots + E_d = I, \quad (10)$$

$$E_i^t = E_i \quad (0 \leq i \leq d). \quad (11)$$

The E_0, E_1, \dots, E_d are known as the *primitive idempotents* of Γ , and E_0 is the *trivial idempotent*.

Set $A := A_1$, and define the real numbers θ_i ($0 \leq i \leq d$) by

$$A = \sum_{i=0}^d \theta_i E_i. \quad (12)$$

Then $AE_i = E_i A = \theta_i E_i$ ($0 \leq i \leq d$), and $\theta_0 = k$. The scalars $\theta_0, \theta_1, \dots, \theta_d$ are distinct, since A generates M [1, p. 197]. The $\theta_0, \theta_1, \dots, \theta_d$ are known as the *eigenvalues* of Γ .

For notational convenience, we identify $V\Gamma$ with the standard orthonormal basis in the Euclidean space V , $\langle \cdot, \cdot \rangle$, where $V = \mathbb{R}^{|V\Gamma|}$ (column vectors), and where $\langle \cdot, \cdot \rangle$ is the dot product

$$\langle u, v \rangle = u^t v \quad (u, v \in V\Gamma).$$

Observe M acts on V by left multiplication. The Euclidean space V , $\langle \cdot, \cdot \rangle$ is known as the *standard module* of Γ .

In the following lemma, we cite some well known results about primitive idempotents.

Lemma 2.3 (Terwilliger [8, Lemma 1.1]) *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Pick any $\theta, \theta_0^*, \theta_1^*, \dots, \theta_d^* \in \mathbb{R}$, and set*

$$E := |V\Gamma|^{-1} \sum_{i=0}^d \theta_i^* A_i. \quad (13)$$

Then the following (i)-(iii) are equivalent:

(i) θ is an eigenvalue of Γ , and E is the associated primitive idempotent.

(ii) For all $x, y \in V\Gamma$,

$$\langle Ex, Ey \rangle = |V\Gamma|^{-1} \theta_i^* \quad \text{whenever } \partial(x, y) = i,$$

and

$$\sum_{\substack{z \in V\Gamma \\ \partial(x, z) = 1}} Ez = \theta Ex.$$

(iii) The intersection numbers of Γ satisfy

$$c_i\theta_{i-1}^* + a_i\theta_i^* + b_i\theta_{i+1}^* = \theta\theta_i^* \quad (0 \leq i \leq d),$$

and $\theta_0^* = \text{rank } E$. ■

If (i)-(iii) hold, we call the sequence $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ the *dual eigenvalue sequence* associated with θ, E . The sequence is trivial whenever $E = E_0$ (in which case $\theta_0^* = \theta_1^* = \dots = \theta_d^* = 1$).

3 The 1-homogeneous property

In this section, we recall the 1-homogeneous property.

Definition 3.1 Let Γ denote a distance-regular graph with diameter $d \geq 3$ and let x, y denote adjacent vertices in $V\Gamma$. For all integers i and j we define $D_j^i = D_j^i(x, y)$ by

$$D_j^i = \Gamma_i(x) \cap \Gamma_j(y).$$

We observe $D_j^i = \emptyset$ unless $0 \leq i, j \leq d$. Moreover if $0 \leq i, j \leq d$ then $|D_j^i| = p_{ij}^1$.

Lemma 3.2 Let Γ denote a distance-regular graph with diameter $d \geq 3$ and let x, y denote adjacent vertices in $V\Gamma$. Then the following (i), (ii) hold.

- (i) For $0 \leq i, j \leq d$, if $|i - j| > 1$ then $D_j^i = \emptyset$. If $|i - j| = 1$ then $D_j^i \neq \emptyset$.
- (ii) For $0 \leq i \leq d$ we have $D_i^i = \emptyset$ if and only if $a_i = 0$.

