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3-FACIAL COLOURING OF PLANE GRAPHS

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3-facial colouring of plane graphs

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Abstract

A plane graph is ℓ-facially k-colourable if its vertices can be coloured with k colours such that any two distinct vertices on a facial segment of length at most ℓ are coloured differently. We prove that every plane graph is 3-facially 11-colourable. As a consequence, we derive that every 2-connected plane graph with maximum face-size at most 7 is cyclically 11-colourable. These two bounds are for one off from those that are proposed by the $(3\ell+1)$-Conjecture and the Cyclic Conjecture.

1 Introduction

The concept of facial colourings, introduced in [11], extends the well-known concept of cyclic colourings. A *facial segment* of a plane graph G is a sequence of vertices in the order obtained when traversing a part of the boundary of a face. The *length* of a facial segment is its number of edges. Two vertices u and v of G are *ℓ-facially adjacent*, if there exists a facial segment of length at most ℓ between them. An *ℓ-facial colouring* of G is a function which assigns a colour to each vertex of G such that any two distinct ℓ-facially adjacent vertices are assigned distinct colours. A graph admitting an ℓ-facial colouring with k colours is called *ℓ-facially k-colourable*.

The following conjecture, called $(3\ell+1)$-Conjecture, is proposed in [11]:

Conjecture 1 (Král', Madaras and Škrekovski). *Every plane graph is ℓ-facially colourable with $3\ell+1$ colours.*

Observe that the bound offered by Conjecture 1 is tight: as shown by Figure 1, for every $\ell \geq 1$, there exists a plane graph which is not ℓ-facially 3ℓ-colourable.

Conjecture 1 can be considered as a counterpart for ℓ-facial colouring of the following famous conjecture by Ore and Plummer [12] concerning the cyclic colouring. A plane graph G is said to be *cyclically k-colourable*, if it admits a vertex colouring with k colours such that any pair of vertices incident to a same face are assigned distinct colours.

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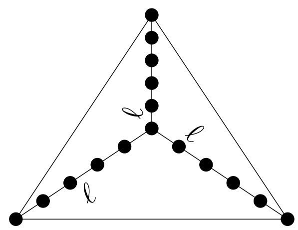

Figure 1: The plane graph $G_\ell = (V,E)$: each thread represents a path of length ℓ. The graph G_ℓ is not ℓ-facially 3ℓ-colourable: every two vertices are ℓ-facially adjacent, therefore any ℓ-facial colouring must use $|V| = 3\ell+1$ colours.

Conjecture 2 (Ore and Plummer). *Every plane graph is cyclically $\left\lfloor \frac{3\Delta^*}{2} \right\rfloor$-colourable, where Δ^* denotes the size of a biggest face of G.*

Note that Conjecture 1 implies Conjecture 2 for odd values of Δ^*. The best known result towards Conjecture 2 has been obtained by Sanders and Zhao [15], who proved the bound $\left\lceil \frac{5\Delta^*}{3} \right\rceil$.

Denote by $f_c(x)$ the minimum number of colours needed to cyclically colour every plane graph of maximum face size x. The value of $f_c(x)$ is known for $x \in \{3,4\}$: $f_c(3) = 4$ (the problem of finding $f_c(3)$ being equivalent to the Four Colour Theorem proved in [1]) and $f_c(4) = 6$ (see [3, 5]). It is also known that $f_c(5) \in \{7,8\}$ and $f_c(6) \leq 10$ [6], and that $f_c(7) \leq 12$ [4].

Conjecture 1 is trivially true for $\ell = 0$, and is equivalent to the Four Colour Theorem for $\ell = 1$. It is open for all other values of ℓ. As noted in [11], if Conjecture 1 were true for $\ell = 2$, it would have several interesting corollaries. Besides giving the exact value of $f_c(5)$ (which would then be 7), it would allow to decrease from 16 to 14 (by applying a method from [11]) the upper bound on the number of colours needed to 1-diagonally colour every plane quadrangulation (for more details on this problem, consult [9, 13, 14, 11]). It would also imply Wegner's conjecture on 2-distance colourings (i.e. colourings of squares of graphs) restricted to plane cubic graphs since colourings of the square of a plane cubic graph are precisely its 2-facial colourings (refer to [10, Problem 2.18] for more details on Wegner's conjecture).

Let $f_f(\ell)$ be the minimum number of colours needed to ℓ-facially colour every plane graph. Clearly, $f_c(2\ell+1) \leq f_f(\ell)$. So far, no value of ℓ is known for which this inequality is strict. The following problem is offered in [11].

Problem 1. *Is it true that, for every integer $\ell \geq 1$, $f_c(2\ell+1) = f_l(\ell)$?*

Another conjecture that should be maybe mentioned is the so-called 3ℓ-Conjecture proposed in [7], stating that every plane triangle-free graph is ℓ-facially 3ℓ-colourable. Similarly as the $(3\ell+1)$-Conjecture, if this conjecture were true, then its bound would be tight and it would have several interesting corollaries (see [7] for more details).

It is proved in [11] that every plane graph has an ℓ-facial colouring using at most $\left\lfloor \frac{18}{5}\ell \right\rfloor + 2$ colours (and this bound is decreased by 1 for $\ell \in \{2,4\}$). So, in particular, every plane graph has a 3-facial 12-colouring. In this paper, we improve this last result by proving the following theorem.

Theorem 1. *Every plane graph is* 3*-facially* 11*-colourable.*

To prove this result, we shall suppose that it is false. In Section 2, we will exhibit some properties of a minimal graph (regarding the number of vertices) which contradicts Theorem 1. Relying on these properties, we will use the Discharging Method in Section 3 to obtain a contradiction.

2 Properties of $(3,11)$-minimal graphs

Let us start this section by introducing some definitions. A vertex of degree d (respectively at least d, respectively at most d) is said to be a *d-vertex* (respectively a *$(\geq d)$-vertex*, respectively a *$(\leq d)$-vertex*). The notion of a *d-face* (respectively a *$(\leq d)$-face*, respectively a *$(\geq d)$-face*) is defined analogously regarding the size of a face. An *ℓ-path* is a path of length ℓ.

Two faces are *adjacent*, or *neighbouring*, if they share a common edge. A 5-face is *bad* if it is incident to at least four 3-vertices. It is said to be *very-bad* if it is incident to five 3-vertices.

If u and v are 3-facially adjacent, then u is called a 3*-facial neighbour of* v. The set of all 3-facial neighbours of v is denoted by $\mathcal{N}_3(v)$. The 3*-facial degree* of v, denoted by $\deg_3(v)$, is the cardinality of the set $\mathcal{N}_3(v)$. A vertex is *dangerous* if it has degree 3 and it is incident to a face of size three or four. A 3-vertex is *safe* if it is not dangerous, i.e. it is not incident to a (≤ 4)-face.

Let $G=(V,E)$ be a plane graph, and $\mathcal{U}\subseteq V$. Denote by $G_3[\mathcal{U}]$ the graph with vertex set $\mathcal{U}$ such that xy is an edge in $G_3[\mathcal{U}]$ if and only if x and y are 3-facially adjacent vertices in G. If c is a partial colouring of G and u an uncoloured vertex of G, we denote by $L_c(u)$ (or just $L(u)$) the set $\{x\in\{1,2,\dots,11\} : \text{ for all } v\in\mathcal{N}_3(u), c(v)\neq x\}$. The graph $G_3[\mathcal{U}]$ is *L-colourable* if there exists a proper vertex colouring of the vertices of $G_3[\mathcal{U}]$ such that for every $u\in\mathcal{U}$ holds $c(u)\in L(u)$.

The next two results are used by Král', Madaras and Škrekovski [11]:

Lemma 1. *Let v be a vertex whose incident faces in a plane graph G are $f_1, f_2, \dots, f_d$. Then*

$$\deg_3(v)\leq\left(\sum_{i=1}^{d}\min(|f_i|,7)\right)-2d,$$

where $|f_i|$ denotes the size of the face f_i.

Suppose that Theorem 1 is false: a $(3,11)$-*minimal* graph G is a plane graph which is not 3-facially 11-colourable, with $|V(G)|+|E(G)|$ as small as possible.

Lemma 2. *Let G be a* $(3,11)$*-minimal graph. Then,*

(i) G is 2*-connected;*

(ii) G has no separating cycle of length at most 7*;*

(iii) G contains no adjacent f_1-face and f_2-face with $f_1+f_2\leq 9$;

(iv) *G has no vertex whose* 3*-facial degree is less than* 11*. In particular, the minimum degree of G is at least three; and*

(v) *G contains no edge uv separating two* (≥ 4)*-faces with* $\deg_3(u) \leq 11$ *and* $\deg_3(v) \leq 12$*.*

In the remaining of this section, we give additional local structural properties of $(3,11)$-minimal graphs.

