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## Abstract

Let  $\Gamma$  denote a bipartite  $Q$ -polynomial distance-regular graph with vertex set  $X$ , diameter  $d \geq 3$  and valency  $k \geq 3$ . Let  $\mathbb{R}^X$  denote the vector space over  $\mathbb{R}$  consisting of column vectors with entries in  $\mathbb{R}$  and rows indexed by  $X$ . For  $z \in X$ , let  $\hat{z}$  denote the vector in  $\mathbb{R}^X$  with a 1 in the  $z$ -coordinate, and 0 in all other coordinates. Fix  $x, y \in X$  such that  $\partial(x, y) = 2$ , where  $\partial$  denotes path-length distance. For  $0 \leq i, j \leq d$  we define  $w_{ij} = \sum \hat{z}$ , where the sum is over all  $z \in X$  such that  $\partial(x, z) = i$  and  $\partial(y, z) = j$ . We define  $W = \text{span}\{w_{ij} \mid 0 \leq i, j \leq d\}$ . In this paper we consider the space  $MW = \text{span}\{mw \mid m \in M, w \in W\}$ , where  $M$  is the Bose-Mesner algebra of  $\Gamma$ . We observe  $MW$  is the minimal  $A$ -invariant subspace of  $\mathbb{R}^X$  which contains  $W$ , where  $A$  is the adjacency matrix of  $\Gamma$ . We display a basis for  $MW$  that is orthogonal with respect to the dot product. We give the action of  $A$  on this basis. We show that the dimension of  $MW$  is  $3d - 3$  if  $\Gamma$  is 2-homogeneous,  $3d - 1$  if  $\Gamma$  is the antipodal quotient of the  $2d$ -cube, and  $4d - 4$  otherwise. We obtain our main result using Terwilliger's "balanced set" characterization of the  $Q$ -polynomial property.

## 1 Introduction

This paper is part of an ongoing effort to understand and classify the  $Q$ -polynomial bipartite distance-regular graphs [3]–[8]. We briefly summarize what is done so far. Throughout this introduction let  $\Gamma$  denote a  $Q$ -polynomial bipartite distance-regular graph with vertex set  $X$ , diameter  $d \geq 3$  and valency  $k \geq 3$  (see Section 2 for formal definitions). In [3] Caughman found the possible  $Q$ -polynomial orderings of the eigenvalues of  $\Gamma$ . In [4] he determined the irreducible modules for the Terwilliger algebra of  $\Gamma$ . In [5] he showed that if  $d \geq 4$  and  $\Gamma$  is the quotient of an antipodal distance-regular graph, then  $\Gamma$  is the

quotient of the  $2d$ -cube. In [6], [8] he considers the intersection numbers of  $\Gamma$ . It is known that, except for some special cases, these intersection numbers are determined by  $d$  and two complex scalars  $q$  and  $s^*$  [4, Lemma 15.1, Lemma 15.3]. In [6] he showed that  $q$  and  $s^*$  are real for  $d \geq 4$  and in [8] he showed  $s^* = 0$  for  $d \geq 12$ . In [7] he showed that with respect to any vertex, the distance-2 graph induced on the last subconstituent of  $\Gamma$  is distance-regular and  $Q$ -polynomial. See [2], [9]–[14], [16]–[18] for related topics.

In the present paper we obtain the following results about  $\Gamma$ . To state the results we use the following notation. Let  $\mathbb{R}^X$  denote the vector space over  $\mathbb{R}$  consisting of column vectors with entries in  $\mathbb{R}$  and rows indexed by  $X$ . For  $z \in X$ , let  $\hat{z}$  denote the vector in  $\mathbb{R}^X$  with a 1 in the  $z$ -coordinate, and 0 in all other coordinates. We view  $\mathbb{R}^X$  as a Euclidean space with inner product  $\langle u, v \rangle = u^t v$  ( $u, v \in \mathbb{R}^X$ ), where  $t$  denotes transpose. Fix  $x, y \in X$  such that  $\partial(x, y) = 2$ , where  $\partial$  denotes path-length distance. For  $0 \leq i, j \leq d$  we define a vector  $w_{ij} = \sum \hat{z}$ , where the sum is over all  $z \in X$  such that  $\partial(x, z) = i$  and  $\partial(y, z) = j$ . We define  $W = \text{span}\{w_{ij} \mid 0 \leq i, j \leq d\}$  and  $MW = \text{span}\{mw \mid m \in M, w \in W\}$ , where  $M$  denotes the Bose-Mesner algebra of  $\Gamma$ . We observe  $MW$  is the minimal  $A$ -invariant subspace of  $\mathbb{R}^X$  that contains  $W$ , where  $A$  is the adjacency matrix of  $\Gamma$ . Our results are as follows.

We give an orthogonal basis for  $MW$ . We compute the action of  $A$  on this basis. We express the coefficients involved in terms of the intersection numbers of  $\Gamma$  and the dual eigenvalues for the given  $Q$ -polynomial structure. We show that the dimension of  $MW$  is  $3d - 3$  if  $\Gamma$  is 2-homogeneous,  $3d - 1$  if  $\Gamma$  is the antipodal quotient of the  $2d$ -cube, and  $4d - 4$  otherwise. We obtain our main result using Terwilliger’s “balanced set” characterization of the  $Q$ -polynomial property. We remark that if  $\Gamma$  has intersection number  $c_2 = 1$  then the results of this paper essentially follow from [19].

Our paper is organized as follows. In Sections 2–4 we give a brief introduction to the theory of distance-regular graphs. In Section 5 we define a certain partition of the vertex set of  $\Gamma$ . In Section 6 we use this partition to define the vectors  $w_{ij}$  and derive some of their properties. In Section 7 we define certain vectors that give an orthogonal basis of  $MW$ . In Sections 8–10 we study the action of  $A$  on this basis. In Section 11 we give more detailed information about this basis.

## 2 Preliminaries

In this section we review some definitions and basic results concerning distance-regular graphs. See the book of Brouwer, Cohen and Neumaier [1] for more background information.

Throughout this paper,  $\Gamma = (X, R)$  will denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set  $X$ , edge set  $R$ , path-length distance function  $\partial$ , and diameter  $d := \max\{\partial(x, y) \mid x, y \in X\}$ . For a vertex  $x \in X$  define  $\Gamma_i(x)$  to be the set of vertices at distance  $i$  from  $x$ . We abbreviate  $\Gamma(x) := \Gamma_1(x)$ . Let  $k$  denote a nonnegative integer. Then  $\Gamma$  is said to be *regular* with *valency*  $k$ , whenever  $|\Gamma(x)| = k$  for all  $x \in X$ . We say  $\Gamma$  is *distance-regular*, whenever for all integers  $h, i, j$  ( $0 \leq h, i, j \leq d$ ),

and all  $x, y \in X$  with  $\partial(x, y) = h$ , the number

$$p_{ij}^h := |\{z \in X, \partial(x, z) = i, \partial(y, z) = j\}|$$

is independent of  $x, y$ . The constants  $p_{ij}^h$  ( $0 \leq h, i, j \leq d$ ) are known as the *intersection numbers* of  $\Gamma$ . From now on we assume  $\Gamma$  is distance-regular. It is well known that the intersection numbers of  $\Gamma$  satisfy  $p_{ij}^h = p_{ji}^h$  ( $0 \leq h, i, j \leq d$ ). For convenience, set  $c_i := p_{1i-1}^i$  ( $1 \leq i \leq d$ ),  $a_i := p_{1i}^i$  ( $0 \leq i \leq d$ ),  $b_i := p_{1i+1}^i$  ( $0 \leq i \leq d-1$ ),  $k_i := p_{ii}^0$  ( $0 \leq i \leq d$ ), and  $c_0 = b_d = 0$ . We observe  $\Gamma$  is regular with valency  $k = b_0$ , and that  $a_0 = 0$ ,  $c_1 = 1$ . Moreover,

$$c_i + a_i + b_i = k \quad (0 \leq i \leq d). \quad (1)$$

By [1, p. 127],

$$k_i = \frac{b_0 b_1 \cdots b_{i-1}}{c_1 c_2 \cdots c_i} \quad (0 \leq i \leq d) \quad \text{and} \quad k_h p_{ij}^h = k_j p_{ih}^j \quad (0 \leq h, i, j \leq d). \quad (2)$$

In the following lemma we cite some well known facts about the intersection numbers.