PROOF. Immediate from Lemma 2.1 and Lemma 2.2. ■

We visualize the D_j^i as follows in Figure 1.

Lemma 3.3 (Jurišić et al. [5, Lemma 2.11]) Let Γ denote a distance-regular graph with diameter $d \geq 3$. Fix adjacent vertices $x, y \in V\Gamma$, and pick an integer i ($1 \leq i \leq d$). Then with reference to Definition 3.1, the following (i), (ii) hold.

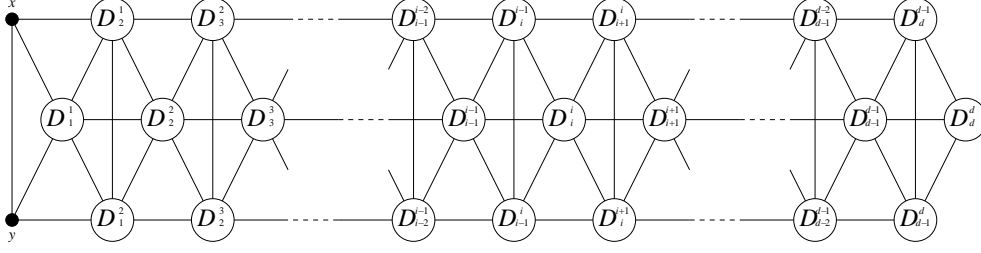


Figure 1: The distance partition corresponding to a pair of adjacent vertices x and y . Observe that $D_{i-1}^i \cup D_i^i \cup D_{i+1}^i = \Gamma_i(x)$ and $D_{i-1}^{i-1} \cup D_i^{i-1} \cup D_{i+1}^{i-1} = \Gamma_i(y)$.

- (i) Each $z \in D_{i-1}^i$ (resp. D_i^{i-1}) is adjacent to
- | | | |
|---------------|--------------------------------------------------|-------------------------------------------------------|
| (a) precisely | c_{i-1} | vertices in D_{i-2}^{i-1} (resp. D_{i-1}^{i-2}), |
| (b) precisely | $c_i - c_{i-1} - \Gamma(z) \cap D_{i-1}^{i-1} $ | vertices in D_{i-1}^{i-1} (resp. D_{i-1}^{i-1}), |
| (c) precisely | $a_{i-1} - \Gamma(z) \cap D_{i-1}^{i-1} $ | vertices in D_{i-1}^{i-1} (resp. D_{i-1}^{i-1}), |
| (d) precisely | b_i | vertices in D_{i+1}^{i+1} (resp. D_{i+1}^i), |
| (e) precisely | $a_i - a_{i-1} + \Gamma(z) \cap D_{i-1}^{i-1} $ | vertices in D_i^i . |
- (ii) Each $z \in D_i^i$ is adjacent to
- | | | |
|---------------|-------------------------------------------------------------------------------------|-------------------------------|
| (a) precisely | $c_i - \Gamma(z) \cap D_{i-1}^{i-1} $ | vertices in D_{i-1}^i , |
| (b) precisely | $c_i - \Gamma(z) \cap D_{i-1}^{i-1} $ | vertices in D_{i-1}^{i-1} , |
| (c) precisely | $b_i - \Gamma(z) \cap D_{i+1}^{i+1} $ | vertices in D_{i+1}^{i+1} , |
| (d) precisely | $b_i - \Gamma(z) \cap D_{i+1}^{i+1} $ | vertices in D_{i+1}^i , |
| (e) precisely | $a_i - b_i - c_i + \Gamma(z) \cap D_{i-1}^{i-1} + \Gamma(z) \cap D_{i+1}^{i+1} $ | vertices in D_i^i . |

■

An *equitable partition* of a graph is a partition $\pi = \{C_1, C_2, \dots, C_s\}$ of its vertex set into nonempty cells, such that for all i, j ($1 \leq i, j \leq s$) the number c_{ij} of neighbours, which a vertex in the cell C_i has in the cell C_j , is independent of the choice of the vertex in C_i . We call the c_{ij} the *corresponding parameters*.

