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ARGUMENT PRINCIPLE AND  
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EXTENDIBILITY

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# ARGUMENT PRINCIPLE AND HOLOMORPHIC EXTENDIBILITY

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ABSTRACT Let  $D$  be a bounded domain in the complex plane whose boundary consists of finitely many pairwise disjoint simple closed curves. Give  $bD$  the standard orientation and let  $A(D)$  be the algebra of all continuous functions on  $\overline{D}$  which are holomorphic on  $D$ . In the paper we prove that a continuous function  $f$  on  $bD$  extends to a function in  $A(D)$  if and only if for each  $g \in A(D)$  such that  $f + g \neq 0$  on  $bD$  the change of argument of  $f + g$  along  $bD$  is nonnegative.

## 1. Introduction and the main result

Let  $D \subset \mathbb{C}$  be a bounded domain whose boundary consists of finitely many pairwise disjoint simple closed curves. We give  $bD$  the standard orientation. Denote by  $A(D)$  the algebra of all continuous functions on  $\overline{D}$  which are holomorphic on  $D$ . Our main result is

**THEOREM 1.1** *A continuous function  $f$  on  $bD$  extends to a function in  $A(D)$  if and only if for each  $g \in A(D)$  such that  $f + g \neq 0$  on  $bD$  the change of argument of  $f + g$  along  $bD$  is nonnegative.*

If the condition in the theorem holds for all  $g$  belonging to a dense subset of  $A(D)$  then it holds for all  $g \in A(D)$ . The only if part of the theorem is an obvious consequence of the argument principle. In fact, if  $f$  admits an extension  $\tilde{f} \in A(D)$  then the change of argument of  $f + g$  along  $bD$  equals  $2\pi$  times the number of zeros of  $\tilde{f} + g$  in  $D$ .

In the special case when  $D = \Delta = \{\zeta \in \mathbb{C} : |\zeta| < 1\}$  the theorem was proved first by J. Wermer [W] for smooth functions and later by the author [Gl] for continuous functions.

## 2. Preliminaries

Every bounded domain  $D \subset \mathbb{C}$  whose boundary consists of finitely many pairwise disjoint simple closed curves is biholomorphically equivalent to a domain  $D'$  bounded by finitely many pairwise disjoint circles [Go]. Moreover, every biholomorphic map  $\Phi: D \rightarrow D'$  extends to a homeomorphism  $\tilde{\Phi}: \overline{D} \rightarrow \overline{D}'$  (see the proof in [CL, pp. 46-49] which works also for multiply connected domains, bounded by finitely many pairwise disjoint simple closed curves). Thus, with no loss of generality assume that  $D$  is bounded by finitely many pairwise disjoint circles.

In general, not every real-valued harmonic function  $u$  on  $D$  is the real part of a holomorphic function on  $D$ . If there is a harmonic function  $v$  on  $D$  such that  $u + iv$  is holomorphic on  $D$  then we call  $v$  a *conjugate of  $u$* . If  $D$  is simply connected then every harmonic function on  $D$  has a conjugate on  $D$ . Let  $f$  be a complex valued harmonic function on  $D$ . Write  $f = p + iq$  with  $p, q$  real. We will say that  $f$  has a conjugate on  $D$  if  $p$  has a conjugate  $r$  on  $D$  and  $q$  has a conjugate  $s$  on  $D$ , and we will call the function  $r - is$  a conjugate of  $f$  on  $D$ . This happens if and only if  $f = F + \overline{G}$  where  $F$  and  $G$  are holomorphic functions on  $D$ . In fact, if  $P = p + ir$  and  $Q = q + is$  then  $P$  and  $Q$  are

holomorphic functions on  $D$  and  $F = (P + iQ)/2$  and  $G = (P - iQ)/2$ .