**Lemma 2.1** ([1, p. 127, Lemma 4.1.7]) *Let  $\Gamma$  denote a distance-regular graph with diameter  $d \geq 3$ . Then for all integers  $h, i, j$  ( $0 \leq h, i, j \leq d$ ) the following (i), (ii) hold.*

- (i) *If one of  $h, i, j$  is greater than the sum of the other two, then  $p_{ij}^h = 0$ .*
- (ii) *If one of  $h, i, j$  is equal to the sum of the other two, then  $p_{ij}^h \neq 0$ .*

Let  $\text{Mat}_X(\mathbb{R})$  denote the  $\mathbb{R}$ -algebra of matrices with entries in  $\mathbb{R}$ , whose rows and columns are indexed by  $X$ . For each integer  $i$  ( $0 \leq i \leq d$ ) let  $A_i$  denote the matrix in  $\text{Mat}_X(\mathbb{R})$  with  $x, y$  entry

$$(A_i)_{xy} = \begin{cases} 1 & \text{if } \partial(x, y) = i \\ 0 & \text{if } \partial(x, y) \neq i \end{cases} \quad (x, y \in X).$$

We refer to  $A_i$  as the  $i$ -th *distance matrix* of  $\Gamma$ . Let  $I$  and  $J$  denote the identity and the all ones matrix of  $\text{Mat}_X(\mathbb{R})$ , respectively. Then

$$A_0 = I, \quad (3)$$

$$A_0 + A_1 + \cdots + A_d = J, \quad (4)$$

$$A_i^t = A_i \quad (0 \leq i \leq d), \quad (5)$$

$$A_i A_j = \sum_{h=0}^d p_{ij}^h A_h \quad (0 \leq i, j \leq d). \quad (6)$$

By (3), (5) and (6), the matrices  $A_0, A_1, \dots, A_d$  form the basis for a commutative semi-simple  $\mathbb{R}$ -algebra  $M$ , known as the *Bose-Mesner algebra* of  $\Gamma$ . By [15, Theorem 12.2.1], the algebra  $M$  has a second basis  $E_0, E_1, \dots, E_d$  such that

$$E_0 = |X|^{-1} J, \quad (7)$$

$$E_0 + E_1 + \cdots + E_d = I, \quad (8)$$

$$E_i^t = E_i \quad (0 \leq i \leq d), \quad (9)$$

$$E_i E_j = \delta_{ij} E_i \quad (0 \leq i, j \leq d). \quad (10)$$

The matrices  $E_0, E_1, \dots, E_d$  are known as the *primitive idempotents* of  $\Gamma$ , and  $E_0$  is the *trivial* idempotent. Set  $A := A_1$ , and define the real numbers  $\theta_i$  ( $0 \leq i \leq d$ ) by

$$A = \sum_{i=0}^d \theta_i E_i.$$

Then  $AE_i = E_i A = \theta_i E_i$  ( $0 \leq i \leq d$ ), and  $\theta_0 = k$ . The scalars  $\theta_0, \theta_1, \dots, \theta_d$  are distinct [1, p. 128]; they are known as the *eigenvalues* of  $\Gamma$ . For  $0 \leq i \leq d$  we say the eigenvalue  $\theta_i$  is *associated* with the primitive idempotent  $E_i$ .

Let  $E$  denote a primitive idempotent of  $\Gamma$  and let  $\theta$  denote the associated eigenvalue. We define the real numbers  $\theta_i^*$  ( $0 \leq i \leq d$ ) by

$$E = |X|^{-1} \sum_{i=0}^d \theta_i^* A_i.$$

We call the sequence  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  the *dual eigenvalue sequence associated with  $\theta, E$* . The sequence is *trivial* whenever  $E = E_0$  (in which case  $\theta_0^* = \theta_1^* = \dots = \theta_d^* = 1$ ). For convenience we let  $\theta_{-1}^* = \theta_{d+1}^* = 0$ .

Let  $\mathbb{R}^X$  denote the vector space over  $\mathbb{R}$  consisting of column vectors with entries in  $\mathbb{R}$  and rows indexed by  $X$ . We observe  $\text{Mat}_X(\mathbb{R})$  acts on  $\mathbb{R}^X$  by left multiplication. For  $z \in X$ , let  $\hat{z}$  denote the vector in  $\mathbb{R}^X$  with a 1 in the  $z$ -coordinate, and 0 in all other coordinates. We view  $\mathbb{R}^X$  as a Euclidean space with inner product

$$\langle u, v \rangle = u^t v \quad (u, v \in \mathbb{R}^X),$$

where  $t$  denotes transpose. Adopting this point of view we find  $\{\hat{z} \mid z \in X\}$  is an orthonormal basis for  $\mathbb{R}^X$ .

In the following lemma, we cite a well known result about primitive idempotents and dual eigenvalue sequences.

**Lemma 2.2** ([21, Lemma 1.1]) *Let  $\Gamma$  denote a distance-regular graph with diameter  $d \geq 3$ . Pick any primitive idempotent  $E$  of  $\Gamma$  and let  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  denote the associated dual eigenvalue sequence. Then the following (i), (ii) hold.*

(i) *For all  $x, y \in X$ ,*

$$\langle E\hat{x}, E\hat{y} \rangle = |X|^{-1} \theta_i^*, \quad \text{where } i = \partial(x, y).$$

(ii) *The intersection numbers of  $\Gamma$  satisfy*

$$c_i \theta_{i-1}^* + a_i \theta_i^* + b_i \theta_{i+1}^* = \theta \theta_i^* \quad (0 \leq i \leq d),$$

*and  $\theta_0^* = \text{rank } E$ .*

### 3 The $Q$ -polynomial property

In this section we recall the  $Q$ -polynomial property. Let  $\Gamma = (X, R)$  denote a distance-regular graph with diameter  $d \geq 3$ . The *Krein parameters*  $q_{ij}^h$  ( $0 \leq h, i, j \leq d$ ) of  $\Gamma$  are defined by

$$E_i \circ E_j = |X|^{-1} \sum_{h=0}^d q_{ij}^h E_h \quad (0 \leq i, j \leq d),$$

where  $\circ$  denotes entrywise multiplication. We say  $\Gamma$  is  *$Q$ -polynomial* (with respect to the given ordering  $E_0, E_1, \dots, E_d$  of the primitive idempotents), whenever for all distinct integers  $i, j$  ( $0 \leq i, j \leq d$ ),

$$q_{ij}^1 \neq 0 \quad \text{if and only if} \quad |i - j| = 1.$$

Let  $E$  denote a nontrivial primitive idempotent of  $\Gamma$ . We say  $\Gamma$  is  *$Q$ -polynomial with respect to  $E$*  whenever there exists an ordering  $E_0, E_1 = E, \dots, E_d$  of the primitive idempotents of  $\Gamma$ , with respect to which  $\Gamma$  is  $Q$ -polynomial. We have the following useful lemmas about the  $Q$ -polynomial property.

**Lemma 3.1** ([1, Theorem 8.1.1]) *Let  $\Gamma$  denote a distance-regular graph with diameter  $d \geq 3$ . Let  $E$  denote a nontrivial primitive idempotent of  $\Gamma$  and let  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  denote the corresponding dual eigenvalue sequence. Suppose  $\Gamma$  is  $Q$ -polynomial with respect to  $E$ . Then  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  are mutually distinct.*

**Lemma 3.2** ([21, Theorem 3.3]) *Let  $\Gamma$  denote a distance-regular graph with diameter  $d \geq 3$ . Let  $E$  denote a nontrivial primitive idempotent of  $\Gamma$  and let  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  denote the corresponding dual eigenvalue sequence. Then the following (i), (ii) are equivalent.*

(i)  $\Gamma$  is  $Q$ -polynomial with respect to  $E$ .

(ii)  $\theta_0^* \neq \theta_i^*$  ( $1 \leq i \leq d$ ); also for all integers  $h, i, j$  ( $1 \leq h \leq d$ ), ( $0 \leq i, j \leq d$ ) and for all vertices  $x, y \in X$  with  $\partial(x, y) = h$ ,

$$\sum_{\substack{z \in X \\ \partial(x, z) = i \\ \partial(y, z) = j}} E\hat{z} - \sum_{\substack{z \in X \\ \partial(x, z) = j \\ \partial(y, z) = i}} E\hat{z} \in \text{span}\{E\hat{x} - E\hat{y}\}.$$

Suppose (i), (ii) hold. Then for all integers  $h, i, j$  ( $1 \leq h \leq d$ ), ( $0 \leq i, j \leq d$ ) and for all  $x, y \in X$  such that  $\partial(x, y) = h$ ,

$$\sum_{\substack{z \in X \\ \partial(x, z) = i \\ \partial(y, z) = j}} E\hat{z} - \sum_{\substack{z \in X \\ \partial(x, z) = j \\ \partial(y, z) = i}} E\hat{z} = p_{ij}^h \frac{\theta_i^* - \theta_j^*}{\theta_0^* - \theta_h^*} (E\hat{x} - E\hat{y}).$$

## 4 The bipartite case

Let  $\Gamma$  denote a distance-regular graph. Recall  $\Gamma$  is *bipartite* whenever  $a_i = 0$  for  $0 \leq i \leq d$ . For the rest of this paper we assume  $\Gamma$  is bipartite. In order to avoid trivialities we assume the valency  $k \geq 3$ . In this section we recall some basic formula. Setting  $a_i = 0$  in (1) we find

$$b_i + c_i = k \quad (0 \leq i \leq d). \quad (11)$$

The following two lemmas will be useful.