Lemma 3. *Let G be a* $(3,11)$*-minimal graph. Suppose that v and w are two adjacent* 3*-vertices of G, both incident to a same* 5*-face and a same* 6*-face. Then the size of the third face incident to w is at least* 7*.*

Proof. By contradiction, suppose that the size of the last face incident to w is at most 6. Then, according to Lemma 1, we infer that $\deg_3(v) \leq 12$ and $\deg_3(w) \leq 11$, but this contradicts Lemma $2(v)$. □

A *reducible configuration* is a (plane) graph that cannot be an induced subgraph of a $(3,11)$-minimal graph. The usual method to prove that a configuration is reducible is the following: first, we suppose that a $(3,11)$-minimal graph G contains a prescribed induced subgraph H. Then we contract some subgraphs $H_1, H_2, \ldots, H_k$ of H. Mostly, we have $k \leq 2$. This yields a proper minor G' of G, which by the minimality of G admits a 3-facial 11-colouring c'. The goal is to derive from c' a 3-facial 11-colouring c of G, which would give a contradiction. To do so, each non-contracted vertex v of G keeps its colour $c'(v)$. Let h_i be the vertex of G' created by the contraction of the vertices of H_i: some vertices of H_i are assigned the colour $c'(h_i)$ (in doing so, we must take care that these vertices are not 3-facially adjacent in G). Last, we show that the remaining uncoloured vertices can also be coloured.

In other words, we show that the graph $G_3[\mathcal{U}]$ is L-colourable, where for each $u \in \mathcal{U}$, $L(u)$ is the list of the colours which are assigned to no vertex in $\mathcal{N}_3(u) \setminus \mathcal{U}$ (defined in Section 1) and $\mathcal{U}$ is the set of uncoloured vertices. In most of the cases, the vertices of $\mathcal{U}$ will be greedily coloured.

In all figures of the paper, the following conventions are used: a triangle represents a 3-vertex, a square represents a 4-vertex and a circle may be any kind of vertex whose degree is at least the maximum between three and the one it has in the figure. The edges of each subgraph H_i are drawn in bold, and the circled vertices are the vertices of $\mathcal{U} = \{u_1, u_2, \ldots\}$. A dashed edge between two vertices indicates a path of length at least one between those two vertices. An (in)equality written in a bounded region denotes a face whose size achieves the (in)equality. Last, vertices which are assigned the colour $c'(h_i)$ are denoted by v, w, t if a unique subgraph is contracted or by x_1, x_2 for $i = 1$ and y_1, y_2 for $i = 2$ if two subgraphs are contracted.

Lemma 4. *Configurations in Figures 2, 3 and 4 are reducible.*

Proof. Let H be an induced subgraph of G. We shall suppose that H is isomorphic to one of the configurations stated and derive a way to construct a 3-facial 11-colouring of G, a contradiction.

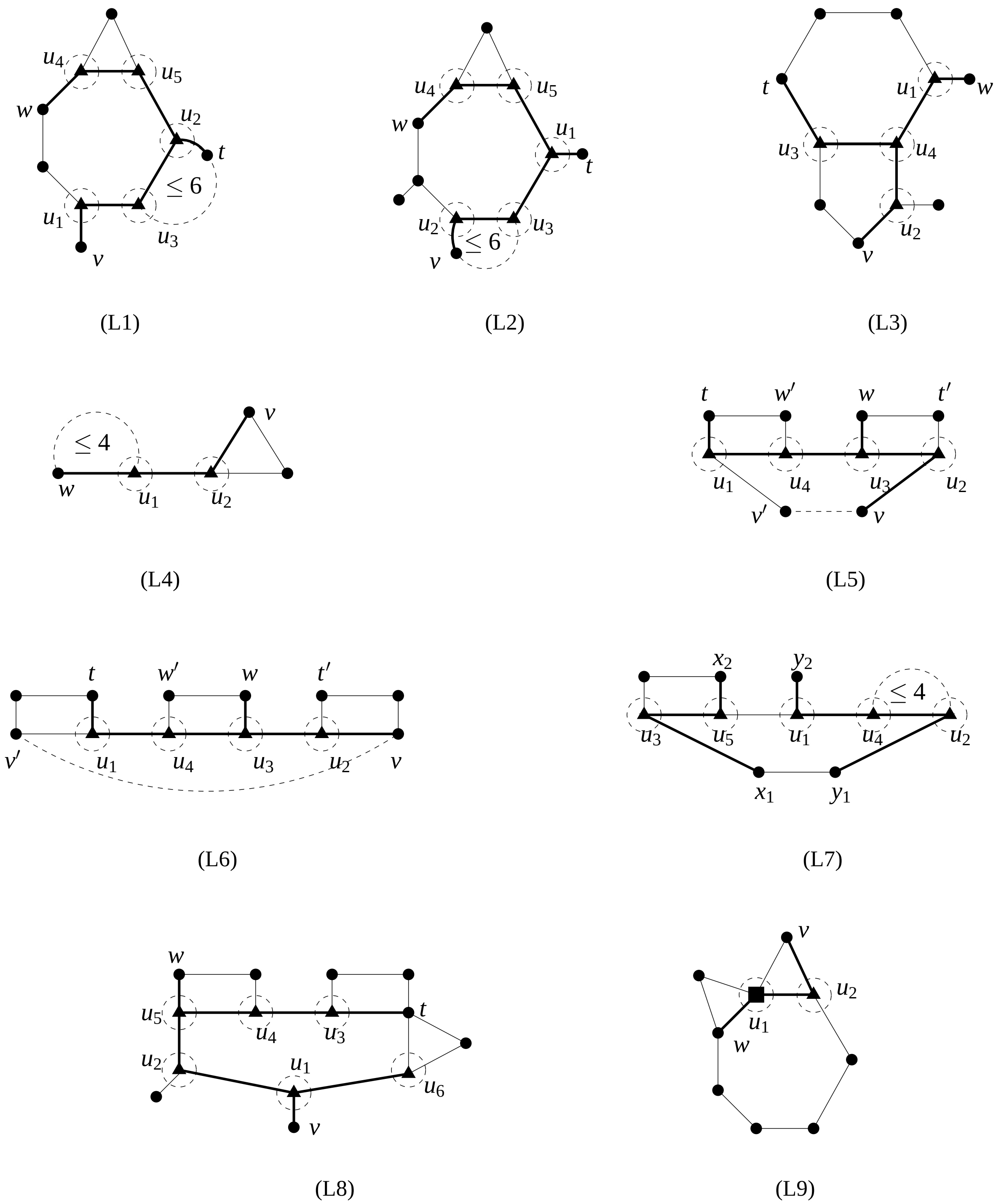

Figure 2: Reducible configurations (L1)–(L9).

L1. Suppose that H is isomorphic to the configuration (L1) of Figure 2. Denote by H_1 the subgraph induced by the bold edges. Contract the vertices of H_1, thereby creating a new vertex h_1. By minimality of G, let c' be a 3-facial 11-colouring of the obtained graph. Assign to each vertex x not in H_1 the colour $c'(x)$, and to each of v,w,t the colour $c'(h_1)$. Observe that no two vertices among v,w,t are 3-facially adjacent in G, otherwise there would be a (≤ 7)-separating cycle in G, thereby contradicting Lemma 2(ii). According to Lemma 1, $\deg_3(u_1) \leq 15$, $\deg_3(u_i) \leq 14$ if $i \in \{2,3\}$ and $\deg_3(u_i) \leq 11$ if $i \in \{4,5\}$. Note that any two vertices of $\mathcal{U} = \{u_1,u_2,\ldots,u_5\}$ are 3-facially adjacent, that is $G_3[\mathcal{U}] \simeq K_5$. Hence, the number of coloured 3-facial neighbours of u_1 is at most 11, i.e. $|\mathcal{N}_3(u_1) \setminus \{u_2,u_3,u_4,u_5\}| \geq 11$. Moreover, at least two of them are assigned the same colour, namely v and w. Therefore, $|L(u_1)| \geq 1$. For $i \in \{2,3\}$, the vertex u_i has at most 10 coloured 3-facial neighbours. Furthermore, at least two 3-facial neighbours of u_2 are identically coloured, namely w and t. Thus, $|L(u_2)| \geq 2$. Now, observe that at least three 3-facial neighbours of u_3 are coloured the same, namely v,w and t. Hence, $|L(u_3)| \geq 3$. For $i \in \{4,5\}$, the vertex u_i has at most 7 coloured 3-facial neighbours. Thus, $|L(u_4)| \geq 4$, and because at least two 3-facial neighbours of u_5 are identically coloured (w and t), $|L(u_5)| \geq 5$. So, the graph $G_3[\mathcal{U}]$ is greedily L-colourable, according to the ordering u_1,u_2,u_3,u_4,u_5. This allows us to extend c to a 3-facial 11-colouring of G.