Let Γ denote a distance-regular graph with diameter $d \geq 3$. The graph Γ is said to be *1-homogeneous*, whenever for all pairs x, y of adjacent vertices, the partition of $V\Gamma$ given by $\{D_j^i(x, y) \mid 0 \leq i, j \leq d, D_j^i(x, y) \neq \emptyset\}$ is equitable, and moreover the corresponding parameters are independent of the choice of x, y .

Recall Γ is *bipartite* whenever the intersection number $a_i = 0$ for $0 \leq i \leq d$. We say Γ is *almost bipartite* whenever $a_i = 0$ for $0 \leq i \leq d-1$ and $a_d \neq 0$.

Corollary 3.4 *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Assume Γ is bipartite or almost bipartite. Then Γ is 1-homogeneous.*

PROOF. Immediate from of Lemma 3.3. ■

4 The Q -polynomial property

Let Γ denote a distance-regular graph with diameter $d \geq 3$. The *Krein parameters* q_{ij}^h ($0 \leq h, i, j \leq d$) of Γ are defined by

$$E_i \circ E_j = |V\Gamma|^{-1} \sum_{h=0}^d q_{ij}^h E_h \quad (0 \leq i, j \leq d), \quad (14)$$

where \circ denotes entrywise multiplication. We say Γ is *Q -polynomial* (with respect to the given ordering E_0, E_1, \dots, E_d of the primitive idempotents), whenever for all distinct integers i, j ($0 \leq i, j \leq d$),

$$q_{ij}^1 \neq 0 \text{ if and only if } |i - j| = 1.$$

Let E denote a nontrivial primitive idempotent of Γ . We say Γ is *Q -polynomial with respect to E* whenever there exists an ordering $E_0, E_1 = E, \dots, E_d$ of the primitive idempotents of Γ , with respect to which Γ is Q -polynomial.

We have the following useful lemmas about Q -polynomial distance-regular graphs.

Lemma 4.1 (Brouwer et al. [2, Thm. 8.1.1]) *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Let E denote a nontrivial primitive idempotent of Γ and let $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ denote the corresponding dual eigenvalue sequence. Suppose Γ is Q -polynomial with respect to E . Then $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ are mutually distinct.* ■

Lemma 4.2 (Caughman [3, Lemma 8.2]) *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Let E denote a nontrivial primitive idempotent of Γ and let $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ denote the corresponding dual eigenvalue sequence. Assume Γ is Q -polynomial with respect to E . Then*

$$(\theta_2^* - \theta_i^*)(\theta_0^* - \theta_i^*) = (\theta_1^* - \theta_{i+1}^*)(\theta_1^* - \theta_{i-1}^*) \quad (15)$$

for $1 \leq i \leq d - 1$. ■

Lemma 4.3 (Terwilliger [8, Thm. 3.3]) *Let Γ denote a distance-regular graph with diameter $d \geq 3$. Let E denote a nontrivial primitive idempotent of Γ and let $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ denote the corresponding dual eigenvalue sequence. Then the following (i), (ii) are equivalent:*

(i) Γ is Q -polynomial with respect to E .

(ii) $\theta_0^* \neq \theta_i^*$ ($1 \leq i \leq d$), and for all integers h, i, j ($1 \leq h \leq d$), ($0 \leq i, j \leq d$) and for all vertices $x, y \in V\Gamma$ with $\partial(x, y) = h$ the following hold:

$$\sum_{\substack{z \in V\Gamma \\ \partial(x, z) = i \\ \partial(y, z) = j}} Ez - \sum_{\substack{z \in V\Gamma \\ \partial(x, z) = j \\ \partial(y, z) = i}} Ez \in \text{span}\{Ex - Ey\}.$$