Given a continuous function  $\Phi$  on  $bD$  there is a continuous extension of  $\Phi$  to  $\overline{D}$  which is harmonic on  $D$  and which we will denote by  $\mathcal{H}(\Phi)$ ; moreover, if  $\Phi \in C^\infty(bD)$  then  $\mathcal{H}(\Phi) \in C^\infty(\overline{D})$  [B, p.53].  $\mathcal{H}$  is a linear map from  $C(bD)$  to the space of continuous functions on  $\overline{D}$  which are harmonic on  $D$ . If  $D$  is simply connected then  $\mathcal{H}(\Phi)$  has a conjugate harmonic function on  $D$  which is also in  $C^\infty(\overline{D})$  provided that  $\Phi \in C^\infty(bD)$  [B, p.91]. If  $\Omega_1$  and  $\Omega_2$  are bounded, simply connected domains with boundaries of class  $C^\infty$  then a biholomorphic map  $\Phi$  from  $\Omega_1$  to  $\Omega_2$  extends to a smooth map from  $\overline{\Omega_1}$  to  $\overline{\Omega_2}$  [B, p.28]. Applying this locally along  $bD$  and using the preceding discussion we see that if  $f$  is a harmonic function on  $D$  that has a conjugate on  $D$  then the conjugate extends smoothly to  $\overline{D}$  provided that  $f$  extends smoothly to  $\overline{D}$ . We summarize this in

**LEMMA 2.1** *If  $f \in C^\infty(bD)$  is such that  $\mathcal{H}(f)$  has a conjugate on  $D$  then both  $\mathcal{H}(f)$  and its conjugate extend smoothly to  $\overline{D}$ .*

Every harmonic function  $f$  on  $D$  is real-analytic on  $D$  so, if  $f$  is holomorphic on an open subset of  $D$  then it is holomorphic on  $D$ . We will need the following fact which can be found as an exercise in [R].

**LEMMA 2.2** *Let  $f$  be a harmonic function on  $D$  such that  $z \mapsto zf(z)$  is harmonic on a nonempty open set  $U \subset D$ . Then  $f$  is holomorphic on  $D$ .*

**Proof.** By the preceding discussion it is enough to prove that  $f$  is holomorphic on a disc  $\Omega \subset D$ . Since  $f$  is harmonic on  $D$  there is a disc  $\Omega \subset U$  such that  $f = P + \overline{Q}$  on  $\Omega$  where  $P$  and  $Q$  are holomorphic functions on  $\Omega$ . By our assumption, the function  $z \mapsto zf(z) = zP(z) + z\overline{Q(z)}$  is harmonic on  $\Omega$  so

$$\frac{\partial^2}{\partial z \partial \overline{z}} [zP(z) + z\overline{Q(z)}] = 0 \quad (z \in \Omega)$$

which implies that  $\overline{Q'(z)} = 0$  ( $z \in \Omega$ ). Thus,  $Q$  is constant on  $\Omega$  and consequently  $f$  is holomorphic on  $\Omega$ . This completes the proof.

### 3. A new proof in the case of a disc

The proof in [Gl] does not generalize to multiply connected domains. In this section we give a new, different proof of the theorem in the case when  $D$  is a disc which we later generalize to multiply connected domains.

Write  $\Delta = \{\zeta \in \mathbb{C} : |\zeta| < 1\}$ . Throughout this section,  $D = \Delta$ , Denote by  $Z$  the identity function:  $Z(z) = z$  ( $z \in bD$ ). Assume that  $f \in C(b\Delta)$  does not extend to a function from the disc algebra  $A(\Delta)$ . Then there is an  $a \in \Delta$  such that

$$\mathcal{H}[(Z - a)f](a) \neq 0. \tag{3.1}$$

To see this, suppose for a moment that  $\mathcal{H}[(Z - a)f](a) = 0$  ( $a \in \Delta$ ). This implies that  $\mathcal{H}(Zf)(a) = a\mathcal{H}(f)(a)$  ( $a \in \Delta$ ). In particular, the function  $a \mapsto a\mathcal{H}(f)(a)$  is harmonic on  $\Delta$ . Since  $\mathcal{H}(f)$  is harmonic on  $D$  Lemma 2.2 implies that  $\mathcal{H}(f)$  is holomorphic on  $\Delta$  so  $f$  extends holomorphically through  $\Delta$ , a contradiction. This proves that there is an  $a \in \Delta$  such that (3.1) holds.