L2. Suppose that H is isomorphic to the configuration (L2) of Figure 2. Let c' be a 3-facial 11-colouring of the minor of G obtained by contracting the bold edges into a single vertex h_1. Let $c(x) = c'(x)$ for every vertex $x \neq h_1$. Define $c(v) = c(w) = c(t) = c'(h_1)$. The obtained colouring is still 3-facial since no two vertices among v,w,t are 3-facially adjacent in G by Lemma 2(ii). Note that $G_3[\mathcal{U}] \simeq K_5$. In particular, each vertex u_i has four uncoloured 3-facial neighbours. By Lemma 1, $\deg_3(u_1) \leq 15, \deg_3(u_i) \leq 14$ if $i \in \{2,3\}$ and $\deg_3(u_i) \leq 11$ if $i \in \{4,5\}$. Moreover, each of u_1 and u_2 has at least two 3-facial neighbours coloured the same; for u_1, these vertices are w,t and for u_2 they are w,v. So, there exists at least one colour which is assigned to no vertex of $\mathcal{N}_3(u_1)$ and at least two colours assigned to no vertex of $\mathcal{N}_3(u_2)$. Also, u_3 has at least three 3-facial neighbours coloured the same, namely w, v and t, hence at least three colours are assigned to no vertex of $\mathcal{N}_3(u_3)$. Therefore, $|L(u_1)| \geq 1, |L(u_2)| \geq 2$ and $|L(u_3)| \geq 3$. Furthermore, $|L(u_4)| \geq 4$ and $|L(u_5)| \geq 5$ because w and t are both 3-facial neighbours of u_5. So $G_3[\mathcal{U}]$ is L-colourable, and hence G is 3-facially 11-colourable.

L3. Suppose that H is isomorphic to the configuration (L3) of Figure 2. Contract the bold edges into a new vertex h_1, and let c' be a 3-facial 11-colouring of the obtained graph. This colouring can be extended to a 3-facial 11-colouring c of G as follows: first, let $c(v) = c(w) = c(t) = c'(h_1)$. Note that no two of these vertices can be 3-facially adjacent in G without contradicting Lemma 2(ii). By Lemma 1, $\deg_3(u_1) \leq 14$, $\deg_3(u_2) \leq 13$ and for $i \in \{3,4\}$, $\deg_3(u_i) \leq 12$. Observe that $G_3[\mathcal{U}] \simeq K_4$. Moreover, each of u_1,u_2,u_3 has a set of two 3-facial neighbours coloured by $c'(h_1)$. These sets are $\{w,t\}$, $\{w,v\}$ and $\{v,t\}$ for u_1,u_2 and u_3, respectively. Thus, $|L(u_1)| \geq 1$, $|L(u_2)| \geq 2$ and $|L(u_3)| \geq 3$. Also $|L(u_4)| \geq 4$ because u_4 has at least three identically coloured 3-facial neighbours, namely v,w and t. Hence, $G_3[\mathcal{U}]$ is L-colourable, so G is 3-facially 11-colourable.

L4. Let c' be a 3-facial 11-colouring of the graph obtained by contracting the bold edges into a new vertex h_1. Define $c(x) = c'(x)$ if $x \notin \{v, w, u_1, u_2\}$ and $c(v) = c(w) = c'(h_1)$. Observe that v and w cannot be 3-facially adjacent in G since G has no small separating cycle according to Lemma 2(ii). By Lemma 1, $\deg_3(u_1) \leq 12$ and $\deg_3(u_2) \leq 11$. Furthermore, both u_1 and u_2 have two 3-facial neighbours identically coloured, namely v and w. Moreover, u_1 and u_2 are 3-facially adjacent, hence $|L(u_1)| \geq 1$ and $|L(u_2)| \geq 2$. Therefore, c can be extended to a 3-facial 11-colouring of G.

L5. First, observe that since G is a plane graph, if $v \in \mathcal{N}_3(t)$ then $v' \notin \mathcal{N}_3(t')$. So, by symmetry, we may assume that v and t are not 3-facially adjacent in G. Now, contract the bold edges into a new vertex h_1. Again, denote by c' a 3-facial 11-colouring of the obtained graph, and define c to be equal to c' on all vertices of $V(G) \setminus \{v, w, t, u_1, u_2, u_3, u_4\}$. Let $c(v) = c(w) = c(t) = c'(h_1)$. Note that the partial colouring c is still 3-facial due to the above assumption. The graph $G_3[\mathcal{U}]$ is isomorphic to K_4, and according to Lemma 1, $\deg_3(u_i) \leq 12$ for all $i \in \{1, 2, 3, 4\}$. Moreover, for $i \in \{2, 3\}$, the vertex u_i has at least two 3-facial neigbhours that are coloured the same, namely v and w. Last, the vertex u_4 has at least three such 3-facial neighbours, namely v, w, t. Therefore, $|L(u_1)| \geq 2$, $|L(u_i)| \geq 3$ for $i \in \{2, 3\}$ and $|L(u_4)| \geq 4$. So, $G_3[\mathcal{U}]$ is L-colourable, and hence G is 3-facially 11-colourable.

L6. The same remark as in the previous configuration allows us to assume that $t \notin \mathcal{N}_3(v)$. Again, the graph obtained by contracting the bold edges into a new vertex h_1 admits a 3-facial 11-colouring c'. As before, define a 3-facial 11-colouring c of the graph induced by $V(G) \setminus \mathcal{U}$. Then, for every $i \in \{1, 2, 3, 4\}, \deg_3(u_i) \leq 12$ and $G_3[\mathcal{U}] \simeq K_4$. Thus, $|L(u_1)| \geq 2$ and $|L(u_2)| \geq 2$. Remark that u_3 has at least two identically coloured 3-facial neighbours, namely v and w, so $|L(u_3)| \geq 3$. Last, the vertex u_4 has at least three such neighbours, hence $|L(u_4)| \geq 4$. Therefore, the graph $G_3[\mathcal{U}]$ is L-colourable, and so the graph G admits a 3-facial 11-colouring.

L7. Let H_1 be the path $x_1u_3u_5x_2$, H_2 the path $y_1u_2u_4u_1y_2$ and c' a 3-facial colouring of the graph obtained from G by contracting each path H_i into a vertex h_i. Notice that $c'(h_1) \neq c'(h_2)$. For every $v \notin V(H_1) \cup V(H_2)$, let $c(v) = c'(v)$. Observe that x_1 and x_2 cannot be 3-facially adjacent in G, otherwise G would have a separating (≤ 7)-cycle, contradicting Lemma 2(iii). Note that the same holds for y_1 and y_2; therefore defining $c(x_1) = c(x_2) = c'(h_1)$ and $c(y_1) = c(y_2) = c'(h_2)$ yields a partial 3-facial 11-colouring of G, since $c'(h_1) \neq c'(h_2)$. It remains to colour the vertices of $\mathcal{U} = \{u_1, u_2, \ldots, u_5\}$. Note that $G_3[\mathcal{U}] \simeq K_5$. According to Lemma 2$(ii)$, $\deg_3(u_1) \leq 15$ and $\deg_3(u_i) \leq 12$ if $i \geq 2$. The number of coloured 3-facial neighbours of u_1, i.e. its number of 3-facial neighbours in $V(G) \setminus \{u_2, u_3, u_4, u_5\}$, is at most 11 because each u_i with $i \geq 2$ is a 3-facial neighbour of u_1. Furthermore, u_1 has two 3-facial neighbours coloured with the same colour, namely x_1 and x_2. Hence, $|L(u_1)| \geq 1$. The vertex u_2 has four uncoloured 3-facial neighbours, so $|L(u_2)| \geq 3$. For $i \in \{3, 4\}$, the vertex u_i has at least two 3-facial neighbours coloured the same, namely x_1, x_2 for u_3, and y_1, y_2 for u_4, so $|L(u_i)| \geq 4$. Finally, observe that u_5 has two pairs of identically coloured 3-facial neighbours; the first pair being x_1, x_2 and the second y_1, y_2. Thus, $|L(u_5)| \geq 5$, hence the graph $G_3[\mathcal{U}]$ is L-colourable, which yields a contradiction.