**Lemma 4.1** *Let  $\Gamma$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . Then the following (i)–(iv) hold.*

- (i)  $p_{i,i-1}^1 = p_{i-1,i}^1 = k_i c_i / k \quad (1 \leq i \leq d)$ ;
- (ii)  $p_{i,i-2}^2 = p_{i-2,i}^2 = k_i c_{i-1} c_i / (k(k-1)) \quad (2 \leq i \leq d)$ ;
- (iii)  $p_{ii}^2 = k_i (c_i (b_{i-1} - 1) + b_i (c_{i+1} - 1)) / (k(k-1)) \quad (1 \leq i \leq d-1)$ ;
- (iv)  $p_{dd}^2 = k_d (b_{d-1} - 1) / (k-1)$ .

PROOF. (i), (ii) Immediate from [1, Lemma 4.1.7] and since  $b_1 = k-1$ .

(iii) By [1, p. 127, Equation (10)] and  $a_i = 0$  ( $0 \leq i \leq d$ ), we obtain  $b_{i-1} p_{i-1,i}^1 + c_{i+1} p_{i,i+1}^1 = k_i + (k-1) p_{ii}^2$ . The result now follows from (i) above and (2).

(iv) We observe  $p_{dd}^2 = k_d - p_{d,d-2}^2$ . The result now follows from (ii) above,  $c_d = k$  and (11). ■

**Lemma 4.2** *Let  $\Gamma$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . Then the following (i)–(iv) hold.*

- (i)  $p_{i,i-2}^2 \neq 0, p_{i-2,i}^2 \neq 0 \quad (2 \leq i \leq d)$ ;
- (ii)  $p_{00}^2 = 0$  and  $p_{ii}^2 \neq 0 \quad (1 \leq i \leq d-1)$ ;
- (iii)  $p_{dd}^2 = 0$  if and only if  $b_{d-1} = 1$ ;
- (iv)  $p_{ij}^2 = 0$  if  $|i-j| \notin \{0, 2\}$  ( $0 \leq i, j \leq d$ ).

PROOF. (i) Immediate from Lemma 4.1(ii).

(ii) It is clear that  $p_{00}^2 = 0$ . Suppose there exists an integer  $i$  ( $1 \leq i \leq d-1$ ) such that  $p_{ii}^2 = 0$ . Then  $b_{i-1} = c_{i+1} = 1$  by Lemma 4.1(iii). Recall  $b_{i-1} \geq b_i$  and  $c_{i+1} \geq c_i$  by [1, Prop. 4.1.6(i)], implying  $b_i = c_i = 1$ . But now  $k = 2$  in view of (11), a contradiction.

(iii) Immediate from Lemma 4.1(iv).

(iv) If  $|i-j| \geq 3$ , then  $p_{ij}^2 = 0$  by Lemma 2.1(i). If  $|i-j| = 1$ , then  $p_{ij}^2 = 0$ ; otherwise  $\Gamma$  has a cycle of odd length, contradicting our assumption that  $\Gamma$  is bipartite. ■

## 5 The subsets $D_j^i$

Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . In this section we define a certain partition of  $X$  that we will find useful.

**Definition 5.1** *Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . Fix vertices  $x, y \in X$  such that  $\partial(x, y) = 2$ . For all integers  $i, j$  we define  $D_j^i = D_j^i(x, y)$  by*

$$D_j^i = \{z \in X \mid \partial(x, z) = i \text{ and } \partial(y, z) = j\}.$$

We observe  $D_j^i = \emptyset$  unless  $0 \leq i, j \leq d$ . In the following two lemmas we derive some properties of the sets  $D_j^i$ .

**Lemma 5.2** *With reference to Definition 5.1, the following (i), (ii) hold for  $0 \leq i, j \leq d$ .*

- (i)  $|D_j^i| = p_{ij}^2$ ;
- (ii)  $D_j^i = \emptyset$  if and only if  $p_{ij}^2 = 0$ .

PROOF. (i) Immediate from the definition of  $p_{ij}^2$  and  $D_j^i$ .

(ii) Immediate from (i) above. ■

**Lemma 5.3** *With reference to Definition 5.1, the following (i)–(iii) hold for  $v \in D_1^1$ .*

- (i) For  $1 \leq i \leq d - 1$  and  $u \in D_{i+1}^{i-1} \cup D_{i-1}^{i+1}$  we have  $\partial(u, v) = i$ .
- (ii) For  $1 \leq i \leq d - 1$  and  $u \in D_i^i$  we have  $\partial(u, v) \in \{i - 1, i + 1\}$ .
- (iii) For  $u \in D_d^d$  we have  $\partial(u, v) = d - 1$ .

PROOF. (i) Assume  $u \in D_{i+1}^{i-1}$ . Then  $\partial(x, u) = i - 1$  and  $\partial(y, u) = i + 1$ . The result now follows from the triangle inequality. If  $u \in D_{i-1}^{i+1}$  the proof is similar.

(ii) Observe  $\partial(u, v) \in \{i - 1, i, i + 1\}$  by the triangle inequality, and  $\partial(u, v) \neq i$  since  $a_i = 0$ .

(iii) Similar to the proof of (ii) above. ■

The following lemma will be useful.

**Lemma 5.4** ([9, Lemma 15]) *With reference to Definition 5.1, the following (i)–(iii) hold.*

- (i) For  $1 \leq i \leq d - 1$ , each  $z \in D_{i+1}^{i-1}$  (resp.  $D_{i-1}^{i+1}$ ) is adjacent to
  - (a) precisely  $c_{i-1}$  vertices in  $D_i^{i-2}$  (resp.  $D_{i-2}^i$ ),
  - (b) precisely  $b_{i+1}$  vertices in  $D_{i+2}^i$  (resp.  $D_i^{i+2}$ ),
  - (c) precisely  $b_{i-1} - b_{i+1}$  vertices in  $D_i^i$ ,
and no other vertices in  $X$ .



- (ii) For  $1 \leq i \leq d-1$ , each  $z \in D_i^i$  is adjacent to
- (a) precisely  $|\Gamma(z) \cap D_{i-1}^{i-1}|$  vertices in  $D_{i-1}^{i-1}$ ,
  - (b) precisely  $c_i - |\Gamma(z) \cap D_{i-1}^{i-1}|$  vertices in  $D_{i+1}^{i-1}$ ,
  - (c) precisely  $c_i - |\Gamma(z) \cap D_{i-1}^{i-1}|$  vertices in  $D_{i-1}^{i+1}$ ,
  - (d) precisely  $k - 2c_i + |\Gamma(z) \cap D_{i-1}^{i-1}|$  vertices in  $D_{i+1}^{i+1}$ ,
- and no other vertices in  $X$ .

(iii) Each  $z \in D_d^d$  is adjacent to precisely  $k$  vertices in  $D_{d-1}^{d-1}$ , and no other vertices in  $X$ .

## 6 The vectors $w_{ij}$ , $w_{ii}^+$ and $w_{ii}^-$

Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . In this section we define certain vectors in  $\mathbb{R}^X$  that are associated with the sets  $D_j^i$  from Definition 5.1.

**Definition 6.1** Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . Fix vertices  $x, y \in X$  such that  $\partial(x, y) = 2$ . With reference to Definition 5.1, for all integers  $i, j$  we define a vector  $w_{ij} = w_{ij}(x, y)$  by

$$w_{ij} = \sum_{z \in D_j^i} \hat{z}.$$

Observe  $w_{ij} = 0$  unless  $0 \leq i, j \leq d$ . We define

$$W = \text{span}\{w_{ij} \mid 0 \leq i, j \leq d\}.$$

The following two lemmas follow immediately from Definition 6.1 and Lemma 5.2.

**Lemma 6.2** With reference to Definition 6.1, the following (i), (ii) hold for  $0 \leq i, j \leq d$ .

(i)  $\|w_{ij}\|^2 = p_{ij}^2$ ;

(ii)  $w_{ij} = 0$  if and only if  $p_{ij}^2 = 0$ . ■

**Lemma 6.3** With reference to Definition 6.1, the vectors  $\{w_{ij} \mid 0 \leq i, j \leq d, p_{ij}^2 \neq 0\}$  form an orthogonal basis for  $W$ . ■

We now define a subspace  $W^\perp$  of  $\mathbb{R}^X$ .