Suppose (i), (ii) hold. Then for all integers h, i, j ($1 \leq h \leq d$), ($0 \leq i, j \leq d$) and for all $x, y \in V\Gamma$ such that $\partial(x, y) = h$,

$$\sum_{\substack{z \in V\Gamma \\ \partial(x, z) = i \\ \partial(y, z) = j}} Ez - \sum_{\substack{z \in V\Gamma \\ \partial(x, z) = j \\ \partial(y, z) = i}} Ez = p_{ij}^h \frac{\theta_i^* - \theta_j^*}{\theta_0^* - \theta_h^*} (Ex - Ey). \quad (16)$$

■

5 The main theorem

In this section we prove that if Γ is Q -polynomial with $a_1 = 0$, then Γ is 1-homogeneous.

Theorem 5.1 *Let Γ denote a distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. Let E denote a nontrivial primitive idempotent of Γ and let $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ denote the corresponding dual eigenvalue sequence. Assume Γ is Q -polynomial with respect to E . Then with reference to Definition 3.1 the following (i)-(iii) hold.*

(i) For all integers i ($2 \leq i \leq d$), and for all $z \in D_i^{i-1} \cup D_{i-1}^i$,

$$|\Gamma(z) \cap D_{i-1}^{i-1}| = a_{i-1} \frac{(\theta_1^* - \theta_i^*)(\theta_{i-1}^* - \theta_1^*) + (\theta_2^* - \theta_i^*)(\theta_0^* - \theta_{i-1}^*)}{(\theta_0^* - \theta_{i-1}^*)(\theta_{i-1}^* - \theta_i^*)}.$$

(ii) For all integers i ($2 \leq i \leq d$) such that $a_i \neq 0$, and for all $z \in D_i^i$,

$$|\Gamma(z) \cap D_{i-1}^{i-1}| = c_i \frac{(\theta_1^* - \theta_i^*)(\theta_{i-1}^* - \theta_1^*) + (\theta_2^* - \theta_i^*)(\theta_0^* - \theta_i^*)}{(\theta_0^* - \theta_i^*)(\theta_{i-1}^* - \theta_i^*)}.$$

(iii) For all integers i ($2 \leq i \leq d-1$) such that $a_i \neq 0$, and for all $z \in D_i^i$,

$$|\Gamma(z) \cap D_{i+1}^{i+1}| = b_i \frac{(\theta_1^* - \theta_i^*)(\theta_{i+1}^* - \theta_1^*) + (\theta_2^* - \theta_i^*)(\theta_0^* - \theta_i^*)}{(\theta_0^* - \theta_i^*)(\theta_{i+1}^* - \theta_i^*)}.$$

We remark the denominators in the above quotients are nonzero by Lemma 4.1.

PROOF: We split the proof into several cases.

Case 1. Let z be a vertex in $D_2^1 \cup D_1^2$. Then z has no neighbours in D_1^1 since $D_1^1 = \emptyset$.

Case 2. Let z be a vertex in D_2^2 . Then z has no neighbours in D_1^1 since $D_1^1 = \emptyset$. We abbreviate $\tau = |\Gamma(z) \cap D_3^3|$ and $\eta = |\Gamma(z) \cap D_2^3|$. We observe $\tau + \eta = b_2$. By Lemma 4.3 we have

$$\sum_{\substack{w \in V\Gamma \\ \partial(x,w)=3 \\ \partial(z,w)=1}} Ew - \sum_{\substack{w \in V\Gamma \\ \partial(x,w)=1 \\ \partial(z,w)=3}} Ew = b_2 \frac{\theta_3^* - \theta_1^*}{\theta_0^* - \theta_2^*} (Ex - Ez). \quad (17)$$