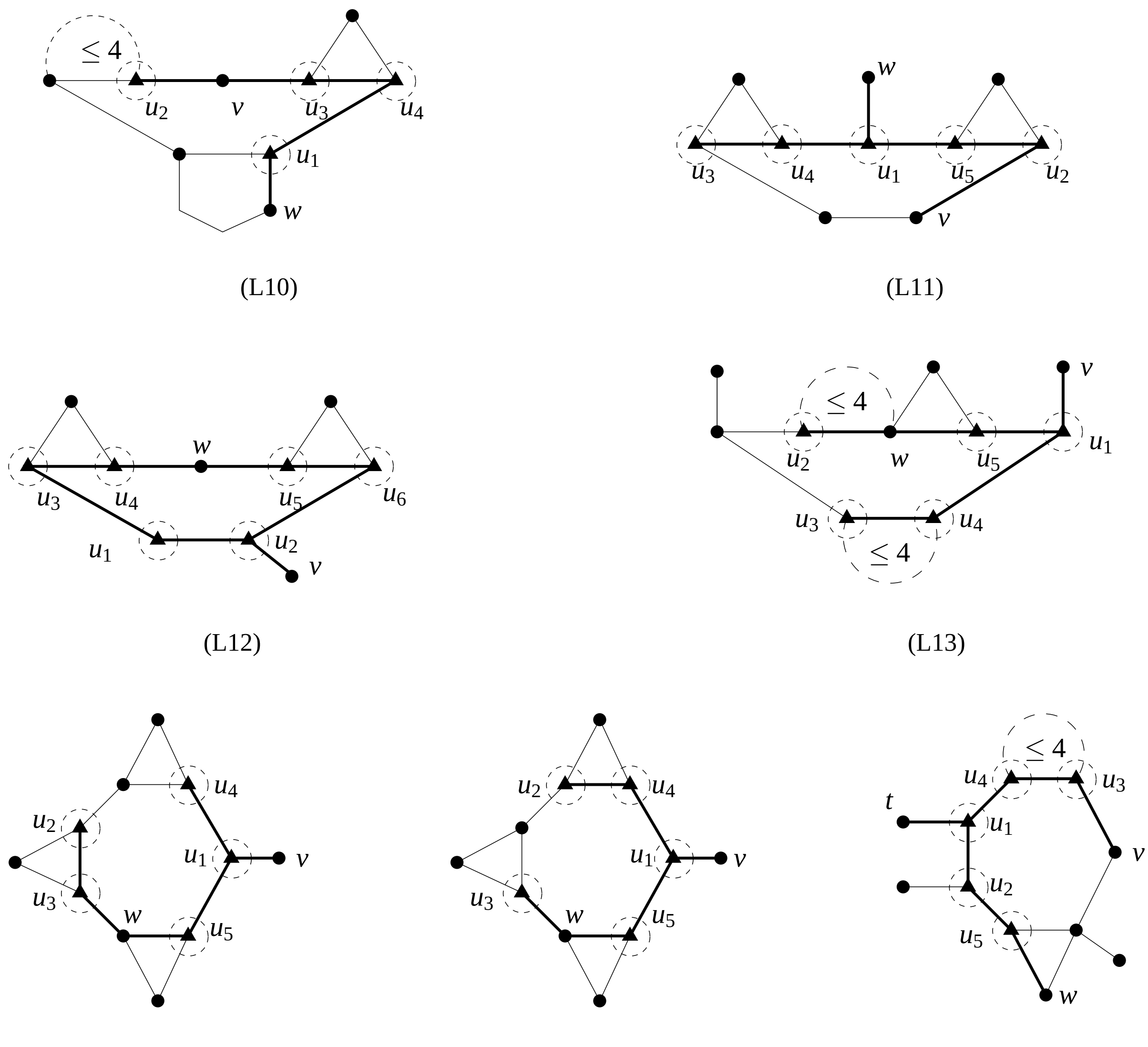

Figure 3: Reducible configurations (L10)–(L16).

L8. We contract the bold edges into a new vertex h_1, take a 3-facial 11-colouring of the graph obtained, and define a 3-facial 11-colouring c of $V(G) \setminus \mathcal{U}$ as usual. By Lemma 1, $\deg_3(u_i) \le 15$ if $i \in \{1,2\}$, $\deg_3(u_i) \le 12$ if $i \in \{3,4,5\}$ and $\deg_3(u_6) \le 11$. Moreover, $G_3[\mathcal{U}] \simeq K_6$. As v, w and t are coloured the same, and $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{2,5\}$, $\{w,t\} \subset \mathcal{N}_3(u_4)$ and $\{v,t\} \subset \mathcal{N}_3(u_5)$, we obtain $|L(u_i)| \ge i$ for every $i \in \{1,2,3,4,5,6\}$. Thus, the graph $G_3[\mathcal{U}]$ is L-colourable, and hence G admits a 3-facial 11-colouring.

L9. We contract the bold edges into a new vertex, take a 3-facial 11-colouring of the graph obtained, and define a 3-facial 11-colouring of $V(G) \setminus \mathcal{U}$ as usual. Then, $G_3[\mathcal{U}] \simeq K_2$. Moreover, $\deg_3(u_1) \le 12$ and $\deg_3(u_2) \le 11$. Furthermore, $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,2\}$. Thus, we infer $|L(u_i)| \ge i$ for $i \in \{1,2\}$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L10. We contract the bold edges into a new vertex, take a 3-facial 11-colouring of the graph obtained, and define a 3-facial 11-colouring of $V(G) \setminus \mathcal{U}$ as usual. Then, $G_3[\mathcal{U}] \simeq K_4$. Moreover, $\deg_3(u_1) \le 13$, $\deg_3(u_2) \le 12$ and $\deg_3(u_i) \le 11$ for $i \in \{3,4\}$. Furthermore, $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,4\}$. Thus, we infer $|L(u_i)| \ge 2$ for $i \in \{1,2\}$, and $|L(u_i)| \ge i$ for $i \in \{3,4\}$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L11. We contract the bold edges into a new vertex h_1, take a 3-facial 11-colouring of the graph obtained, and define a 3-facial 11-colouring c of $V(G) \setminus \mathcal{U}$ as usual. By Lemma 1, $\deg_3(u_1) \le 15$ and $\deg_3(u_i) \le 11$ if $i \in \{2,3,4,5\}$. Moreover, $G_3[\mathcal{U}] \simeq K_5$. As v and w are coloured the same, and $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,4,5\}$, we obtain $|L(u_1)| \ge 1$, $|L(u_i)| \ge 4$ if $i \in \{2,3\}$ and $|L(u_i)| \ge 5$ if $i \in \{4,5\}$. Thus, the graph $G_3[\mathcal{U}]$ is L-colourable, and hence G admits a 3-facial 11-colouring.

L12. Let c' be a 3-facial 11-colouring of the graph G' obtained by contracting the bold edges into a new vertex h_1. Define $c(x) = c'(x)$ for every vertex $x \in V(G) \cap V(G')$, and let $c(v) = c(w) = c'(h_1)$. By Lemma 1, $\deg_3(u_i) \le 15$ for $i \in \{1,2\}$ and $\deg_3(u_i) \le 11$ for $i \in \{3,4,5\}$. Moreover, $G_3[\mathcal{U}] \simeq K_6$. Hence, $|L(u_1)| \ge 1$ and $|L(u_i)| \ge i$ for $i \in \{3,4,5\}$. As v and w are coloured the same, and $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{2,6\}$, we infer that $|L(u_2)| \ge 2$ and $|L(u_6)| \ge 6$. Thus, the graph G is 3-facially 11-colourable.