With no loss of generality, replacing  $f$  with  $e^{i\omega}f$ ,  $\omega \in \mathbb{R}$ , if necessary, we may assume that

$$\Re\{\mathcal{H}[(Z-a)f](a)\} = \beta \neq 0. \quad (3.2)$$

For easier understanding we complete the proof first under the additional assumption that  $f$  is smooth. Suppose for a moment that  $f$  is smooth. The function  $z \mapsto \Re\{\mathcal{H}[(Z-a)f](z)\} - \beta$  is continuous on  $\overline{\Delta}$ , harmonic on  $\Delta$  and has smooth boundary values  $\Re[(z-a)f(z)] - \beta$  ( $z \in b\Delta$ ). Hence by Lemma 2.1 there is a function  $g \in A(\Delta)$  such that

$$\Re[g(z)] = \Re\{\mathcal{H}[(Z-a)f](z)\} - \beta \quad (z \in \overline{\Delta}).$$

By (3.2) we have  $\Re g(a) = \Re\{\mathcal{H}[(Z-a)f](a)\} - \beta = 0$  so by adding an imaginary constant to  $g$  if necessary we may assume that  $g(0) = 0$  so  $g(z) = (z-a)h(z)$  ( $z \in \overline{\Delta}$ ) where  $h \in A(\Delta)$ . Consider the function  $z \mapsto G(z) = (z-a)f(z) - g(z)$  ( $z \in b\Delta$ ). We have  $\Re[G(z)] = \beta$  ( $z \in b\Delta$ ) which, since  $\beta \neq 0$ , implies that  $G \neq 0$  on  $b\Delta$  and that the change of argument of  $G$  around  $b\Delta$  equals zero. Since  $a \in \Delta$  the change of argument of  $z \mapsto (z-a)$  around  $b\Delta$  equals  $2\pi$ . Since  $G(z) = (z-a)[f(z) - h(z)]$  ( $z \in b\Delta$ ) it follows that  $f - h \neq 0$  on  $b\Delta$  and that the change of argument of  $f - h$  around  $b\Delta$  is negative. Since  $h \in A(\Delta)$  this completes the proof in the special case when  $f$  is smooth.

In general, we have to approximate  $f$  by smooth functions as follows:  
Let  $f_1$  be a smooth function on  $b\Delta$  such that

$$|(z-a)[f(z) - f_1(z)]| < |\beta|/4 \quad (z \in b\Delta). \quad (3.3)$$

Write  $\beta_1 = \Re\{\mathcal{H}[(Z-a)f_1](a)\}$ . By (3.3) and by the maximum principle for the real harmonic function  $\Re\{\mathcal{H}[(Z-a)(f_1 - f)]\}$  we have

$$|\beta_1 - \beta| < |\beta|/4. \quad (3.4)$$

The function  $z \mapsto \Re\{\mathcal{H}[(Z-a)f_1](z)\} - \beta_1$  is continuous on  $\overline{\Delta}$ , harmonic on  $\Delta$  and has smooth boundary values  $\Re[(z-a)f_1(z)] - \beta_1$  ( $z \in b\Delta$ ). Hence by Lemma 2.1 there is a function  $g_1 \in A(\Delta)$  such that

$$\Re[g_1(z)] = \Re\{\mathcal{H}[(Z-a)f_1](z)\} - \beta_1 \quad (z \in \overline{\Delta}). \quad (3.5)$$

Clearly  $\Re[g_1(a)] = \Re\{\mathcal{H}[(Z-a)f_1](a)\} - \beta_1 = 0$  so by adding an imaginary constant to  $g_1$  if necessary we may assume that  $g_1(a) = 0$  so that  $g_1(z) = (z-a)h(z)$  ( $z \in \overline{\Delta}$ ) where  $h \in A(\Delta)$ .