**Definition 6.4** With reference to Definition 6.1, consider the subspace

$$MW = \text{span}\{mw \mid m \in M, w \in W\},$$

where  $M$  is the Bose-Mesner algebra of  $\Gamma$ . We observe  $MW$  is the minimal  $A$ -invariant subspace of  $\mathbb{R}^X$  that contains  $W$ , where  $A$  is the adjacency matrix of  $\Gamma$ . We let  $W^\perp$  denote the orthogonal complement of  $W$  in  $MW$ . We observe

$$MW = W + W^\perp \quad (\text{orthogonal direct sum}).$$

Our goal is to give an orthogonal basis for  $MW$ , in the case where  $\Gamma$  is  $Q$ -polynomial. In light of Lemma 6.3 it suffices to give an orthogonal basis for  $W^\perp$ . Towards this purpose we make a definition.

**Definition 6.5** Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$  and valency  $k \geq 3$ . Fix vertices  $x, y \in X$  such that  $\partial(x, y) = 2$ . With reference to Definition 5.1, for an integer  $i$  we define vectors  $w_{ii}^+ = w_{ii}^+(x, y)$  and  $w_{ii}^- = w_{ii}^-(x, y)$  by

$$w_{ii}^+ = \sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1| \hat{z}, \quad w_{ii}^- = \sum_{z \in D_i^i} |\Gamma(z) \cap D_{i-1}^{i-1}| \hat{z}.$$

We observe  $w_{ii}^+ = w_{ii}^- = 0$  unless  $1 \leq i \leq d$ . Furthermore,  $w_{11}^+ = w_{11}$ ,  $w_{11}^- = 0$ ,  $w_{dd}^+ = c_2 w_{dd}$ ,  $w_{dd}^- = k w_{dd}$ , and  $w_{22}^+ = w_{22}$ .

In the next two sections we will consider the following situation.

**Definition 6.6** Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$ , valency  $k \geq 3$  and adjacency matrix  $A$ . Fix vertices  $x, y \in X$  such that  $\partial(x, y) = 2$ . Let the vectors  $w_{ij}, w_{ii}^+, w_{ii}^-$  be as in Definitions 6.1 and 6.5. Let the subspaces  $W, W^\perp$  be as in Definitions 6.1 and 6.4.

## 7 The vectors $\tilde{w}_{ii}$

With reference to Definition 6.6, in this section we define some vectors that will give an orthogonal basis for  $W^\perp$  when  $\Gamma$  is  $Q$ -polynomial. We will need the following lemma.

**Lemma 7.1** With reference to Definition 6.6, the following (i), (ii) hold for  $2 \leq i \leq d-1$ .

- (i)  $\langle w_{ii}^+, w_{ii} \rangle = k_i c_i (b_{i-1} - 1) / k_2$ ;
- (ii)  $\|w_{ii}^+\|^2 = k_i c_i (c_2 (b_{i-1} - 1) - (c_2 - 1) b_i) / k_2$ .

PROOF. (i) Observe that  $\langle w_{ii}^+, w_{ii} \rangle = \sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1|$ . Hence  $\langle w_{ii}^+, w_{ii} \rangle$  is equal to the number of ordered pairs  $(v, z)$ , where  $v \in D_1^1$ ,  $z \in D_i^i$ , and  $\partial(v, z) = i - 1$ . In order to find this number, we fix  $v \in D_1^1$  and observe  $|\Gamma_i(x) \cap \Gamma_{i-1}(v)| = p_{i,i-1}^1$ . By Lemma 5.3 we find  $D_{i-2}^i$  is contained in  $\Gamma_i(x) \cap \Gamma_{i-1}(v)$ , and  $\Gamma_i(x) \cap \Gamma_{i-1}(v)$  is contained in  $D_{i-2}^i \cup D_i^i$ . Therefore,  $D_i^i \cap \Gamma_{i-1}(v) = (\Gamma_i(x) \cap \Gamma_{i-1}(v)) \setminus D_{i-2}^i$ . Since  $|D_{i-2}^i| = p_{i,i-2}^2$  by Lemma 5.2(i), we have  $|D_i^i \cap \Gamma_{i-1}(v)| = p_{i,i-1}^1 - p_{i,i-2}^2$ . Finally, since  $|D_1^1| = c_2$ , we have  $\langle w_{ii}^+, w_{ii} \rangle = c_2 (p_{i,i-1}^1 - p_{i,i-2}^2)$ . The result now follows from Lemma 4.1(i),(ii) and from (2), (11).

(ii) Observe that

$$\|w_{ii}^+\|^2 = \sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1|^2 = \sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1| + \sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1| (|\Gamma_{i-1}(z) \cap D_1^1| - 1).$$

By (i) above,  $\sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1| = \langle w_{ii}^+, w_{ii} \rangle = k_i c_i (b_{i-1} - 1) / k_2$ . Furthermore, the number  $\sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1| (|\Gamma_{i-1}(z) \cap D_1^1| - 1)$  is equal to the number of ordered triples

$(v_1, v_2, z)$ , where  $v_1, v_2 \in D_1^1$ ,  $v_1 \neq v_2$ ,  $z \in D_i^i$ , and  $\partial(v_1, z) = \partial(v_2, z) = i - 1$ . In order to find this number we fix  $v_1, v_2 \in D_1^1$ ,  $v_1 \neq v_2$ . From the proof of (i) above we find  $|D_i^i \cap \Gamma_{i-1}(v_1)| = p_{i,i-1}^1 - p_{i,i-2}^2$ . By Lemma 5.3 we find  $\Gamma_{i-1}(v_1) \cap \Gamma_{i+1}(v_2)$  is contained in  $D_i^i$ , and that  $|\Gamma_{i-1}(v_1) \cap \Gamma_{i+1}(v_2)| = p_{i+1,i-1}^2$ . Therefore,  $|D_i^i \cap \Gamma_{i-1}(v_1) \cap \Gamma_{i-1}(v_2)| = p_{i,i-1}^1 - p_{i,i-2}^2 - p_{i+1,i-1}^2$ . Finally, we can choose  $v_1, v_2 \in D_1^1$ ,  $v_1 \neq v_2$ , in  $c_2(c_2 - 1)$  different ways, implying  $\sum_{z \in D_i^i} |\Gamma_{i-1}(z) \cap D_1^1| (|\Gamma_{i-1}(z) \cap D_1^1| - 1) = c_2(c_2 - 1)(p_{i,i-1}^1 - p_{i,i-2}^2 - p_{i+1,i-1}^2)$ . Hence we have

$$\|w_{ii}^+\|^2 = \frac{k_i c_i (b_{i-1} - 1)}{k_2} + c_2(c_2 - 1)(p_{i,i-1}^1 - p_{i,i-2}^2 - p_{i+1,i-1}^2).$$

The result now follows from Lemma 4.1(i),(ii) and (2). ■

**Definition 7.2** *With reference to Definition 6.6, for  $2 \leq i \leq d - 1$  we define*

$$\tilde{w}_{ii} = w_{ii}^+ - \lambda_i w_{ii},$$

where

$$\lambda_i = \frac{k_i c_i (b_{i-1} - 1)}{k_2 p_{ii}^2}.$$

**Definition 7.3** *With reference to Definition 6.6, for  $2 \leq i \leq d - 1$  we define*

$$\Delta_i = (b_{i-1} - 1)(c_{i+1} - 1) - (c_2 - 1)p_{2i}^i.$$

We now prove that the vectors  $w_{ii}$  and  $\tilde{w}_{ii}$  ( $2 \leq i \leq d - 1$ ) are orthogonal.

**Lemma 7.4** *With reference to Definitions 6.6, 7.2 and 7.3, the following (i), (ii) hold for  $2 \leq i \leq d - 1$ .*

(i)  $\langle \tilde{w}_{ii}, w_{ii} \rangle = 0;$

(ii)  $\|\tilde{w}_{ii}\|^2 = k_i b_i c_i \Delta_i / (k_2 p_{2i}^i).$

PROOF. (i) In the expression  $\langle \tilde{w}_{ii}, w_{ii} \rangle$  eliminate  $\tilde{w}_{ii}$  using Definition 7.2 and evaluate the result using Lemma 6.2(i) and Lemma 7.1(i).

(ii) By Definition 7.2 and (i) above we have

$$\|\tilde{w}_{ii}\|^2 = \langle \tilde{w}_{ii}, w_{ii}^+ - \lambda_i w_{ii} \rangle = \langle \tilde{w}_{ii}, w_{ii}^+ \rangle = \|w_{ii}^+\|^2 - \lambda_i \langle w_{ii}, w_{ii}^+ \rangle.$$

The result now follows from Lemma 7.1, Lemma 4.1(iii) and (2). ■

**Lemma 7.5** *With reference to Definitions 6.6, 7.2 and 7.3, the following (i)–(iii) are equivalent for  $2 \leq i \leq d - 1$ .*

(i) *The vectors  $w_{ii}^+$  and  $w_{ii}$  are linearly dependent;*

(ii)  $\tilde{w}_{ii} = 0;$

(iii)  $\Delta_i = 0.$

PROOF. (i)  $\rightarrow$  (ii) Observe  $w_{ii}$  and  $\tilde{w}_{ii}$  are linearly dependent in view of Definition 7.2. The result now follows from Lemma 7.4(i) and since  $w_{ii} \neq 0$ .