L13. Let us define the partial 3-facial 11-colouring c as always, regarding the bold edges and the vertices v and w. From Lemma 1 we get $\deg_3(u_1) \le 15$, $\deg_3(u_i) \le 12$ for $i \in \{2,3,4\}$ and $\deg_3(u_5) \le 11$. Moreover, since $G_3[\mathcal{U}] \simeq K_5$ and $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,4,5\}$, we obtain $|L(u_1)| \ge 1$, $|L(u_i)| \ge 3$ for $i \in \{2,3\}$, $|L(u_4)| \ge 4$ and $|L(u_5)| \ge 5$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L14. Define the partial 3-facial 11-colouring c as usual, regarding the bold edges and the vertices v and w. By Lemma 1, $\deg_3(u_1) \le 15$ and $\deg_3(u_i) \le 11$ for $i \in \{2,3,4,5\}$. Moreover, since $G_3[\mathcal{U}] \simeq K_5$ and $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,5\}$, we obtain $|L(u_1)| \ge 1$, $|L(u_i)| \ge 4$ for $i \in \{2,3,4\}$ and $|L(u_5)| \ge 5$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L15. Let us define the partial 3-facial 11-colouring c as always, regarding the bold edges and the vertices v and w. Again, $G_3[\mathcal{U}] \simeq K_5$. From Lemma 1 we get $\deg_3(u_1) \leq 15$ and $\deg_3(u_i) \leq 11$ if $i \in \{2,3,4,5\}$. Moreover, since $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,5\}$, we obtain $|L(u_1)| \geq 1$, $|L(u_i)| \geq 4$ for $i \in \{2,3,4\}$ and $|L(u_5)| \geq 5$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L16. Define the partial 3-facial 11-colouring c as always, regarding the bold edges and the vertices v,w and t. Then, $G_3[\mathcal{U}] \simeq K_5$ and $\deg_3(u_i) \leq 15$ for $i \in \{1,2\}$, $\deg_3(u_i) \leq 12$ for $i \in \{3,4\}$ and $\deg_3(u_5) \leq 11$. Moreover, notice that $\{v,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,4\}$, $\{v,w,t\} \subset \mathcal{N}_3(u_2)$ and $\{v,w\} \subset \mathcal{N}_3(u_5)$. Thus, we obtain $|L(u_1)| \geq 1$, $|L(u_2)| \geq 2$, $|L(u_3)| \geq 3$, $|L(u_4)| \geq 4$ and $|L(u_5)| \geq 5$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L17. Define the partial 3-facial 11-colouring c as always, regarding the bold edges and the vertices v,w and t. Then, $G_3[\mathcal{U}] \simeq K_5$ and $\deg_3(u_i) \leq 15$ for $i \in \{1,2\}$, $\deg_3(u_3) \leq 12$ and $\deg_3(u_i) \leq 11$ for $i \in \{4,5\}$. Moreover, notice that $\{v,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,5\}$, $\{v,w,t\} \subset \mathcal{N}_3(u_2)$ and $\{v,w\} \subset \mathcal{N}_3(u_3)$. Thus, we obtain $|L(u_1)| \geq 1$, $|L(u_2)| \geq 2$, $|L(u_i)| \geq 4$ for $i \in \{3,4\}$ and $|L(u_5)| \geq 5$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L18. Let us define the partial 3-facial 11-colouring c as always, regarding the bold edges and the vertices v and w. Then, $G_3[\mathcal{U}] \simeq K_3$, $\deg_3(u_1) \leq 13$ and $\deg_3(u_i) \leq 11$ for $i \in \{2,3\}$. Moreover, $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,2,3\}$. Thus, we obtain $|L(u_1)| \geq 1$ and $|L(u_i)| \geq 3$ for $i \in \{2,3\}$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L19. Again, $G_3[\mathcal{U}] \simeq K_5$ and $\deg_3(u_i) \leq 15$ for $i \in \{1,2\}$ while $\deg_3(u_i) \leq 11$ for $i \in \{3,4,5\}$. Furthermore, $\{v,w\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,3,4\}$, $\{v,t\} \subset \mathcal{N}_3(u_5)$ and $\{v,w,t\} \subset \mathcal{N}_3(u_2)$. Thus, we deduce $|L(u_1)| \geq 1$, $|L(u_2)| \geq 2$ and $|L(u_i)| \geq 5$ for $i \in \{3,4,5\}$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L20. Here, $G_3[\mathcal{U}] \simeq K_6$. Also, $\deg_3(u_i) \leq 15$ for $i \in \{1,2,3\}$, $\deg_3(u_4) \leq 13$ and $\deg_3(u_i) \leq 11$ for $i \in \{5,6\}$. Furthermore, $\{w,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,6\}$, $\{v,w,t\} \subset \mathcal{N}_3(u_3)$ and $\{v,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{2,4\}$. Thus, we infer $|L(u_i)| \geq 2$ for $i \in \{1,2\}$, $|L(u_3)| \geq 3$, $|L(u_4)| \geq 4$, $|L(u_5)| \geq 5$ and $|L(u_6)| \geq 6$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L21. Again $G_3[\mathcal{U}] \simeq K_6$. Also, $\deg_3(u_i) \leq 15$ for $i \in \{1,2,3\}$, $\deg_3(u_i) \leq 12$ for $i \in \{4,5\}$ and $\deg_3(u_6) \leq 11$. Furthermore, $\{w,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,5\}$, $\{v,w,t\} \subset \mathcal{N}_3(u_3)$ and $\{v,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{2,6\}$. Thus, we infer $|L(u_i)| \geq 2$ for $i \in \{1,2\}$ and $|L(u_i)| \geq i$ for $i \in \{3,4,5,6\}$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L22. In this case, $G_3[\mathcal{U}] \simeq K_6$. Also, $\deg_3(u_i) \leq 13$ for $i \in \{1,2,3,4\}$ and $\deg_3(u_i) \leq 12$ for $i \in \{5,6\}$. Furthermore, $\{v,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{4,5\}$, $\{v,w,t\} \subset \mathcal{N}_3(u_6)$ and $\{w,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{2,3\}$. Thus, we infer $|L(u_1)| \geq 3$, $|L(u_i)| \geq 4$ for $i \in \{2,3,4\}$, $|L(u_5)| \geq 5$ and $|L(u_6)| \geq 6$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

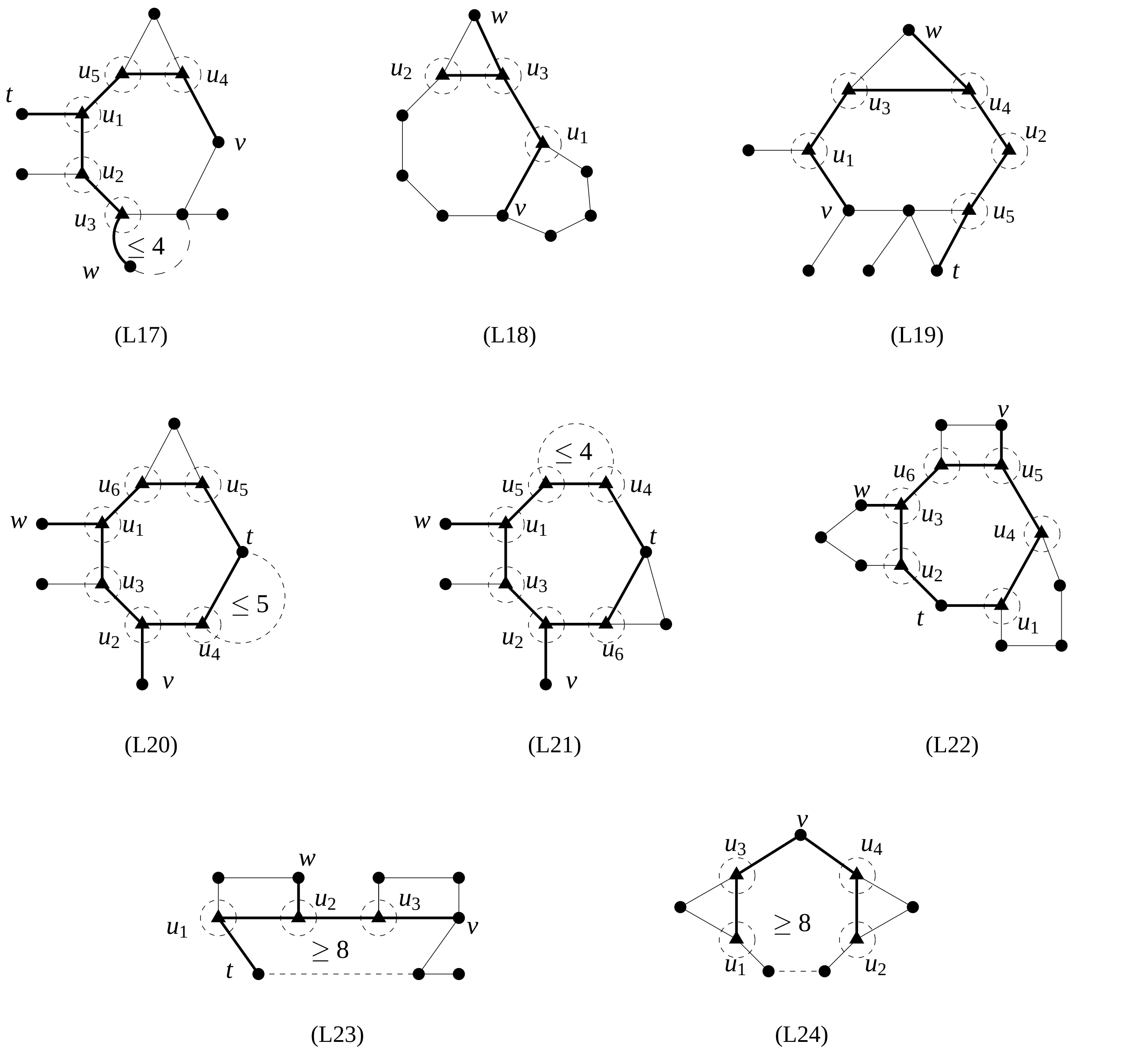

Figure 4: Reducible configurations (L17)–(L24).