Consider the function  $z \mapsto G(z) = (z-a)f(z) - g_1(z)$  ( $z \in b\Delta$ ). By (3.5) we have  $\Re G(z) = \beta + \Re[(z-a)(f(z) - f_1(z))] + (\beta_1 - \beta)$  ( $z \in b\Delta$ ) which, by (3.3) and (3.4) implies that

$$|\Re G(z) - \beta| < |\beta|/4 + |\beta|/4 = |\beta|/2 \quad (z \in b\Delta)$$

so

$$\beta - |\beta|/2 < \Re G(z) < \beta + |\beta|/2 \quad (z \in \Delta),$$

which, since  $\beta \neq 0$ , implies that  $G \neq 0$  on  $b\Delta$  and that the change of argument of  $G$  around  $b\Delta$  is zero. We now repeat the reasoning from the proof in the smooth case to conclude that  $f - h \neq 0$  on  $b\Delta$  and that the change of argument of  $f - h$  around  $b\Delta$  is negative. Since  $h \in A(\Delta)$  this completes the proof.

#### 4. Harmonic functions and their conjugates

The main problem in generalizing the proof in Section 3 to multiply connected domains  $D$  is that in general, a harmonic function on  $D$  has no conjugate on  $D$ .

We have assumed that  $D \subset\subset \mathbb{C}$  is a domain bounded by pairwise disjoint circles. Denote these circles by  $\Gamma_1, \Gamma_2, \dots, \Gamma_n$  where  $\Gamma_n$  is the boundary of the unbounded component of  $\mathbb{C} \setminus \overline{D}$ . For each  $k, 1 \leq k \leq n$ , the *harmonic measure function*  $\omega_k$  is the continuous function on  $\overline{D}$ , harmonic on  $D$  which satisfies  $\omega_k \equiv 1$  on  $\Gamma_k$  and  $\omega_k \equiv 0$  on  $\Gamma_j, 1 \leq j \leq n, j \neq k$ . By the preceding discussion each  $\omega_k, 1 \leq k \leq n$ , is smooth on  $\overline{D}$ . We have  $\sum_{k=1}^n \omega_k \equiv 1$  on  $\overline{D}$ .

For each  $k, 1 \leq k \leq n-1$ , let  $\gamma_k$  be a circle with the same center as  $\Gamma_k$  and with a slightly larger radius, and let  $\gamma_n$  be a circle with the same center as  $\Gamma_n$  and with a slightly smaller radius so that the circles  $\gamma_k, 1 \leq k \leq n$  bound a domain  $D'$ , slightly smaller than  $D$ , whose closure is contained in  $D$ . We give each  $\gamma_k$  the orientation induced by the standard orientation of  $bD'$ .

Let  $u$  be a real-valued harmonic function on  $D$ . A conjugate  $v$  of  $u$  has to satisfy the Cauchy-Riemann equations

$$\frac{\partial v}{\partial x} = -\frac{\partial u}{\partial y} \quad \frac{\partial v}{\partial y} = \frac{\partial u}{\partial x}.$$

This system is always solvable for  $v$  locally. It is solvable for  $v$  on  $D$  if and only if

$$\int_{\gamma_k} \left( -\frac{\partial u}{\partial y} dx + \frac{\partial u}{\partial x} dy \right) = 0 \quad (1 \leq k \leq n-1). \quad (4.1)$$

Since

$$2 \int_{\gamma_k} \frac{\partial u}{\partial z} dz = i \int_{\gamma_k} \left( -\frac{\partial u}{\partial y} dx + \frac{\partial u}{\partial x} dy \right) \quad (1 \leq k \leq n-1)$$

(4.1) holds if and only if

$$\int_{\gamma_k} \frac{\partial u}{\partial z} dz = 0 \quad (1 \leq k \leq n-1). \quad (4.2)$$

If  $u$  is a real valued harmonic function on  $D$  then there are real constants  $c_1, c_2, \dots, c_{n-1}$  such that the harmonic function  $u + \sum_{j=1}^{n-1} c_j \omega_j$  has a conjugate on  $D$ . For this to happen we must have

$$\int_{\gamma_k} \frac{\partial u}{\partial z} \left[ u + \sum_{j=1}^{n-1} c_j \omega_j \right] dz = 0 \quad (1 \leq k \leq n-1),$$

that is,

$$\sum_{j=1}^{n-1} c_j \int_{\gamma_k} \frac{\partial \omega_j}{\partial z} dz = - \int_{\gamma_k} \frac{\partial u}{\partial z} dz \quad (4.3)$$

