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DENSE IMAGES

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ABSTRACT. Let Δ be the open unit disc in \mathbb{C} , X a connected complex manifold and \mathcal{D} the set of all holomorphic maps $f: \Delta \rightarrow X$ with $\overline{f(\Delta)} = X$. We prove that \mathcal{D} is dense in $Hol(\Delta, X)$.

1. INTRODUCTION

Let $\Delta_r = \{z \in \mathbb{C}: |z| < r\}$ and $\Delta = \Delta_1$. In [7] the second author proved that *for any irreducible complex space X there exists a holomorphic map $\Delta \rightarrow X$ with dense image*, and he raised the question whether the set of all holomorphic maps $\Delta \rightarrow X$ with dense image forms a dense subset of the set $Hol(\Delta, X)$ of all holomorphic maps $\Delta \rightarrow X$ with respect to the topology of locally uniform convergence.

In this paper we show that the answer to this question is positive if X is smooth, but negative for some singular space.

Theorem 1. *For any connected complex manifold X the set of holomorphic maps $\Delta \rightarrow X$ with dense images forms a dense subset in $Hol(\Delta, X)$. The conclusion fails for some singular complex surface X .*

The situation is quite different for *proper discs*, i.e., proper holomorphic maps $\Delta \rightarrow X$. The paper [3] contains an example of a non-pseudoconvex bounded domain $X \subset \mathbb{C}^2$ such that a certain nonempty open subset $U \subset X$ is not intersected by the image of any proper holomorphic disc $\Delta \rightarrow X$. On the other hand, proper holomorphic discs exist in great abundance in *Stein manifolds* [5], [1], [2].

2. PREPARATIONS

Lemma 1. *Let W_n be a decreasing sequence (i.e., $W_{n+1} \subset W_n$) of open sets with $\Delta \subset W_n \subset \Delta_2$ for every n . Let $K = \bigcap_n \overline{W_n}$ and assume that the interior of K coincides with Δ . Furthermore assume that there are biholomorphic maps $\phi_n: \Delta \rightarrow W_n$ with $\phi_n(0) = 0$ for $n = 1, 2, \dots$*

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Then there exists an automorphism $\alpha \in \text{Aut}(\Delta)$ and a subsequence (ϕ_{n_k}) of the sequence (ϕ_n) such that $\phi_{n_k} \circ \alpha^{-1}$ converges locally uniformly to the identity map id_Δ on Δ .

Proof. Montel's theorem shows that, after passing to a suitable subsequence, we have $\lim_{n \rightarrow \infty} \phi_n = \alpha: \Delta \rightarrow K$ and $\lim_{n \rightarrow \infty} (\phi_n^{-1}|_\Delta) = \beta: \Delta \rightarrow \overline{\Delta}$. Since the limit maps are holomorphic and satisfy $\alpha(0) = 0$ and $\beta(0) = 0$, we conclude that $\alpha(\Delta) \subset \text{Int}K = \Delta$ and $\beta(\Delta) \subset \Delta$. Moreover $\alpha \circ \beta = \text{id}_\Delta = \beta \circ \alpha$, and hence both α and β are automorphisms of Δ (indeed, rotations $z \rightarrow ze^{it}$). \square

We also need the following special case of a result of the first author (theorem 3.2 in [4]):

Proposition 1. *Let X be a complex manifold, $0 < r < 1$, E the real line segment $[1, 2] \subset \mathbb{C}$, $K = \overline{\Delta} \cup E$, U an open neighbourhood of $\overline{\Delta}$ in \mathbb{C} , S a finite subset of K and $f: U \cup E \rightarrow X$ a continuous map which is holomorphic on U .*

Then there is a sequence of pair of open neighbourhoods $W_n \subset \mathbb{C}$ of K and holomorphic maps $g_n: W_n \rightarrow X$ such that:

- (1) $g_n|_K$ converges uniformly to $f|_K$ as $n \rightarrow \infty$, and
- (2) $g_n(a) = f(a)$ for all $a \in S$ and $n \in \mathbb{N}$.

3. TOWARDS THE MAIN RESULT

In this section we prove the following proposition which is the main technical result in the paper. The first statement in theorem 1 (§1) is an immediate corollary.

Proposition 2. *Let X be a connected complex manifold endowed with a complete Riemannian metric and induced distance d , S a countable subset of X , $f: \Delta \rightarrow X$ a holomorphic map, $\epsilon > 0$ and $0 < r < 1$.*

Then there exists a holomorphic map $F: \Delta \rightarrow X$ such that

- (a) $S \subset F(\Delta)$, and
- (b) $d(f(z), F(z)) \leq \epsilon$ for all $z \in \Delta_r$.

Proof. Let s_1, s_2, s_3, \dots be an enumeration of the elements of S . We shall inductively construct a sequence of holomorphic maps $f_n: \Delta \rightarrow X$, numbers $r_n \in (0, 1)$ and points $a_{1,n}, \dots, a_{n,n} \in \Delta$ satisfying the following properties for $n = 0, 1, 2, \dots$:

- (1) $f_0 = f$ and $r_0 = r$,
- (2) $(r_n + 1)/2 < r_{n+1} < 1$,
- (3) $f_n(a_{j,n}) = s_j$ for $n \geq 1$ and $j = 1, 2, \dots, n$,
- (4) $d(f_n(z), f_{n+1}(z)) < 2^{-(n+1)}\epsilon$ for all $z \in \Delta_{r_n}$, and

- (5) $d_{\Delta}(a_{j,n}, a_{j,n+1}) < 2^{-n}$ for $j = 1, 2, \dots, n$ where d_{Δ} denotes the Poincaré distance on the unit disc.