L23. In this case, $G_3[\mathcal{U}] \simeq K_3$. Also, $\deg_3(u_i) \leq 12$ for $i \in \{1,2,3\}$. Moreover, $\{v,w,t\} \subset \mathcal{N}_3(u_i)$ for $i \in \{1,2,3\}$. Thus, we infer $|L(u_i)| \geq 3$ for $i \in \{1,2,3\}$. Therefore, $G_3[\mathcal{U}]$ is L-colourable.

L24. Define the partial colouring c as always, regarding the bold edges and the vertex v. Remark that $G_3[\mathcal{U}]$ is isomorphic to the complete graph on four vertices minus one edge K_4^-, since $u_1 \notin \mathcal{N}_3(u_2)$ (because the face has size at least 8). By Lemma 1, $\deg_3(u_i) \leq 11$ for every $i \in \{1,2,3,4\}$. Thus, $|L(u_i)| \geq 2$ for $i \in \{1,2\}$ and $|L(u_i)| \geq 3$ for $i \in \{3,4\}$. Hence, the graph $G_3[\mathcal{U}]$ is L-colourable. This assertion can be directly checked, or seen as a consequence of a theorem independently proved by Borodin [2] and Erdős, Rubin and Taylor [8] (see also [16]), stating that a connected graph is degree-choosable unless it is a *Gallai tree*, that is each of its blocks is either complete or an odd cycle. □

Corollary 1. *Every $(3,11)$-minimal graph G has the following properties:*

(i) *Let f_1, f_2 be two 5-faces of G with a common edge xy. Then, x and y are not both 3-vertices.*

(ii) *Let f be a 7-face whose every incident vertex is a 3-vertex. If f is adjacent to a 3-face, then every other face adjacent to f is a (≥ 7)-face.*

(iii) *If two adjacent dangerous vertices do not lie on a same (≤ 4)-face, then none of them is incident to a 3-face.*

(iv) *Two dangerous vertices incident to a same 6-face are not adjacent.*

(v) *There cannot be four consecutive dangerous vertices incident to a same (≥ 6)-face.*

(vi) *A very-bad face is adjacent to at least three (≥ 7)-faces.*

(vii) *A bad face is adjacent to at least two (≥ 7)-faces.*

Proof.

(i) By Lemma $2(v)$, $\deg_3(x) + \deg_3(y) \geq 23$. By Lemma 1, the 3-facial degree of a 3-vertex incident to two 5-faces is at most 11. Hence at least one of x and y is a (≥ 4)-vertex.

(ii) First note that, according to Lemma $2(iii)$, the faces adjacent to both f and the 3-face has size at least 7. Hence, f is adjacent to at most four (≤ 6)-faces. Now, the assertion directly follows from the reducibility of the configurations (L1) and (L2) of Figure 2.

(iii) This follows from the reducibility of the configuration (L4) of Figure 2.

(iv) Suppose the contrary, and let x and y be two such vertices. By Lemma $2(iii)$, a 6-face is not adjacent to a 3-face, hence both x and y are incident to a 4-face. Then, $\deg_3(x) \leq 11$ and $\deg_3(y) \leq 11$, which contradicts Lemma $2(v)$.

(v) Suppose that the assertion is false. Then, according to the third item of this corollary, the graph G must contain the configuration (L5) or (L6) of Figure 2, which are both reducible.

(vi) Let f be a very-bad face. By the first item of this corollary and Lemma 3, two adjacent (≤ 6)-faces cannot be both adjacent to f. Hence, f is adjacent to at most two such faces.

(vii) Let f be a bad face, and denote by α_i, $i \in \{1,2,3,4,5\}$ its incident vertices in clockwise order. Without loss of generality, assume that, for every $i \in \{1,2,3,4\}$, α_i is a dangerous vertex. For $i \in \{1,2,3,4\}$, denote by f_i the face adjacent to f and incident to both α_i and α_{i+1}. According to the first item of this corollary and Lemma 3, at most two faces among f_1, f_2, f_3, f_4 can be (≤ 6)-faces. This concludes the proof.

□

3 Proof of Theorem 1

Suppose that Theorem 1 is false, and let G be a $(3,11)$-minimal graph. We shall get a contradiction by using the Discharging Method. Here is an overview of the proof: each vertex and face is assigned an initial charge. The total sum of the charges is known to be negative by Euler's Formula. Then, some redistribution rules are defined, and each vertex and face gives or receives some charge according to these rules. The total sum of the charges is not changed during this step, but at the end we shall show, by case analysis, that the charge of each vertex and each face is non-negative, a contradiction.

Initial charge. First, we assign a charge to each vertex and face. For every $v \in V(G)$, we define the initial charge

$$\mathrm{ch}(v) = d(v) - 4,$$

where $d(v)$ is the degree of the vertex v in G. Similarly, for every $f \in F(G)$, where $F(G)$ is the set of faces of G, we define the initial charge

$$\mathrm{ch}(f) = r(f) - 4,$$

with $r(f)$ the length of the face f. By Euler's formula the total sum is

$$\sum_{v \in V(G)} \mathrm{ch}(v) + \sum_{f \in F(G)} \mathrm{ch}(f) = -8.$$

Rules. We use the following discharging rules to redistribute the initial charge.

Rule R1. *A (≥ 5)-face sends $1/3$ to each of its incident safe vertices and $1/2$ to each of its incident dangerous vertices.*

Rule R2. *A (≥ 7)-face sends $1/3$ to each adjacent 3-face.*

Rule R3. *A (≥ 7)-face sends $1/6$ to each adjacent bad face.*

Rule R4. *A* 6*-face sends* $1/12$ *to each adjacent very-bad face.*

Rule R5. *A* (≥ 5)*-vertex* v *gives* $2/3$ *to an incident face* f *if and only if there exist two* 3*-faces both incident to* v *and both adjacent to* f*. (Note that the size of such a face* f *is at least* 7*.)*

We shall prove now that the final charge $\mathrm{ch}^*(x)$ of every $x \in V(G) \cup F(G)$ is non-negative. Therefore, we obtain

$$-8 = \sum_{v\in V(G)} \mathrm{ch}(v) + \sum_{f\in F(G)} \mathrm{ch}(f) = \sum_{v\in V(G)} \mathrm{ch}^*(v) + \sum_{f\in F(G)} \mathrm{ch}^*(f) \geq 0,$$

a contradiction.

Final charge of vertices. First, as noticed in Lemma $2(iv)$, G has minimum degree at least three. Let v be an arbitrary vertex of G. We will prove that its final charge $\mathrm{ch}^*(v)$ is non-negative. In order to do so, we consider a few cases regarding its degree. So, suppose first that v is a 3-vertex. If v is a safe vertex, then by Rule R1 its final charge is $\mathrm{ch}^*(v) = -1 + 3 \cdot \frac{1}{3} = 0$. Similarly, if v is dangerous, then $\mathrm{ch}^*(v) = -1 + 2 \cdot \frac{1}{2} = 0$. If v is a 4-vertex then it neither receives nor sends any charge. Thus, $\mathrm{ch}^*(v) = \mathrm{ch}(v) = 0$.

Finally, suppose that v is of degree $d \geq 5$. Notice that v may send charge only by Rule R5. This may occur at most $d/2$ times if d is even, and at most $\lfloor d/2 \rfloor - 1$ times if d is odd (since two 3-faces are not adjacent). Thus, $\mathrm{ch}^*(v) \geq d - 4 - \left\lfloor \frac{d}{2} \right\rfloor \cdot \frac{2}{3}$, which is non-negative if $d \geq 6$. For $d = 5$, $\mathrm{ch}^*(v) \geq 5 - 4 - \frac{2}{3} > 0$.

Final charge of faces. Let f be an arbitrary face of G. Denote by `fce` and `bad` the number of 3-faces and the number of bad faces adjacent to f, respectively. Denote by `sfe` and `dgs` the number of safe vertices and the number of dangerous vertices incident to f, respectively. We will prove that the final charge $\mathrm{ch}^*(f)$ of f is non-negative. In order to do so, we consider a few cases regarding the size of f.

f **is a** 3**-face.** It is adjacent only to (≥ 7)-faces by Lemma $2(iii)$. Thus, by Rule R2, f receives $1/3$ from each of its three adjacent faces, so we obtain $\mathrm{ch}^*(f) = 0$.

f **is a** 4**-face.** It neither receives nor sends any charge. Thus, $\mathrm{ch}^*(f) = \mathrm{ch}(f) = 0$.

f **is a** 5**-face.** Then, f is adjacent only to (≥ 5)-faces due to Lemma $2(iii)$. So a 5-face may send charge only to its incident 3-vertices, which are all safe. Consider the following cases regarding the number `sfe` of such vertices.