The system (4.3) has a unique solution since the matrix

$$\left[ \int_{\gamma_k} \frac{\partial \omega_j}{\partial z} dz \right]_{1 \leq j, k \leq n-1} = \left[ \int_{\Gamma_k} \frac{\partial \omega_j}{\partial z} dz \right]_{1 \leq j, k \leq n-1}$$

is known to be nonsingular [B, p.82]. (In the last equality we used the fact that all functions  $\frac{\partial \omega_j}{\partial z}$  are smooth on  $\overline{D}$  and holomorphic on  $D$ .) The Poisson formula implies that given  $\varepsilon > 0$  and a compact set  $K \subset D$  there is a  $\delta > 0$  such that  $|\frac{\partial u}{\partial z}| < \varepsilon$  on  $K$  whenever  $u$  is a real harmonic function on  $D$  such that  $|u| < \delta$  on  $D$ . Thus, given  $\varepsilon > 0$  there is a  $\delta > 0$  such that

$$\left| \int_{\gamma_k} \frac{\partial u_1}{\partial z} dz - \int_{\gamma_k} \frac{\partial u}{\partial z} dz \right| < \varepsilon \quad (1 \leq k \leq n-1)$$

provided that  $u_1$  is a real harmonic function on  $D$  satisfying  $|u_1(z) - u(z)| < \delta$  ( $z \in D$ ).

The preceding discussion gives

**LEMMA 4.1** *Given a harmonic function  $f$  on  $D$  there is a unique  $(n-1)$ -tuple  $c_1(f), \dots, c_{n-1}(f)$  of complex numbers such that  $f + \sum_{j=1}^{n-1} c_j(f) \omega_j$  has a conjugate on  $D$ . These numbers depend continuously on  $f$  in the sup norm on  $D$ .*

## 5. Proof of Theorem 1.1, Part 1

Let  $D$  be a domain bounded by pairwise disjoint circles  $\Gamma_1, \dots, \Gamma_n$  where  $\Gamma_n$  is the boundary of the unbounded component of  $\mathbb{C} \setminus \overline{D}$ . Section 3 contains the proof of Theorem 1.1 in the case  $n = 1$  so assume that  $n \geq 2$ .

Let  $f$  be a continuous function on  $bD$  which does not extend holomorphically through  $D$ . Define

$$A(a, f) = \mathcal{H}[(Z - a)f](a) = \mathcal{H}(Zf)(a) - a\mathcal{H}(f)(a) \quad (a \in \overline{D}).$$

Since  $\mathcal{H}(f)$  is not holomorphic Lemma 2.2 implies that

$$\{a \in D: A(a, f) = 0\} \text{ is a closed, nowhere dense subset of } D. \quad (5.1)$$

There are constants  $c_k(f)$ ,  $1 \leq k \leq n-1$ , such that

$$\mathcal{H}(f)(z) + \sum_{k=1}^{n-1} c_k(f) \omega_k(z) = P_f(z) + \overline{Q_f(z)} \quad (z \in D) \quad (5.2)$$

where  $P_f$  and  $Q_f$  are holomorphic functions on  $D$ . Similarly, there are constants  $d_k(f)$ ,  $1 \leq k \leq n-1$ , such that

$$\mathcal{H}(Zf)(z) + \sum_{k=1}^{n-1} d_k(f) \omega_k(z) = R_f(z) + \overline{S_f(z)} \quad (z \in D) \quad (5.3)$$

where  $R_f$  and  $S_f$  are holomorphic functions on  $D$ . We know that the constants  $c_k(f)$  and  $d_k(f)$ ,  $1 \leq k \leq n-1$ , are determined uniquely and, by the maximum principle for harmonic functions depend continuously on  $f \in C(bD)$ . We have

$$c_k(e^{i\omega} f) = e^{i\omega} c_k(f), \quad d_k(e^{i\omega} f) = e^{i\omega} d_k(f) \quad (1 \leq k \leq n-1, \omega \in \mathbb{R}) \quad (5.4)$$

and

$$A(a, e^{i\omega} f) = e^{i\omega} A(a, f) \quad (\omega \in \mathbb{R}, a \in D). \quad (5.5)$$