Observe that $\{w \in V\Gamma \mid \partial(x,w) = 1, \partial(z,w) = 3\} \subseteq D_2^1$. Taking the inner product of (17) with Ey using Lemma 2.3 (ii), we get (after multiplying by $|V\Gamma|$)

$$\eta\theta_2^* + \tau\theta_3^* - b_2\theta_2^* = b_2 \frac{\theta_3^* - \theta_1^*}{\theta_0^* - \theta_2^*} (\theta_1^* - \theta_2^*).$$

Evaluating the above line using $\eta = b_2 - \tau$, we obtain

$$\tau = b_2 \frac{(\theta_1^* - \theta_2^*)(\theta_3^* - \theta_1^*)}{(\theta_0^* - \theta_2^*)(\theta_3^* - \theta_2^*)}.$$

Case 3. Let $z \in D_i^{i-1}$ ($3 \leq i \leq d$). We abbreviate $\rho = |\Gamma(z) \cap D_{i-1}^{i-1}|$ and $\zeta = |\Gamma(z) \cap D_i^{i-1}|$. We observe $\rho + \zeta = a_{i-1}$. By Lemma 4.3 we have

$$\sum_{\substack{w \in V\Gamma \\ \partial(x,w)=i-1 \\ \partial(z,w)=1}} Ew - \sum_{\substack{w \in V\Gamma \\ \partial(x,w)=1 \\ \partial(z,w)=i-1}} Ew = a_{i-1} \frac{\theta_{i-1}^* - \theta_1^*}{\theta_0^* - \theta_{i-1}^*} (Ex - Ez). \quad (18)$$

Taking the inner product of (18) with Ey using Lemma 2.3, we get (after multiplying by $|\Gamma|$)

$$\rho\theta_{i-1}^* + \zeta\theta_i^* - a_{i-1}\theta_2^* = a_{i-1}\frac{\theta_{i-1}^* - \theta_1^*}{\theta_0^* - \theta_{i-1}^*}(\theta_1^* - \theta_i^*).$$

Evaluating the above line using $\zeta = a_{i-1} - \rho$ we obtain

$$\rho = a_{i-1}\frac{(\theta_1^* - \theta_i^*)(\theta_{i-1}^* - \theta_1^*) + (\theta_2^* - \theta_i^*)(\theta_0^* - \theta_{i-1}^*)}{(\theta_0^* - \theta_{i-1}^*)(\theta_{i-1}^* - \theta_i^*)}.$$

The cases $z \in D_{i-1}^i$ ($3 \leq i \leq d$) are treated similarly.

Case 4. Let $z \in D_i^i$ ($3 \leq i \leq d$). We abbreviate $\sigma = |\Gamma(z) \cap D_{i-1}^{i-1}|$ and $\delta = |\Gamma(z) \cap D_i^{i-1}|$. We observe $\sigma + \delta = c_i$. By Lemma 4.3 we have

$$\sum_{\substack{w \in V\Gamma \\ \partial(x,w)=i-1 \\ \partial(z,w)=1}} Ew - \sum_{\substack{w \in V\Gamma \\ \partial(x,w)=1 \\ \partial(z,w)=i-1}} Ew = c_i \frac{\theta_{i-1}^* - \theta_1^*}{\theta_0^* - \theta_i^*} (Ex - Ez). \quad (19)$$

Again, taking the inner product of (19) with Ey using Lemma 2.3 we get

$$\delta\theta_i^* + \sigma\theta_{i-1}^* - c_i\theta_2^* = c_i \frac{\theta_{i-1}^* - \theta_1^*}{\theta_0^* - \theta_i^*} (\theta_1^* - \theta_i^*).$$

Evaluating the above line using $\delta = c_i - \sigma$ we obtain

$$\sigma = c_i \frac{(\theta_1^* - \theta_i^*)(\theta_{i-1}^* - \theta_1^*) + (\theta_2^* - \theta_i^*)(\theta_0^* - \theta_i^*)}{(\theta_0^* - \theta_i^*)(\theta_{i-1}^* - \theta_i^*)}.$$