(ii)  $\rightarrow$  (i) Immediate from Definition 7.2.

(ii)  $\leftrightarrow$  (iii) Immediate from Lemma 7.4(ii). ■

## 8 The action - part I

With reference to Definition 6.6, in this section we compute the images of the vectors  $w_{ij}, w_{ii}^+, w_{ii}^-$  under the action of  $A$ .

**Lemma 8.1** *With reference to Definition 6.6, the following (i), (ii) hold.*

- (i)  $Aw_{02} = w_{13} + w_{11},$   
 $Aw_{i-1,i+1} = b_i w_{i-2,i} + c_i w_{i,i+2} + c_i w_{ii} - w_{ii}^- \quad (2 \leq i \leq d-2),$   
 $Aw_{d-2,d} = b_{d-1} w_{d-3,d-1} + c_{d-1} w_{d-1,d-1} - w_{d-1,d-1}^-.$
- (ii)  $Aw_{20} = w_{31} + w_{11},$   
 $Aw_{i+1,i-1} = b_i w_{i,i-2} + c_i w_{i+2,i} + c_i w_{ii} - w_{ii}^- \quad (2 \leq i \leq d-2),$   
 $Aw_{d,d-2} = b_{d-1} w_{d-1,d-3} + c_{d-1} w_{d-1,d-1} - w_{d-1,d-1}^-.$

PROOF. (i) For  $z \in X$  and for each equation we show that the  $z$ -coordinate of both sides agree. For the first equation this is routinely checked, so consider the second equation. By Definition 6.5, the  $z$ -coordinate of  $w_{ii}^-$  is  $|\Gamma(z) \cap D_{i-1}^{i-1}|$  if  $z \in D_i^i$ , and 0 if  $z \notin D_i^i$ . For  $0 \leq r, s \leq d$  the  $z$ -coordinate of  $w_{rs}$  is 1 if  $z \in D_s^r$ , and 0 if  $z \notin D_s^r$ . The  $z$ -coordinate of  $Aw_{i-1,i+1}$  is  $|\Gamma(z) \cap D_{i+1}^{i-1}|$ . Moreover, by Lemma 5.4 we find  $|\Gamma(z) \cap D_{i+1}^{i-1}| = b_i$  if  $z \in D_i^{i-2}$ ,  $|\Gamma(z) \cap D_{i+1}^{i-1}| = c_i$  if  $z \in D_{i+2}^i$ ,  $|\Gamma(z) \cap D_{i+1}^{i-1}| = c_i - |\Gamma(z) \cap D_{i-1}^{i-1}|$  if  $z \in D_i^i$ , and  $|\Gamma(z) \cap D_{i+1}^{i-1}| = 0$  for all other  $z \in X$ . By these comments, the  $z$ -coordinate of both sides agree. This proves the second equation and the third equation is similarly obtained.

(ii) Similar to the proof of (i) above. ■

**Lemma 8.2** *With reference to Definition 6.6, the following equations hold:*

$$Aw_{11} = c_2(w_{02} + w_{20}) + w_{22}^-,$$

$$Aw_{22} = (b_1 - b_3)(w_{13} + w_{31}) + (k-2)w_{11} + w_{33}^-,$$

$$Aw_{ii} = (b_{i-1} - b_{i+1})(w_{i-1,i+1} + w_{i+1,i-1}) + (k - 2c_{i-1})w_{i-1,i-1} + w_{i-1,i-1}^- + w_{i+1,i+1}^-$$

$$(3 \leq i \leq d-1),$$

$$Aw_{dd} = (k - 2c_{d-1})w_{d-1,d-1} + w_{d-1,d-1}^-.$$

PROOF. For  $z \in X$  and for each equation we show that the  $z$ -coordinate of both sides agree. Consider the third equation. By Definition 6.5, for  $1 \leq r \leq d$  the  $z$ -coordinate of  $w_{rr}^-$  is  $|\Gamma(z) \cap D_{r-1}^{r-1}|$  if  $z \in D_r^r$ , and 0 if  $z \notin D_r^r$ . For  $0 \leq r, s \leq d$  the  $z$ -coordinate of  $w_{rs}$  is 1 if  $z \in D_s^r$ , and 0 if  $z \notin D_s^r$ . The  $z$ -coordinate of  $Aw_{ii}$  is  $|\Gamma(z) \cap D_i^i|$ . By Lemma 5.4 we find  $|\Gamma(z) \cap D_i^i| = b_{i-1} - b_{i+1}$  if  $z \in D_{i+1}^{i-1} \cup D_{i-1}^{i+1}$ ,  $|\Gamma(z) \cap D_i^i| = k - 2c_{i-1} + |\Gamma(z) \cap D_{i-2}^{i-2}|$  if  $z \in D_{i-1}^{i-1}$ , and  $|\Gamma(z) \cap D_i^i| = 0$  for all other  $z \in X \setminus D_{i+1}^{i+1}$ . By these comments, the  $z$ -coordinate of both sides agree. This proves the third equation and the other equations are similarly obtained. ■

**Lemma 8.3** *With reference to Definition 6.6, the following equations hold:*

$$Aw_{22}^+ = c_2(c_2 - 1)(w_{13} + w_{31}) + (b_2 + c_2(c_2 - 2))w_{11} + c_2 w_{33}^+,$$

$$Aw_{ii}^+ = c_2(c_i - c_{i-1})(w_{i-1,i+1} + w_{i+1,i-1}) + c_2(c_i - 2c_{i-1})w_{i-1,i-1} + b_i w_{i-1,i-1}^+ +$$

$$c_2 w_{i-1,i-1}^- + c_i w_{i+1,i+1}^+ \quad (3 \leq i \leq d-1).$$

PROOF. For  $z \in X$  and for each equation we show that the  $z$ -coordinate of both sides agree. Consider the second equation. By Definition 6.5, the  $z$ -coordinate of  $w_{i-1, i-1}^-$  is  $|\Gamma(z) \cap D_{i-2}^{i-2}|$  if  $z \in D_{i-1}^{i-1}$ , and 0 if  $z \notin D_{i-1}^{i-1}$ . Similarly, by Definition 6.5, for  $1 \leq r \leq d$  the  $z$ -coordinate of  $w_{rr}^+$  is  $|\Gamma_{r-1}(z) \cap D_1^1|$  if  $z \in D_r^r$ , and 0 if  $z \notin D_r^r$ . For  $0 \leq r, s \leq d$  the  $z$ -coordinate of  $w_{rs}$  is 1 if  $z \in D_s^r$ , and 0 if  $z \notin D_s^r$ . The  $z$ -coordinate of  $Aw_{ii}^+$  is

$$\sum_{v \in \Gamma(z) \cap D_i^i} |\Gamma_{i-1}(v) \cap D_1^1| = \sum_{u \in D_1^1} |\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i|. \quad (12)$$

Observe that if  $\Gamma(z) \cap D_i^i \neq \emptyset$  then  $z \in D_{i-1}^{i+1} \cup D_{i+1}^{i-1} \cup D_{i-1}^{i-1} \cup D_{i+1}^{i+1}$ . In view of this we split the argument into four cases:  $z \in D_{i-1}^{i+1}$ ,  $z \in D_{i+1}^{i-1}$ ,  $z \in D_{i-1}^{i-1}$ , and  $z \in D_{i+1}^{i+1}$ .

First assume  $z \in D_{i-1}^{i+1}$ . Recall  $|D_1^1| = c_2$  and pick any  $u \in D_1^1$ . Observe that, by Lemma 5.3(i),(ii),  $\Gamma_{i-1}(u) \cap \Gamma(z)$  is contained in  $D_{i-2}^i \cup D_i^i$ , and  $\partial(u, z) = i$ . Hence  $|\Gamma_{i-1}(u) \cap \Gamma(z)| = c_i$ . By Lemma 5.4(i),  $z$  has exactly  $c_{i-1}$  neighbours in  $D_{i-2}^i$ . Furthermore, each of these neighbours is at distance  $i-1$  from  $u$  by Lemma 5.3(i). Thus  $|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| = c_i - c_{i-1}$ . By these comments and (12) we find the  $z$ -coordinate of  $Aw_{ii}^+$  is  $c_2(c_i - c_{i-1})$ .