Define

$$\Phi_{a,f}(z) = \sum_{j=1}^{n-1} [d_j(f) - ac_j(f)] \cdot [\omega_j(z) - \omega_j(a)] - A(a, f) \quad (z \in D).$$

For each  $a \in D$  the function  $\Phi_{a,f}$  is smooth on  $\overline{D}$  and harmonic on  $D$ . By the preceding discussion for each  $a \in D$  the harmonic function

$$z \mapsto \mathcal{H}[(Z - a)f](z) + \Phi_{a,f}(z) \quad (z \in D)$$

vanishes at  $a$  and has a conjugate on  $D$ , that is, it is of the form  $F_{a,f} + \overline{G_{a,f}}$  where  $F_{a,f}$  and  $G_{a,f}$  are holomorphic on  $D$ .

## 6. The function $\Phi_{a,f}$

Note that for each  $a \in D$  the function  $\Phi_{a,f}$  is smooth on  $\overline{D}$ , harmonic on  $D$  and constant on each component  $\Gamma_j$ ,  $1 \leq j \leq n$ , of  $bD$ .

**LEMMA 6.1** *There is an  $a \in D$  such that  $\Phi_{a,f}(z) \neq 0$  ( $z \in bD$ ).*

**Proof.** Recall that for each  $a \in D$  the function  $\Phi_{a,f}|_{\Gamma_k}$  is constant for each  $k$ ,  $1 \leq k \leq n$ ; we have to prove that for some  $a \in D$  these constants are all different from 0. We shall prove that

$$\left. \begin{array}{l} \text{for each } k, 1 \leq k \leq n, \text{ the set } \{a \in D: \Phi_{a,f}|_{\Gamma_k} = 0\} \\ \text{is a closed subset of } D \text{ with empty interior.} \end{array} \right\} \quad (6.1)$$

Assume that we have done this. Then  $\cup_{k=1}^n \{a \in D: \Phi_{a,f}|_{\Gamma_k} = 0\}$  is a closed subset of  $D$  with empty interior which implies that there is an open dense subset of  $D$  of those  $a$  for which  $\Phi_{a,f}(z) \neq 0$  ( $z \in bD$ ) which will complete the proof. It remains to prove (6.1).

Let  $1 \leq k \leq n - 1$ . On  $\Gamma_k$  the function  $\Phi_{a,f}$  is equal to the constant  $-A(a, f) + \sum_{j=1, j \neq k}^{n-1} [d_j(f) - ac_j(f)] \cdot [-\omega_j(a)] + [d_k(f) - ac_k(f)] \cdot [1 - \omega_k(a)]$ . Since  $a \mapsto A(a, f)$  is continuous on  $D$  it follows that  $\{a \in D: \Phi_{a,f}|_{\Gamma_k} = 0\}$  is a closed subset of  $D$ . Suppose that it contains a disc  $U$ . Then

$$A(a, f) = \sum_{j=1, j \neq k}^{n-1} [d_j(f) - ac_j(f)] \cdot [-\omega_j(a)] + [d_k(f) - ac_k(f)] \cdot [1 - \omega_k(a)] \quad (6.2)$$

for all  $a \in U$ . Since both sides of (6.2) are real-analytic in  $a$  on  $D$  it follows that (6.2) holds for all  $a \in D$ . Since both sides of (6.2) are continuous in  $a$  on  $\overline{D}$  it follows that (6.2) holds for all  $a \in bD$ . However,  $A(a, f) = 0$  ( $a \in bD$ ) so

$$\sum_{j=1, j \neq k}^{n-1} [d_j(f) - ac_j(f)] \cdot [\omega_j(a)] = [d_k(f) - ac_k(f)] \cdot [1 - \omega_k(a)] \quad (a \in bD). \quad (6.3)$$