Case 5. Let $z \in D_i^i$ ($3 \leq i \leq d-1$). We abbreviate $\tau = |\Gamma(z) \cap D_{i+1}^{i+1}|$ and $\gamma = |\Gamma(z) \cap D_i^{i+1}|$. We observe $\tau + \gamma = b_i$. By Lemma 4.3 we have

$$\sum_{\substack{w \in V\Gamma \\ \partial(x,w)=i+1 \\ \partial(z,w)=1}} Ew - \sum_{\substack{w \in V\Gamma \\ \partial(x,w)=1 \\ \partial(z,w)=i+1}} Ew = b_i \frac{\theta_{i+1}^* - \theta_1^*}{\theta_0^* - \theta_i^*} (Ex - Ez). \quad (20)$$

Again, taking the inner product of (20) with Ey using Lemma 2.3 we get

$$\gamma\theta_i^* + \tau\theta_{i+1}^* - b_i\theta_2^* = b_i \frac{\theta_{i+1}^* - \theta_1^*}{\theta_0^* - \theta_i^*} (\theta_1^* - \theta_i^*).$$

Evaluating the above line using $\gamma = b_i - \tau$ we obtain

$$\tau = b_i \frac{(\theta_1^* - \theta_i^*)(\theta_{i+1}^* - \theta_1^*) + (\theta_2^* - \theta_i^*)(\theta_0^* - \theta_i^*)}{(\theta_0^* - \theta_i^*)(\theta_{i+1}^* - \theta_i^*)}.$$

■

Lemma 5.2 *Let Γ denote a distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. Let E denote a nontrivial primitive idempotent of Γ and let $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ denote the corresponding dual eigenvalue sequence. Assume Γ is Q -polynomial with respect to E . Then with reference to Definition 3.1 the following (i), (ii) hold.*

(i) *For all integers i ($2 \leq i \leq d - 1$) such that $a_i \neq 0$, and for all $z \in D_i^i$,*

$$|\Gamma(z) \cap D_{i-1}^{i-1}| = c_i \frac{(\theta_1^* - \theta_{i-1}^*)(\theta_i^* - \theta_{i+1}^*)}{(\theta_0^* - \theta_i^*)(\theta_{i-1}^* - \theta_i^*)}.$$

(ii) *For all integers i ($2 \leq i \leq d - 1$) such that $a_i \neq 0$, and for all $z \in D_i^i$,*

$$|\Gamma(z) \cap D_{i+1}^{i+1}| = b_i \frac{(\theta_1^* - \theta_{i+1}^*)(\theta_{i-1}^* - \theta_i^*)}{(\theta_0^* - \theta_i^*)(\theta_i^* - \theta_{i+1}^*)}.$$

PROOF. Simplify the formulae in Theorem 5.1 (ii), (iii) using Lemma 4.2. ■

Corollary 5.3 *Let Γ denote a distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. If Γ is Q -polynomial then Γ is 1-homogeneous.*

PROOF. Immediate from Theorem 5.1 and Lemma 3.3. ■

Remark: The converse of the above corollary is not true. Indeed the Coxeter graph is a 1-homogeneous distance-regular graph with $d = 4$ and $a_1 = 0$, but it is not Q -polynomial, see Brouwer et al. [2, Section 6.10].

6 Comments on the intersection numbers

Let Γ denote a Q -polynomial distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. In this section we show that one of the following hold: (i) Γ is bipartite; (ii) Γ is almost bipartite; or (iii) $a_i \neq 0$ for $2 \leq i \leq d$. We will use the following two lemmas.