Next assume  $z \in D_{i+1}^{i-1}$ . Interchanging  $x, y$  in the previous case we routinely find the  $z$ -coordinate of  $Aw_{ii}^+$  is  $c_2(c_i - c_{i-1})$ .

Next assume  $z \in D_{i-1}^{i-1}$ . For  $u \in D_1^1$ , if  $|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| \neq 0$ , then  $\partial(u, z) = i$ . In this case we have, by Lemma 5.3,  $|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| = |\Gamma_{i-1}(u) \cap \Gamma(z)| = c_i$ . Therefore,

$$\sum_{u \in D_1^1} |\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| = \sum_{u \in D_1^1 \cap \Gamma_i(z)} c_i = c_i |\Gamma_i(z) \cap D_1^1|. \quad (13)$$

By these comments and (12), (13), the  $z$ -coordinate of  $Aw_{ii}^+$  is  $c_i |\Gamma_i(z) \cap D_1^1|$ .

Finally, assume  $z \in D_{i-1}^{i-1}$ . Let  $u \in D_1^1$  and observe that  $\partial(u, z) \in \{i-2, i\}$  by Lemma 5.3(ii). We now evaluate  $|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i|$ . If  $u$  is at distance  $i-2$  from  $z$ , then, by Lemma 5.4(ii),

$$|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| = |\Gamma(z) \cap D_i^i| = k - 2c_{i-1} + |\Gamma(z) \cap D_{i-2}^{i-2}|. \quad (14)$$

If  $u$  is at distance  $i$  from  $z$ , then  $|\Gamma_{i-1}(u) \cap \Gamma(z)| = c_i$ . Observe that, by Lemmas 5.3 and 5.4(ii),  $|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_{i-2}^{i-2}| = |\Gamma(z) \cap D_{i-2}^{i-2}|$  and  $|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| = c_{i-1} - |\Gamma(z) \cap D_{i-2}^{i-2}|$ . Hence

$$|\Gamma_{i-1}(u) \cap \Gamma(z) \cap D_i^i| = c_i + |\Gamma(z) \cap D_{i-2}^{i-2}| - 2c_{i-1}. \quad (15)$$

We now evaluate the  $z$ -coordinate of  $Aw_{ii}^+$ . Observe there are  $|\Gamma_{i-2}(z) \cap D_1^1|$  vertices in  $D_1^1$  which are at distance  $i-2$  from  $z$ . The other  $c_2 - |\Gamma_{i-2}(z) \cap D_1^1|$  vertices from  $D_1^1$  are at distance  $i$  from  $z$  by Lemma 5.3(ii). Thus, by (12), (14) and (15), the  $z$ -coordinate of  $Aw_{ii}^+$  is

$$\begin{aligned} & |\Gamma_{i-2}(z) \cap D_1^1| (k - 2c_{i-1} + |\Gamma(z) \cap D_{i-2}^{i-2}|) + (c_2 - |\Gamma_{i-2}(z) \cap D_1^1|) (c_i + |\Gamma(z) \cap D_{i-2}^{i-2}| - 2c_{i-1}) = \\ & b_2 |\Gamma_{i-2}(z) \cap D_1^1| + c_2 |\Gamma(z) \cap D_{i-2}^{i-2}| + c_2 (c_i - 2c_{i-1}). \end{aligned}$$

By these comments, the  $z$ -coordinate of both sides agree. This proves the second equation and the first equation is similarly obtained.  $\blacksquare$

## 9 Dependencies

With reference to Definition 6.6, in this section we show that if  $\Gamma$  is  $Q$ -polynomial, then the vectors  $w_{ii}, w_{ii}^+$  and  $w_{ii}^-$  are linearly dependent for  $2 \leq i \leq d-1$ .

**Theorem 9.1** *With reference to Definition 6.6, assume  $\Gamma$  is  $Q$ -polynomial with respect to a primitive idempotent  $E$ . Let  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  denote the corresponding dual eigenvalue sequence. Then for  $2 \leq i \leq d-1$  we have*

$$w_{ii}^- = \alpha_i w_{ii} + \beta_i w_{ii}^+,$$

where

$$\alpha_i = c_i \frac{(\theta_0^* - \theta_i^*)(\theta_3^* - \theta_{i+1}^*) - (\theta_1^* - \theta_{i-1}^*)(\theta_2^* - \theta_i^*)}{(\theta_0^* - \theta_i^*)(\theta_{i-1}^* - \theta_{i+1}^*)} \quad \text{and} \quad \beta_i = \frac{\theta_1^* - \theta_3^*}{\theta_{i-1}^* - \theta_{i+1}^*}.$$

PROOF. Observe that the denominators of the above expressions are nonzero by Lemma 3.1. The result holds for  $i = 2$  since  $\alpha_2 = 0$ ,  $\beta_2 = 1$ , and since  $w_{22}^- = w_{22}^+$  by Definition 6.5. Next assume  $3 \leq i \leq d-1$  and pick a vertex  $v \in D_i^i$ . By Lemma 3.2, we find

$$\sum_{\substack{z \in X \\ \partial(x,z)=1 \\ \partial(v,z)=i-1}} E\hat{z} - \sum_{\substack{z \in X \\ \partial(x,z)=i-1 \\ \partial(v,z)=1}} E\hat{z} = c_i \frac{\theta_1^* - \theta_{i-1}^*}{\theta_0^* - \theta_i^*} (E\hat{x} - E\hat{v}). \quad (16)$$

To finish the proof take the inner product of (16) with  $E\hat{y}$  and evaluate the result using Lemma 2.2(i).  $\blacksquare$

For the rest of this paper we will consider the following situation.

**Definition 9.2** *Let  $\Gamma = (X, R)$  denote a bipartite distance-regular graph with diameter  $d \geq 3$ , valency  $k \geq 3$  and adjacency matrix  $A$ . Assume  $\Gamma$  is  $Q$ -polynomial with respect to a primitive idempotent  $E$ , and let  $\theta_0^*, \theta_1^*, \dots, \theta_d^*$  denote the corresponding dual eigenvalue sequence. Fix vertices  $x, y \in X$  such that  $\partial(x, y) = 2$ . Let the vectors  $w_{ij}, w_{ii}^+, w_{ii}^-, \tilde{w}_{ii}$  be as in Definitions 6.1, 6.5 and 7.2. Let the subspaces  $W$  and  $W^\perp$  be as in Definitions 6.1 and 6.4. Let the scalars  $\Delta_i, \alpha_i$  and  $\beta_i$  be as in Definition 7.3 and Theorem 9.1.*

## 10 The action - part II

With reference to Definition 9.2, in this section we obtain the action of  $A$  on the vectors  $w_{ij}, w_{ii}^+$  and  $\tilde{w}_{ii}$  for the case in which  $\Gamma$  is  $Q$ -polynomial. We will use Theorem 9.1.

**Lemma 10.1** *With reference to Definition 9.2, the following (i)–(iv) hold.*

- (i)  $Aw_{02} = w_{13} + w_{11},$   
 $Aw_{i-1,i+1} = b_i w_{i-2,i} + c_i w_{i,i+2} + (c_i - \alpha_i) w_{ii} - \beta_i w_{ii}^+ \quad (2 \leq i \leq d-2),$   
 $Aw_{d-2,d} = b_{d-1} w_{d-3,d-1} + (c_{d-1} - \alpha_{d-1}) w_{d-1,d-1} - \beta_{d-1} w_{d-1,d-1}^+.$

- (ii)  $Aw_{20} = w_{31} + w_{11},$   
 $Aw_{i+1,i-1} = b_i w_{i,i-2} + c_i w_{i+2,i} + (c_i - \alpha_i) w_{ii} - \beta_i w_{ii}^+ \quad (2 \leq i \leq d-2),$   
 $Aw_{d,d-2} = b_{d-1} w_{d-1,d-3} + (c_{d-1} - \alpha_{d-1}) w_{d-1,d-1} - \beta_{d-1} w_{d-1,d-1}^+.$
- (iii)  $Aw_{11} = c_2(w_{02} + w_{20}) + \alpha_2 w_{22} + \beta_2 w_{22}^+,$   
 $Aw_{22} = (b_1 - b_3)(w_{13} + w_{31}) + (k-2)w_{11} + \alpha_3 w_{33} + \beta_3 w_{33}^+,$   
 $Aw_{ii} = (b_{i-1} - b_{i+1})(w_{i-1,i+1} + w_{i+1,i-1}) + (k - 2c_{i-1} + \alpha_{i-1}) w_{i-1,i-1} +$   
 $\beta_{i-1} w_{i-1,i-1}^+ + \alpha_{i+1} w_{i+1,i+1} + \beta_{i+1} w_{i+1,i+1}^+ \quad (3 \leq i \leq d-2),$   
 $Aw_{d-1,d-1} = b_{d-2}(w_{d-2,d} + w_{d,d-2}) + (k - 2c_{d-2} + \alpha_{d-2}) w_{d-2,d-2} +$   
 $\beta_{d-2} w_{d-2,d-2}^+ + k w_{dd},$   
 $Aw_{dd} = (k - 2c_{d-1} + \alpha_{d-1}) w_{d-1,d-1} + \beta_{d-1} w_{d-1,d-1}^+.$
- (iv)  $Aw_{22}^+ = c_2(c_2 - 1)(w_{13} + w_{31}) + (b_2 + c_2(c_2 - 2)) w_{11} + c_2 w_{33}^+,$   
 $Aw_{ii}^+ = c_2(c_i - c_{i-1})(w_{i-1,i+1} + w_{i+1,i-1}) + c_2(c_i - 2c_{i-1} + \alpha_{i-1}) w_{i-1,i-1} +$   
 $(b_i + c_2 \beta_{i-1}) w_{i-1,i-1}^+ + c_i w_{i+1,i+1}^+ \quad (3 \leq i \leq d-1).$