`sfe` ≤ 3: Then, $\mathrm{ch}^*(v) \geq 1 - 3 \cdot \frac{1}{3} = 0$.

`sfe` $= 4$: In this case, f is a bad face. According to Corollary $1(vii)$, at least two of the faces that are adjacent to f have size at least 7. Thus, according to Rule R3, f receives $1/6$ from at least two of its adjacent faces. Hence, we conclude that $\mathrm{ch}^*(v) \geq 1 - 4 \cdot \frac{1}{3} + 2 \cdot \frac{1}{6} = 0$.

$\mathtt{sfe} = 5$: Then f is a very-bad face, and so, according to Corollary 1(vi), at least three faces adjacent to f have size at least 7. Moreover, all faces adjacent to f have size at least 6 by Lemma 2(iii) and Corollary 1(i). By Rules R3 and R4, it follows that the neighbouring faces of f send at least $4 \cdot 1/6$ to f, which implies that $\mathrm{ch}^*(v) \geq 1 - 5 \cdot \frac{1}{3} + 4 \cdot \frac{1}{6} = 0$.

f is a 6-face. By Lemma 2(iii), $\mathtt{fce} = 0$. Denote by $\mathtt{vbd}$ number of very-bad faces adjacent to f. The final charge of f is $2 - \mathtt{dgs} \cdot \frac{1}{2} - \mathtt{sfe} \cdot \frac{1}{3} - \mathtt{vbd} \cdot \frac{1}{12}$ due to Rules R1 and R4.

According to Corollary 1(iv), two dangerous vertices on f cannot be adjacent so there are at most three dangerous vertices on f. Observe also that $\mathtt{vbd} \leq \mathtt{sfe}/2$ by Corollary 1(i) and because a very-bad face adjacent to f is incident to two safe vertices of f. Let us consider the final charge of f regarding its number of dangerous vertices.

$\mathtt{dgs} = 3$: Since a safe vertex is not incident to a (≤ 4)-face, there is at most one safe vertex incident to f, i.e. $\mathtt{sfe} \leq 1$. Thus, $\mathtt{vbd} = 0$, and hence, $\mathrm{ch}^*(f) \geq 2 - 3 \cdot \frac{1}{2} - \frac{1}{3} > 0$.

$\mathtt{dgs} = 2$: Then, $\mathtt{sfe} \leq 3$. Let us distinguish two cases according to the value of $\mathtt{sfe}$.

$\mathtt{sfe} = 3$: Notice that $\mathtt{vbd} = 0$, otherwise it would contradict the reducibility of (L3). Hence, $\mathrm{ch}^*(f) \geq 2 - 2 \cdot \frac{1}{2} - 3 \cdot \frac{1}{3} = 0$.

$\mathtt{sfe} \leq 2$: In this case, there is at most one very-bad face adjacent to f, so $\mathrm{ch}^*(f) \geq 2 - 2 \cdot \frac{1}{2} - 2 \cdot \frac{1}{3} - \frac{1}{12} > 0$.

$\mathtt{dgs} = 1$: Then, $\mathtt{sfe} \leq 4$ and $\mathtt{vbd} \leq 1$ because (L3) is reducible. So, $\mathrm{ch}^*(f) \geq 2 - \frac{1}{2} - \frac{4}{3} - \frac{1}{12} > 0$.

$\mathtt{dgs} = 0$: If $\mathtt{sfe} \geq 5$ then, because (L3) is reducible, $\mathtt{vbd} = 0$, therefore $\mathrm{ch}^*(f) \geq 2 - \frac{6}{3} = 0$. And, if $\mathtt{sfe} \leq 4$, then $\mathtt{vbd} \leq 2$, so $\mathrm{ch}^*(f) \geq 2 - 4 \cdot \frac{1}{3} - 2 \cdot \frac{1}{12} > 0$.

f is a 7-face. The final charge of f is at least $3 - \mathtt{dgs} \cdot \frac{1}{2} - (\mathtt{fce} + \mathtt{sfe}) \cdot \frac{1}{3} - \mathtt{bad} \cdot \frac{1}{6}$.

According to Corollary 1(v), four dangerous vertices cannot be consecutive on f, hence there cannot be more than five dangerous vertices on f. Denote by $\alpha_1, \alpha_2, \ldots, \alpha_7$ the vertices of f in clockwise order. Let $\mathcal{D}$ be the set of dangerous vertices of f, so $\mathtt{dgs} = |\mathcal{D}|$. We shall look at the final charge of f, regarding its number $\mathtt{dgs}$ of dangerous vertices.

$\mathtt{dgs} = 5$: Up to symmetry, $\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_3, \alpha_5, \alpha_6\}$. Suppose first that α_5 and α_6 are not incident to a same (≤ 4)-face. Then, there can be neither a safe vertex incident to f nor a bad face adjacent to f, because a safe vertex is not incident to a (≤ 4)-face, and also a bad face is not adjacent to a (≤ 4)-face. Moreover, by Corollary 1(iii), there is no 3-face adjacent to f. Therefore, $\mathrm{ch}^*(f) \geq 3 - \frac{5}{2} > 0$. Now, if α_5 and α_6 are incident to a same (≤ 4)-face, then the vertex α_4 must be a (≥ 4)-vertex by the reducibility of (L7), and because it is not a dangerous vertex. Hence, there is no safe vertex and no bad face adjacent to f, so its charge is $\mathrm{ch}^*(f) \geq 3 - \frac{5}{2} - \frac{1}{3} > 0$.

$\texttt{dgs} = 4$: We consider several subcases, according to the relative position of the dangerous vertices on f. Recall that, by Corollary $1(v)$, there are at most three consecutive dangerous vertices. Without loss of generality, we only need to consider the following three possibilities:

$\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_3, \alpha_5\}$: The charge of f is $\mathrm{ch}^*(f) = 1 - (\texttt{fce} + \texttt{sfe}) \cdot \frac{1}{3} - \texttt{bad} \cdot \frac{1}{6}$. Moreover, $\texttt{sfe} \leq 2$, $\texttt{bad} \leq 1$ and $\texttt{fce} + \texttt{sfe} \leq 3$ by Corollary $1(iii)$ and because a safe vertex is not incident to a (≤ 4)-face. So, $\mathrm{ch}^*(f)$ is negative if and only if $\texttt{sfe} = 2, \texttt{bad} = 1$ and $\texttt{fce} = 1$. But in this case, the obtained configuration is (L8), which is reducible.

$\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_4, \alpha_5\}$: As a bad face is neither adjacent to a (≤ 4)-face nor incident to a dangerous vertex, we get $\texttt{bad} \leq 1$. Observe also that, as α_3 is not dangerous, it has degree at least four by the reducibility of (L7) and (L11). Thus, $\texttt{sfe} \leq 2$. Suppose first that $\texttt{bad} = 1$, then $\texttt{sfe}$ is one or two. According to the reducibility of (L10), we infer $\texttt{sfe} + \texttt{fce} \leq 2$. Hence, $\mathrm{ch}^*(f) \geq 3 - 4 \cdot \frac{1}{2} - 2 \cdot \frac{1}{3} - \frac{1}{6} > 0$. Suppose now that $\texttt{bad} = 0$. We have $\texttt{fce} \leq 3$ and $\texttt{sfe} \leq 2$. If $\texttt{fce} = 3$ then $\texttt{sfe} = 0$, and if $\texttt{fce} = 2$, then $\texttt{sfe} \leq 1$ according to the reducibility of (L12). So, $\texttt{fce} + \texttt{sfe} \leq 3$. Therefore, $\mathrm{ch}^*(f) \geq 3 - 4 \cdot \frac{1}{2} - (\texttt{fce} + \texttt{sfe}) \cdot \frac{1}{3} \geq 0$.