Lemma 6.1 *Let Γ denote a Q -polynomial distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. Suppose there exists an integer i ($2 \leq i \leq d - 1$) such that $a_i \neq 0$. Then with reference to Definition 3.1 the following (i)-(iii) hold.*

(i) *For all $z \in D_i^i$ we have $|\Gamma(z) \cap D_{i+1}^{i+1}| \neq 0$.*

(ii) *$D_{i+1}^{i+1} \neq \emptyset$.*

(iii) *$a_{i+1} \neq 0$.*

PROOF. (i) Suppose $|\Gamma(z) \cap D_{i+1}^{i+1}| = 0$. By Lemma 5.2 (ii) we have either $\theta_1^* = \theta_{i+1}^*$ or $\theta_{i-1}^* = \theta_i^*$. But this is in contradiction with Lemma 4.1.

(ii), (iii) Immediate from (i) above. ■

Lemma 6.2 *Let Γ denote a Q -polynomial distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. Suppose there exists an integer i ($3 \leq i \leq d - 1$) such that $a_i \neq 0$. Then with reference to Definition 3.1 the following (i)-(iii) hold.*

(i) *For all $z \in D_i^i$ we have $|\Gamma(z) \cap D_{i-1}^{i-1}| \neq 0$.*

(ii) *$D_{i-1}^{i-1} \neq \emptyset$.*

(iii) *$a_{i-1} \neq 0$.*

PROOF. Similar to the proof of Lemma 6.1. ■

Corollary 6.3 *Let Γ denote a Q -polynomial distance-regular graph with diameter $d \geq 3$ and intersection number $a_1 = 0$. Then exactly one of the following (i)-(iii) hold.*

(i) *Γ is bipartite,*

(ii) *Γ is almost bipartite,*

(iii) *$a_i \neq 0$ for $2 \leq i \leq d$.*

PROOF. Immediate from Lemma 6.1 and Lemma 6.2. ■

7 An example

In this section, we recall the Hermitean forms graph.

Let Γ be a Hermitean forms graph for a prime power r and let d denote the diameter of Γ . Then the intersection numbers of Γ are given by

$$b_i = \frac{r^{2d} - r^{2i}}{r + 1} \quad 0 \leq i \leq d - 1,$$

$$c_i = r^{i-1} \frac{r^i - (-1)^i}{r + 1} \quad 1 \leq i \leq d,$$

see Brouwer et al. [2, Thm. 9.5.7]. Therefore we have

$$a_i = \frac{r^{2i} - r^{2i-1} - (-r)^{i-1} - 1}{r + 1} \quad 0 \leq i \leq d.$$

Let θ denote the minimal eigenvalue of Γ . By Brouwer et al. [2, Cor. 8.4.2] θ is given by

$$\theta = -\frac{r^{2d-1} + 1}{r + 1}.$$

Let E denote the primitive idempotent of Γ corresponding to θ and let $\theta_0^*, \theta_1^*, \dots, \theta_d^*$ denote the corresponding dual eigenvalue sequence. By Brouwer et al. [2, Cor. 8.4.2], Γ is Q -polynomial with respect to E . Moreover, by Brouwer et al. [2, Cor. 8.4.2] and since the Q -polynomial structure is self-dual ([2, Cor. 8.4.4]) we have

$$\theta_i^* = \frac{b_i}{(-r)^i} + \frac{(-r)^i - 1}{r + 1} = \frac{(-r)^{2d-i} - 1}{r + 1} \quad (0 \leq i \leq d).$$

For the rest of this section assume $r = 2$. In this case we have $a_1 = 0$; therefore Γ is 1-homogeneous by Corollary 5.3. The parameters of the corresponding equitable partition are given by the following theorem. We remark $a_2 = 3$. Since $a_2 \neq 0$ we find $a_i \neq 0$ for $2 \leq i \leq d$ in view of Corollary 6.3 (iii).