PROOF. Immediate from Lemma 8.1, Lemma 8.2, Lemma 8.3 and Theorem 9.1, and since  $w_{dd}^- = k w_{dd}$ .  $\blacksquare$

**Theorem 10.2** *With reference to Definition 9.2, the following (i)–(iv) hold.*

- (i)  $Aw_{02} = w_{13} + w_{11},$   
 $Aw_{i-1,i+1} = b_i w_{i-2,i} + c_i w_{i,i+2} + (c_i - \alpha_i - \beta_i \lambda_i) w_{ii} - \beta_i \tilde{w}_{ii} \quad (2 \leq i \leq d-2),$   
 $Aw_{d-2,d} = b_{d-1} w_{d-3,d-1} + (c_{d-1} - \alpha_{d-1} - \beta_{d-1} \lambda_{d-1}) w_{d-1,d-1} - \beta_{d-1} \tilde{w}_{d-1,d-1}.$
- (ii)  $Aw_{20} = w_{31} + w_{11},$   
 $Aw_{i+1,i-1} = b_i w_{i,i-2} + c_i w_{i+2,i} + (c_i - \alpha_i - \beta_i \lambda_i) w_{ii} - \beta_i \tilde{w}_{ii} \quad (2 \leq i \leq d-2),$   
 $Aw_{d,d-2} = b_{d-1} w_{d-1,d-3} + (c_{d-1} - \alpha_{d-1} - \beta_{d-1} \lambda_{d-1}) w_{d-1,d-1} - \beta_{d-1} \tilde{w}_{d-1,d-1}.$
- (iii)  $Aw_{11} = c_2(w_{02} + w_{20}) + (\alpha_2 + \beta_2 \lambda_2) w_{22} + \beta_2 \tilde{w}_{22},$   
 $Aw_{22} = (b_1 - b_3)(w_{13} + w_{31}) + (k-2)w_{11} + (\alpha_3 + \beta_3 \lambda_3) w_{33} + \beta_3 \tilde{w}_{33},$   
 $Aw_{ii} = (b_{i-1} - b_{i+1})(w_{i-1,i+1} + w_{i+1,i-1}) + (k - 2c_{i-1} + \alpha_{i-1} + \beta_{i-1} \lambda_{i-1}) w_{i-1,i-1} +$   
 $\beta_{i-1} \tilde{w}_{i-1,i-1} + (\alpha_{i+1} + \beta_{i+1} \lambda_{i+1}) w_{i+1,i+1} + \beta_{i+1} \tilde{w}_{i+1,i+1} \quad (3 \leq i \leq d-2),$   
 $Aw_{d-1,d-1} = b_{d-2}(w_{d-2,d} + w_{d,d-2}) + (k - 2c_{d-2} + \alpha_{d-2} + \beta_{d-2} \lambda_{d-2}) w_{d-2,d-2} +$   
 $\beta_{d-2} \tilde{w}_{d-2,d-2} + k w_{dd},$   
 $Aw_{dd} = (k - 2c_{d-1} + \alpha_{d-1} + \beta_{d-1} \lambda_{d-1}) w_{d-1,d-1} + \beta_{d-1} \tilde{w}_{d-1,d-1}.$
- (iv)  $A\tilde{w}_{22} = (c_2(c_2 - 1) - \lambda_2(b_1 - b_3))(w_{13} + w_{31}) + (b_2 + c_2(c_2 - 2) - \lambda_2(k - 2)) w_{11} +$   
 $(c_2 \lambda_3 - \lambda_2(\alpha_3 + \beta_3 \lambda_3)) w_{33} + (c_2 - \lambda_2 \beta_3) \tilde{w}_{33},$   
 $A\tilde{w}_{ii} = (c_2(c_i - c_{i-1}) - \lambda_i(b_{i-1} - b_{i+1}))(w_{i-1,i+1} + w_{i+1,i-1}) +$   
 $(\lambda_{i-1}(b_i + c_2 \beta_{i-1}) + c_2(c_i - 2c_{i-1} + \alpha_{i-1}) -$   
 $\lambda_i(k - 2c_{i-1} + \alpha_{i-1} + \beta_{i-1} \lambda_{i-1})) w_{i-1,i-1} +$   
 $(b_i + c_2 \beta_{i-1} - \lambda_i \beta_{i-1}) \tilde{w}_{i-1,i-1} +$   
 $(c_i \lambda_{i+1} - \lambda_i(\alpha_{i+1} + \beta_{i+1} \lambda_{i+1})) w_{i+1,i+1} + (c_i - \lambda_i \beta_{i+1}) \tilde{w}_{i+1,i+1} \quad (3 \leq i \leq d-2),$   
 $A\tilde{w}_{d-1,d-1} = (c_2(c_{d-1} - c_{d-2}) - \lambda_{d-1} b_{d-2})(w_{d-2,d} + w_{d,d-2}) +$   
 $(\lambda_{d-2}(b_{d-1} + c_2 \beta_{d-2}) + c_2(c_{d-1} - 2c_{d-2} + \alpha_{d-2}) -$   
 $\lambda_{d-1}(k - 2c_{d-2} + \alpha_{d-2} + \beta_{d-2} \lambda_{d-2})) w_{d-2,d-2} +$   
 $(b_{d-1} + c_2 \beta_{d-2} - \lambda_{d-1} \beta_{d-2}) \tilde{w}_{d-2,d-2} + (c_{d-1} c_2 - k \lambda_{d-1}) w_{dd}.$

PROOF. Immediate from Lemma 10.1 and Definition 7.2. ■

We have the following important result.

**Corollary 10.3** *With reference to Definition 9.2, the vectors  $\{\tilde{w}_{ii} \mid 2 \leq i \leq d-1, \Delta_i \neq 0\}$  form an orthogonal basis for  $W^\perp$ .*

PROOF. Let  $W' = \text{span}\{\tilde{w}_{ii} \mid 2 \leq i \leq d-1, \Delta_i \neq 0\}$ . We show  $W^\perp = W'$ . We first show  $W^\perp \subseteq W'$ . By Theorem 10.2, the subspace  $W + W'$  is  $A$ -invariant. Since  $MW$  is the minimal  $A$ -invariant subspace that contains  $W$ , we have  $MW \subseteq W + W'$ . Recall  $W^\perp$  is the orthogonal complement of  $W$  in  $MW$ . By construction  $W$  and  $W'$  are orthogonal, so  $W'$  is the orthogonal complement of  $W$  in  $W + W'$ . By these comments  $W^\perp \subseteq W'$ .

Next we show  $W' \subseteq W^\perp$ . Since  $MW$  is  $A$ -invariant and  $\beta_i \neq 0$  ( $2 \leq i \leq d-1$ ) by Lemma 3.1, we have  $\tilde{w}_{ii} \in MW$  ( $2 \leq i \leq d-1$ ) by Theorem 10.2(i). But now Lemma 7.4 implies  $\tilde{w}_{ii} \in W^\perp$  for  $2 \leq i \leq d-1$ , and hence  $W' \subseteq W^\perp$ . Now  $W^\perp = W'$  and the result follows. ■

## 11 A basis for $MW$

With reference to Definition 9.2, in Lemma 6.3 and Corollary 10.3 we gave an orthogonal basis for  $W$  and  $W^\perp$ , respectively. In this section we give more detailed information about these bases. We will consider three cases. In order to describe these cases we recall a definition.

With reference to Definition 9.2,  $\Gamma$  is said to be *2-homogeneous* in the sense of Nomura [20] whenever for all integers  $i$  ( $2 \leq i \leq d-1$ ) and for all  $x, y, z \in X$  with  $\partial(x, y) = 2$ ,  $\partial(x, z) = \partial(y, z) = i$ , the number  $|D_1^1(x, y) \cap \Gamma_{i-1}(z)|$  is independent of  $x, y, z$ . By [9, Theorem 17] we find  $\Gamma$  is 2-homogeneous if and only if (i) for all  $x, y \in X$  such that  $\partial(x, y) = 2$ , the partition of  $X$  given by Definition 5.1 is equitable [15, Section 5.1]; and (ii) the corresponding parameters of this partition do not depend on  $x, y$ .