If  $a \in \Gamma_j$ ,  $1 \leq j \leq n-1$ ,  $j \neq k$ , then  $\omega_j(a) = 1$  and  $\omega_i(a) = 0$  for all  $i$ ,  $1 \leq i \leq n$ ,  $i \neq j$ , so (6.3) implies that

$$d_j(f) - ac_j(f) = d_k(f) - ac_k(f) \quad (a \in \Gamma_j, 1 \leq j \leq n-1, j \neq k). \quad (6.4)$$

If  $a \in \Gamma_n$  then  $\omega_j(a) = 0$  for all  $j$ ,  $1 \leq j \leq n-1$ , including  $k$ , so (6.3) gives

$$d_k(f) - ac_k(f) = 0 \quad (a \in \Gamma_n). \quad (6.5)$$

Now, (6.5) implies that  $d_k(f) = c_k(f) = 0$  which, by (6.4) gives  $d_j(f) = c_j(f) = 0$  ( $1 \leq j \leq n-1$ ,  $j \neq k$ ) so, by (6.2) it follows that  $A(a, f) = 0$  for every  $a \in D$  which contradicts (5.1). This proves that  $\{a \in D: \Phi_{a,f}|_{\Gamma_k} = 0\}$  has empty interior for each  $k$ ,  $1 \leq k \leq n-1$ .

Let  $k = n$ . We have

$$\Phi_{a,f}|_{\Gamma_n} = -A(a, f) + \sum_{j=1}^{n-1} [d_j(f) - ac_j(f)] \cdot [-\omega_j(a)]$$

As before, the continuity of  $a \mapsto A(a, f)$  on  $D$  implies that the set  $\{a \in D: \Phi_{a,f}|_{\Gamma_n} = 0\}$  is closed. Suppose that it has nonempty interior. As before, we get

$$0 = A(a, f) = \sum_{j=1}^{n-1} [d_j(f) - ac_j(f)] \cdot [-\omega_j(a)] \quad (a \in bD) \quad (6.6)$$

It follows that  $d_j(f) - ac_j(f) = 0$  ( $a \in \Gamma_j$ ,  $1 \leq j \leq n-1$ ) which implies that  $d_j(f) = c_j(f) = 0$  ( $1 \leq j \leq n-1$ ) so again  $A(a, f) \equiv 0$  ( $a \in D$ ) which contradicts (5.1). This completes the proof.

## 7. Proof Theorem 1.1, Part 2

By Lemma 6.1 there is an  $a \in D$  such that the constants  $\Phi_{a,f}|_{\Gamma_k}$ ,  $1 \leq k \leq n$ , are all different from 0. By (5.4) and (5.5) we have  $\Phi_{a,e^{i\omega}f} = e^{i\omega}\Phi_{a,f}$  ( $\omega \in \mathbb{R}$ ) so replacing  $f$  by  $e^{i\omega}f$  if necessary we may assume with no loss of generality that

$$(\Re\Phi_{a,f})|_{\Gamma_k} = \beta_k \neq 0 \quad (1 \leq k \leq n). \quad (7.1)$$

To make the proof easier to understand we first complete it under the assumption that  $f$  is smooth. Assume that  $f$  is smooth. In this case, by Lemma 2.1,

$$\mathcal{H}[(Z-a)f](z) + \Phi_{a,f}(z) = F_{a,f} + \overline{G_{a,f}(z)} \quad (z \in \overline{D})$$

where  $F_{a,f}$  and  $G_{a,f}$  belong to  $A(D)$  so

$$\Re\{\mathcal{H}[(Z-a)f](z) + \Phi_{a,f}(z)\} = \Re[g(z)] \quad (z \in \overline{D})$$

where  $g = (F_{a,f} + G_{a,f})/2 \in A(D)$ . Clearly  $\Re[g(a)] = 0$  so by adding an imaginary constant to  $g$  if necessary we may assume that  $g(0) = 0$  so  $g(z) = (z-a)h(z)$  ( $z \in \overline{D}$ ) where  $h \in A(D)$ .