Theorem 7.1 *Let Γ denote a Hermitean forms graph with $r = 2$ and diameter $d \geq 3$. Then with reference to Definition 3.1, the following (i), (ii) hold.*

- (i) For $1 \leq i \leq d$, each $z \in D_{i-1}^i$ (resp. D_i^{i-1}) is adjacent to
- | | | |
|---------------|-----------------------------------|-------------------------------------------------------|
| (a) precisely | 0 | vertices in D_{i-1}^{i-1} , |
| (b) precisely | $2^{i-2}(2^{i-1} - (-1)^{i-1})/3$ | vertices in D_{i-2}^{i-1} (resp. D_{i-1}^{i-2}), |
| (c) precisely | $2^{i-2}(2^{i-1} + (-1)^{i-1})$ | vertices in D_i^{i-1} (resp. D_{i-1}^i), |
| (d) precisely | $(2^{2i-3} - (-2)^{i-2} - 1)/3$ | vertices in D_{i-1}^i (resp. D_i^{i-1}), |
| (e) precisely | $(2^{2d} - 2^{2i})/3$ | vertices in D_i^{i+1} (resp. D_{i+1}^i), |
| (f) precisely | $2^{i-2}(2^{i-1} - (-1)^{i-1})$ | vertices in D_i^i . |
- (ii) For $2 \leq i \leq d$, each $z \in D_i^i$ is adjacent to
- | | | |
|---------------|------------------------------------|-------------------------------|
| (a) precisely | $(-1)^i 2^{i-1}((-2)^{i-2} - 1)/3$ | vertices in D_{i-1}^{i-1} , |
| (b) precisely | $(2^{2d} - 2^{2i})/3$ | vertices in D_{i+1}^{i+1} , |
| (c) precisely | 2^{2i-3} | vertices in D_{i-1}^i , |
| (d) precisely | 2^{2i-3} | vertices in D_i^{i-1} , |
| (e) precisely | 0 | vertices in D_i^{i+1} , |
| (f) precisely | 0 | vertices in D_{i+1}^i , |
| (g) precisely | $(2^{2i-3} - (-2)^{i-1} - 1)/3$ | vertices in D_i^i . |

PROOF. From Theorem 5.1 we get the following formulae.

- (i) For all integers i ($2 \leq i \leq d$) and for all $z \in D_i^{i-1} \cup D_{i-1}^i$, we have $|\Gamma(z) \cap D_{i-1}^{i-1}| = 0$.
- (ii) For all integers i ($2 \leq i \leq d$) and for all $z \in D_i^i$, we have $|\Gamma(z) \cap D_{i-1}^{i-1}| = c_i((-2)^{i-2} - 1)/((-2)^i - 1)$.
- (iii) For all integers i ($2 \leq i \leq d-1$) and for all $z \in D_i^i$, we have $|\Gamma(z) \cap D_{i+1}^{i+1}| = b_i$.

The present theorem now follows by Lemma 3.3 and from the formulae of the intersection numbers b_i and c_i ■

We conclude the paper with some conjectures.

Conjecture 7.1 *Let Γ denote a distance-regular graph with diameter $d \geq 3$ and intersection numbers $a_1 = 0$, $a_2 \neq 0$. Let θ denote a nontrivial eigenvalue of Γ and let E denote the corresponding primitive idempotent. Assume Γ is Q -polynomial with respect to E . Then θ is the minimal eigenvalue of Γ .*

Conjecture 7.2 *Let Γ denote a distance-regular graph with diameter $d \geq 3$ and intersection numbers $a_1 = 0$, $a_2 \neq 0$. Assume Γ has classical parameters (d, b, α, β) , see Brouwer et al. [2, Sec. 6.1]. Then $b = -2$.*

Conjecture 7.3 *Let Γ denote a distance-regular graph with diameter $d \geq 3$ and intersection numbers $a_1 = 0$, $a_2 \neq 0$. Assume Γ has classical parameters. Let i denote an integer ($2 \leq i \leq d$) and let x, y denote vertices of Γ at distance $\partial(x, y) = i$. Then x, y are contained in a weak-geodetically closed subgraph of Γ which has diameter i . See [6], [9], [10], [11], [12] for more information on this topic.*

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