Assume inductively that the data for level n (i.e., $f_n, r_n, a_{j,n}$) have been chosen. (For $n = 0$ we do not have any points $a_{j,0}$.) With n fixed we choose an increasing sequence of real numbers λ_k with $\lambda_k > r_n$ and $\lim_{k \rightarrow \infty} \lambda_k = 1$. For every $k \in \mathbb{N}$ the map $\tilde{g}_k(z) \stackrel{\text{def}}{=} f_n(\lambda_k z) \in X$ is defined and holomorphic on the disc $\Delta_{1/\lambda_k} \supset \overline{\Delta}$. After a slight shrinking of its domain we can extend it continuously to the segment $E = [1, 2] \subset \mathbb{C}$ such that the right end point 2 of E is mapped to the next point $s_{n+1} \in S$ (this is possible since X is connected).

Applying proposition 1 to the extended map \tilde{g}_k we obtain for every $k \in \mathbb{N}$ an open neighbourhood $V_k \subset \mathbb{C}$ of $K = \overline{\Delta} \cup E$ and a holomorphic map $g_k: V_k \rightarrow X$ such that

- (i) $|g_k(z) - f_n(\lambda_k z)| < 2^{-k}$ for all $z \in \overline{\Delta}$,
- (ii) $g_k(2) = s_{n+1}$, and
- (iii) $g_k(a_{j,n}/\lambda_k) = f_n(a_{j,n}) = s_j$ for $j = 1, \dots, n$.

Next we choose a decreasing sequence of simply connected open sets $W_k \subset \mathbb{C}$ ($k \in \mathbb{N}$) with $K \subset W_k \subset V_k$ and $K = \bigcap_k \overline{W}_k$. Notice that $\text{Int}K = \Delta$. By lemma 1 there is a sequence of biholomorphic maps $\phi_k: \Delta \rightarrow W_k$ with $\lim_{k \rightarrow \infty} \phi_k = id_{\Delta}$.

Consider the holomorphic maps $h_k = g_k \circ \phi_k: \Delta \rightarrow X$. By our construction we know that $\lim_{k \rightarrow \infty} h_k = f_n$ locally uniformly on Δ .

To fulfill the inductive step it thus suffices to choose $f_{n+1} = h_k$ for a sufficiently large k , $a_{j,n+1} = a_{j,n}/\lambda_k$ ($j = 1, \dots, n$), $a_{n+1,n+1} = \phi_k^{-1}(2)$. Finally we choose a number r_{n+1} satisfying

$$\max\{|a_{n+1,n+1}|, \frac{r_n + 1}{2}\} < r_{n+1} < 1.$$

This completes the inductive step.

By properties (2) and (4) the sequence f_n converges locally uniformly in Δ to a holomorphic map $F: \Delta \rightarrow X$. Aided by property (1) we also control $d(f(z), F(z))$ for $z \in \Delta_r$. Since the Poincaré metric is complete, property (5) insures that for every fixed $j \in \mathbb{N}$ the sequence $a_{j,n} \in \Delta$ ($n = j, j+1, \dots$) has an accumulation point b_j inside of Δ , and (3) implies $F(b_j) = s_j$ for $j = 1, 2, \dots$. Hence $S \subset F(\Delta)$. \square

4. SINGULAR SPACES

We use an example of Kaliman and Zaidenberg [6] to show that for a complex spaces X with singularities the set of maps $\Delta \rightarrow X$ with dense image need not be dense in $Hol(\Delta, X)$. We denote by $Sing(X)$ the singular locus of X .

Proposition 3. *There is a singular compact complex surface S , a non-constant holomorphic map $f: \Delta \rightarrow S$ and an open neighbourhood Ω of f in $Hol(\Delta, S)$ such that $g(\Delta) \subset Sing(S)$ for every $g \in \Omega$.*

Proof. In [6] Kaliman and Zaidenberg constructed an example of a singular surface S with normalization $\pi: Z \rightarrow S$ such that S contains a rational curve $C \simeq \mathbb{P}^1$ while Z is smooth and hyperbolic. Denote by d_Z the Kobayashi distance function on Z . We choose two distinct points $p, q \in C$ and open relatively compact neighbourhoods V of p and W of q in S such that $\overline{V} \cap \overline{W} = \emptyset$. The preimages $\pi^{-1}(\overline{V})$ and $\pi^{-1}(\overline{W})$ in Z are also compact, and since Z is hyperbolic we have

$$r = \min\{d_Z(x, y) : x \in \pi^{-1}(\overline{V}), y \in \pi^{-1}(\overline{W})\} > 0.$$

Fix a point $a \in \Delta$ with $0 < d_\Delta(0, a) < r$ and let Ω consist of all holomorphic maps $g: \Delta \rightarrow S$ satisfying $g(0) \in V$ and $g(a) \in W$. Since both p and q are lying on the rational curve C , there is a holomorphic map $g: \Delta \rightarrow C$ with $g(0) = p \in V$ and $g(a) = q \in W$; hence the set Ω is not empty. Clearly Ω is open in $Hol(\Delta, S)$.

To conclude the proof it remains to show that $g(\Delta) \subset Sing(S)$ for all $g \in \Omega$. Indeed, a holomorphic map $g: \Delta \rightarrow S$ with $g(\Delta) \not\subset Sing(S)$ admits a holomorphic lifting $\tilde{g}: \Delta \rightarrow Z$ with $\pi \circ \tilde{g} = g$. If $g \in \Omega$ then by construction

$$d_Z(\tilde{g}(0), \tilde{g}(a)) \geq r > d_\Delta(0, a)$$

which violates the distance decreasing property for the Kobayashi pseudometric. This contradiction establishes the claim. \square

In particular, we see that in this example the set of all holomorphic maps $f: \Delta \rightarrow S$ with dense image *does not* constitute a dense subset of $Hol(\Omega, S)$.

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