Consider the function  $z \mapsto G(z) = (z - a)f(z) - g(z)$  ( $z \in bD$ ). We have  $\Re[G(z)] = -\Re\Phi_{a,f}(z)$  ( $z \in bD$ ). By (7.1) for each  $k$ ,  $1 \leq k \leq n$ , the expression on the right is a nonzero constant on  $\Gamma_k$  which implies that for each  $k$ ,  $1 \leq k \leq n$ , the change of argument of  $z \mapsto G(z) = (z - a)[f(z) - h(z)]$  along  $\Gamma_k$  equals 0. Since  $a \in D$  the change of argument of  $z \mapsto (z - a)$  along each  $\Gamma_k$ ,  $1 \leq k \leq n - 1$ , is zero, and the change of argument of  $z \mapsto (z - a)$  along  $\Gamma_n$  is  $2\pi$ . Thus, the change of argument of  $z \mapsto f(z) - h(z)$  along  $\Gamma_n$  equals  $-2\pi$ . So, the change of argument of  $z \mapsto f(z) - h(z)$  along  $bD$  is negative. Since  $h \in A(D)$  this completes the proof in the case when  $f$  is smooth.

In the case of general  $f$  we have to approximate  $f$  by smooth functions. We already know that the constants  $c_k(f)$  and  $d_k(f)$  depend continuously on  $f \in C(bD)$ . Further, for our fixed  $a \in D$  the maximum principle for harmonic functions implies that  $A(a, f) = \mathcal{H}[(Z - a)f](a)$  also depends continuously on  $f \in C(bD)$ . It follows that  $\Phi_{a,f_1}$  is uniformly arbitrarily close to  $\Phi_{a,f}$  on  $\overline{D}$  provided that  $f_1 \in C(bD)$  is sufficiently close to  $f$ . Fix  $\varepsilon$ ,

$$0 < \varepsilon < (1/4) \min\{|\beta_k|: 1 \leq k \leq n\} \quad (7.3)$$

and let  $f_1$  be a smooth function on  $bD$  which is so close to  $f$  that

$$|\Phi_{a,f_1}(z) - \Phi_{a,f}(z)| < \varepsilon \quad (z \in \overline{D}) \quad (7.4)$$

and

$$|(z - a)[f_1(z) - f(z)]| < \varepsilon \quad (z \in bD). \quad (7.5)$$

As before, since  $f_1$  is smooth, Lemma 2.1 applies to show that

$$\Re\{\mathcal{H}[(Z - a)f_1](z) + \Phi_{a,f_1}(z)\} = \Re[g(z)] \quad (z \in \overline{D}) \quad (7.6)$$

where  $g \in A(D)$  satisfies  $g(a) = 0$ . Consider the function  $z \mapsto G(z) = (z - a)f(z) - g(z)$ . We have  $\Re[G(z)] = \Re\{(z - a)[f(z) - f_1(z)]\} + \Re[\Phi_{a,f}(z)] + \Re[\Phi_{a,f_1}(z) - \Phi_{a,f}(z)]$  so by (7.4) and (7.5) it follows that

$$|\Re[G(z)] - \Re[\Phi_{a,f}(z)]| < 2\varepsilon \quad (z \in bD)$$

so by (7.1) it follows that

$$|\Re[G(z)] - \beta_k| < 2\varepsilon \quad (z \in \Gamma_k, 1 \leq k \leq n),$$

which, by (7.3), implies that

$$\beta_k - |\beta_k|/2 < \Re[G(z)] < \beta_k + |\beta_k|/2 \quad (z \in \Gamma_k, 1 \leq k \leq n).$$

Since  $\beta_k \neq 0$  ( $1 \leq k \leq n$ ), it follows that for each  $k$ ,  $1 \leq k \leq n$ , the change of argument of  $G$  along  $\Gamma_k$  is zero. Now we conclude the proof as in the smooth case.

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