We use the following lemma.

**Lemma 11.1** *With reference to Definition 9.2, the following (i)–(iii) hold.*

- (i) *Assume  $\Gamma$  is 2-homogeneous. Then  $\Delta_i = 0$  for  $2 \leq i \leq d-1$  and  $p_{dd}^2 = 0$ .*
- (ii) *Assume  $\Gamma$  is the antipodal quotient of the  $2d$ -cube. Then  $\Delta_i = 0$  for  $2 \leq i \leq d-2$  and  $\Delta_{d-1} \neq 0$ ,  $p_{dd}^2 \neq 0$ .*
- (iii) *Assume  $\Gamma$  is neither 2-homogeneous nor the antipodal quotient of the  $2d$ -cube. Then  $\Delta_i \neq 0$  for  $2 \leq i \leq d-1$  and  $p_{dd}^2 \neq 0$ .*

PROOF. (i) By [9, Theorem 13],  $\Delta_i = 0$  for  $2 \leq i \leq d-1$ . Furthermore, by [9, Theorem 42],  $\Gamma$  is an antipodal 2-cover. Hence  $b_{d-1} = 1$ , so  $p_{dd}^2 = 0$  by Lemma 4.2(iii).

(ii) The intersection numbers of the antipodal quotient of the  $2d$ -cube are given in [1, p. 264]. The result now follows straightforward from Definition 7.3 and Lemma 4.2(iii).



(iii) First assume  $d = 3$ . Using Definition 7.3 and Lemma 4.1(iii) we find  $\Delta_2 = b_2(b_2 - 1)/c_2$ . By [9, Theorem 13] and since  $\Gamma$  is not 2-homogeneous we find  $\Delta_2 \neq 0$ , implying  $b_2 \neq 1$ . Combining this with Lemma 4.1(iv) we find  $p_{33}^2 \neq 0$ , and the result follows.

Next assume  $d \geq 4$ . Observe that  $\Gamma$  is not the  $d$ -cube, since the  $d$ -cube is 2-homogeneous. By [8, Lemma 3.2, Lemma 3.3], there exist  $q, s^* \in \mathbb{R}$  such that

$$|q| > 1, \quad s^*q^i \neq 1 \quad (2 \leq i \leq 2d + 1), \quad (17)$$

$$c_i = \frac{h(q^i - 1)(1 - s^*q^{d+i+1})}{1 - s^*q^{2i+1}}, \quad b_i = \frac{h(q^d - q^i)(1 - s^*q^{i+1})}{1 - s^*q^{2i+1}} \quad (1 \leq i \leq d - 1), \quad (18)$$

$$k = c_d = h(q^d - 1), \quad (19)$$

where

$$h = \frac{1 - s^*q^3}{(q - 1)(1 - s^*q^{d+2})}.$$

By direct computation we obtain

$$b_{d-1} - 1 = \frac{(q^{d-1} - 1)(1 - s^*q^{d+1})(1 + s^*q^{d+1})}{(1 - s^*q^{2d-1})(1 - s^*q^{d+2})}. \quad (20)$$

Similarly, by (18) and (19), we obtain also

$$\Delta_i = \frac{q^2(q^{i-1} - 1)(q^i - 1)(1 - s^*q^{i+1})(1 - s^*q^{i+2})(1 - s^*q^3)(1 - s^*q^{2d+1})(1 + s^*q^{d+1})}{(q^2 - 1)(1 - s^*q^{2i-1})(1 - s^*q^{2i+3})(1 - s^*q^{d+2})^2(1 - s^*q^{d+3})}. \quad (21)$$

Assume for a moment  $\Delta_i = 0$  for  $2 \leq i \leq d - 1$ . Then, by [9, Theorem 13] and by the definition of the 2-homogeneous property,  $\Gamma$  is 2-homogeneous. Hence there exists  $i$  ( $2 \leq i \leq d - 1$ ) such that  $\Delta_i \neq 0$ . Therefore,  $1 + s^*q^{d+1} \neq 0$  by (21). But now, by (17) and (21), we have  $\Delta_i \neq 0$  for  $2 \leq i \leq d - 1$ . Finally, by (17) and (20),  $b_{d-1} - 1 \neq 0$ . Using Lemma 4.2(iii) we find  $p_{dd}^2 \neq 0$ . This completes the proof.  $\blacksquare$

In what follows we treat the three cases of Lemma 11.1 separately.

**Theorem 11.2** *With reference to Definition 9.2, assume  $\Gamma$  is 2-homogeneous. Then the following (i),(ii) hold.*

(i) *The vectors  $\{w_{i-1,i+1}, w_{i+1,i-1}, w_{ii} \mid 1 \leq i \leq d - 1\}$  form an orthogonal basis for  $W$ .*

(ii)  $W^\perp = 0$ .

PROOF. (i) The result follows from Lemmas 11.1(i), 6.2(ii), 4.2 and 6.3.

(ii) The result follows from Lemmas 11.1(i) and 7.5, and Corollary 10.3.  $\blacksquare$

**Corollary 11.3** *With reference to Definition 9.2, assume  $\Gamma$  is 2-homogeneous. Then the following (i)–(iii) hold.*

(i) *The dimension of  $W$  is  $3d - 3$ .*

(ii) *The dimension of  $W^\perp$  is 0.*

(iii) *The dimension of  $MW$  is  $3d - 3$ .*

PROOF. Immediate from Theorem 11.2 and since  $W^\perp$  is the orthogonal complement of  $W$  in  $MW$ . ■

We now look at the case when  $\Gamma$  is the antipodal quotient of the  $2d$ -cube.

**Theorem 11.4** *With reference to Definition 9.2, assume  $\Gamma$  is the antipodal quotient of the  $2d$ -cube. Then the following (i),(ii) hold.*

- (i) *The vectors  $\{w_{i-1,i+1}, w_{i+1,i-1} \mid 1 \leq i \leq d - 1\}$  and  $\{w_{ii} \mid 1 \leq i \leq d\}$  form an orthogonal basis for  $W$ .*
- (ii) *The vector  $\tilde{w}_{d-1,d-1}$  forms an orthogonal basis for  $W^\perp$ .*

PROOF. (i) The result follows from Lemmas 11.1(ii), 6.2(ii), 4.2 and 6.3.

(ii) The result follows from Lemmas 11.1(ii) and 7.5, and Corollary 10.3. ■

**Corollary 11.5** *With reference to Definition 9.2, assume  $\Gamma$  is the antipodal quotient of the  $2d$ -cube. Then the following (i)–(iii) hold.*

- (i) *The dimension of  $W$  is  $3d - 2$ .*
- (ii) *The dimension of  $W^\perp$  is 1.*
- (iii) *The dimension of  $MW$  is  $3d - 1$ .*

PROOF. Immediate from Theorem 11.4 and since  $W^\perp$  is the orthogonal complement of  $W$  in  $MW$ . ■

Finally, let us consider the case when  $\Gamma$  is neither 2-homogeneous nor the antipodal quotient of the  $2d$ -cube.

**Theorem 11.6** *With reference to Definition 9.2, assume  $\Gamma$  is neither 2-homogeneous nor the antipodal quotient of the  $2d$ -cube. Then the following (i),(ii) hold.*

- (i) *The vectors  $\{w_{i-1,i+1}, w_{i+1,i-1} \mid 1 \leq i \leq d - 1\}$  and  $\{w_{ii} \mid 1 \leq i \leq d\}$  form an orthogonal basis for  $W$ .*
- (ii) *The vectors  $\{\tilde{w}_{ii} \mid 2 \leq i \leq d - 1\}$  form an orthogonal basis for  $W^\perp$ .*

PROOF. (i) The result follows from Lemmas 11.1(iii), 6.2(ii), 4.2 and 6.3.

(ii) The result follows from Lemmas 11.1(iii) and 7.5, and Corollary 10.3. ■

**Corollary 11.7** *With reference to Definition 9.2, assume  $\Gamma$  is neither 2-homogeneous nor the antipodal quotient of the  $2d$ -cube. Then the following (i)–(iii) hold.*

- (i) *The dimension of  $W$  is  $3d - 2$ .*
- (ii) *The dimension of  $W^\perp$  is  $d - 2$ .*
- (iii) *The dimension of  $MW$  is  $4d - 4$ .*

PROOF. Immediate from Theorem 11.6 and since  $W^\perp$  is the orthogonal complement of  $W$  in  $MW$ . ■

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