$\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_4, \alpha_6\}$: In this case, there is no bad face adjacent to f. Furthermore, by Corollary $1(iii)$, $\texttt{fce} \leq 3$ and $\texttt{sfe} \leq 2$, as the dangerous vertices α_4 and α_6 prevent at least one non-dangerous vertex from being safe. Observe that $\texttt{fce} + \texttt{sfe} \neq 5$ since otherwise it would contradict the reducibility of (L13). According to the reducibility of (L13), if $\texttt{fce} + \texttt{sfe} = 4$ then $\texttt{fce} = 3$ and no two 3-faces have a common vertex. Hence, the obtained configuration is isomorphic to (L14) or (L15), which are both reducible. So, $\texttt{fce} + \texttt{sfe} \leq 3$ and thus $\mathrm{ch}^*(f) \geq 3 - 2 - (\texttt{fce} + \texttt{sfe}) \cdot \frac{1}{3} \geq 0$.

$\texttt{dgs} = 3$: Again, we consider several subcases according to the relative position of the dangerous vertices on f.

$\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_3\}$: Then $\texttt{fce} + \texttt{sfe} \leq 3$ by Corollary $1(iii)$, and $\texttt{bad} \leq 2$. Thus, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 3 \cdot \frac{1}{3} - 2 \cdot \frac{1}{6} > 0$.

$\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_4\}$: Then, $\texttt{fce} \leq 4$. We shall now examine the situation according to each possible value of $\texttt{fce}$.

$\texttt{fce} = 4$: Necessarily, $\texttt{sfe} \leq 1$ and $\texttt{bad} = 0$. Now, if $\texttt{sfe} = 0$, then $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} > 0$. And, if $\texttt{sfe} = 1$, then the safe vertex must be α_3. Moreover, α_5 must be a (≥ 5)-vertex because (L9) is reducible. Hence, f is incident to α_5 between two 3-faces, so by Rule R5 the vertex α_5 gives $\frac{2}{3}$ to f. Thus, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 5 \cdot \frac{1}{3} + \frac{2}{3} > 0$.

$\texttt{fce} = 3$: Suppose first that one of the dangerous vertices is incident to a 4-face. Necessarily, $\texttt{sfe} \leq 1$ and $\texttt{bad} \leq 1$. Thus, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} - \frac{1}{12} = 0$. Suppose now that no dangerous vertex is incident to a 4-face. In particular, $\texttt{sfe} \leq 2$. If $\texttt{sfe} = 2$ then the obtained configuration contradicts the reducibility of (L19). Hence, $\texttt{sfe} \leq 1$ and $\texttt{bad} \leq 1$. Therefore, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} - \frac{1}{6} = 0$.

$\texttt{fce} = 2$: We shall prove that $\texttt{sfe} \leq 2$. This is clear if α_1 and α_2 are not incident to a same 3-face. So, we may assume that the edge $\alpha_1\alpha_2$ lies on a 3-face. But then we obtain the inequality due to the reducibility of (L19) and (L20). Using Corollary $1(i)$ and $\texttt{sfe} \leq 2$, we infer that $\texttt{bad} \leq 1$. Hence, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} - \frac{1}{6} = 0$.

$\texttt{fce} = 1$: Then $\texttt{sfe} \leq 3$ and $\texttt{bad} \leq 2$. If $\texttt{sfe} = 3$ and $\texttt{bad} = 2$, the obtained configuration contradicts the reducibility (L20) or (L21). So, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} - \frac{1}{6} = 0$.

$\texttt{fce} = 0$: Again, $\texttt{sfe} \leq 3$ and $\texttt{bad} \leq 2$, so $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 3 \cdot \frac{1}{3} - 2 \cdot \frac{1}{6} > 0$.

$\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_5\}$: As in the previous case, $\texttt{fce} \leq 4$ and we look at all the possible cases according to the value of $\texttt{fce}$. Since a bad face is not incident to a dangerous vertex, notice that only edges $\alpha_3\alpha_4$ and $\alpha_6\alpha_7$ can be incident to a bad face. In particular, $\texttt{bad} \leq 2$.

$\texttt{fce} = 4$: In this case, $\texttt{sfe} = 0$ and $\texttt{bad} = 0$. Therefore, $\mathrm{ch}^*(f) = 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} > 0$.

$\texttt{fce} = 3$: If one of the dangerous vertices is incident to a 4-face then $\texttt{sfe} = 0$, hence $\texttt{bad} = 0$. Thus, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 3 \cdot \frac{1}{3} \geq 0$. So now, we infer that $\texttt{sfe}$ cannot be 2, otherwise it would contradict the reducibility of (L16). Therefore, $\texttt{sfe}$ is at most one, and so $\texttt{bad} \leq 1$ by Corollary $1(i)$. Thus, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} - \frac{1}{6} = 0$.

$\texttt{fce} = 2$: According to the reducibility of (L16) and (L17), $\texttt{sfe} \leq 2$. As $\mathrm{ch}^*(f) = 3 - 3 \cdot \frac{1}{2} - (\texttt{fce} + \texttt{sfe}) \cdot \frac{1}{3} - \texttt{bad} \cdot \frac{1}{6}$, we deduce $\mathrm{ch}^*(f) < 0$ if and only if $\texttt{sfe} = 2$ and $\texttt{bad} = 2$. In this case, the obtained configuration is (L18), which is reducible.

$\texttt{fce} = 1$: Because (L16) and (L17) are reducible, $\texttt{sfe} \leq 2$. So, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 3 \cdot \frac{1}{3} - 2 \cdot \frac{1}{6} > 0$.

$\texttt{fce} = 0$: Then $\texttt{sfe} \leq 3$, and so $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{3}{2} - 3 \cdot \frac{1}{3} - 2 \cdot \frac{1}{6} > 0$.

$\mathcal{D} = \{\alpha_1, \alpha_3, \alpha_5\}$: In this case, $\texttt{sfe} \leq 2$ since a safe vertex is not incident to a (≤ 4)-face, and $\texttt{bad} \leq 1$, since a bad face cannot be incident to a dangerous vertex. Moreover, $\texttt{fce} \leq 4$. Let us examine the possible cases regarding the value of $\texttt{fce}$.

$\texttt{fce} = 4$: Observe that $\texttt{sfe} \leq 1$ and $\texttt{bad} = 0$. Note also one of $\alpha_2, \alpha_3, \alpha_6, \alpha_7$ is adjacent to a dangerous vertex, and incident to f between two triangles. Hence, by the reducibility of (L9), it has degree at least five, and by Rule R5, it sends $\frac{2}{3}$ to f. Thus, $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 5 \cdot \frac{1}{3} + \frac{2}{3} > 0$.

$\texttt{fce} = 3$: If $\texttt{sfe} \leq 1$ then $\mathrm{ch}^*(f) \geq 3 - 3 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} - \frac{1}{6} = 0$. And, if $\texttt{sfe} = 2$ then, up to symmetry, the two safe vertices are either α_6 and α_7, or α_2 and α_6. In the former case, one of α_2, α_4 is incident to f at the intersection of two 3-faces. Furthermore, it must be a (≥ 5)-vertex due to the reducibility of (L9). In the latter case, the same holds for α_4 due to the reducibility of (L9). Hence, in both cases the face f receives $2/3$ from one of its incident vertices by Rule R5. Recall that $\mathtt{bad} \le 1$, and therefore, $\mathrm{ch}^*(f) \ge 3 - 3\cdot\frac{1}{2} - 5\cdot\frac{1}{3} - 2\cdot\frac{1}{6} + \frac{2}{3} > 0$.

$\mathtt{fce} \le 2$: As $\mathtt{sfe} \le 2$ and $\mathtt{bad} \le 1$, we infer that $\mathrm{ch}^*(f) \ge 3 - 3\cdot\frac{1}{2} - 4\cdot\frac{1}{3} - \frac{1}{6} = 0$.

$\mathtt{dgs} = 2$: Again, we consider several subcases, regarding the position of the dangerous vertices on f.

$\mathcal{D} = \{\alpha_1, \alpha_2\}$: Observe that $\mathtt{bad} \le 3$, and according to Corollary $1(iii)$, $\mathtt{fce} + \mathtt{sfe} \le 6$. We consider three cases, according to the value of $\mathtt{fce} + \mathtt{sfe}$.

$\mathtt{fce} + \mathtt{sfe} = 6$: All the vertices incident to f have degree three, and f is adjacent to a 3-face. Thus, by Corollary $1(ii)$, f is not adjacent to any (≤ 6)-face. In particular, no bad face is adjacent to f, i.e. $\mathtt{bad} = 0$. Hence, $\mathrm{ch}^*(f) \ge 3 - 1 - 6\cdot\frac{1}{3} = 0$.

$\mathtt{fce} + \mathtt{sfe} = 5$: If $\mathtt{bad} \le 2$, then $\mathrm{ch}^*(f) \ge 3 - 1 - 5\cdot\frac{1}{3} - 2\cdot\frac{1}{6} = 0$. Otherwise, $\mathtt{bad} = 3$. Note that the edge $\alpha_1\alpha_2$ must be incident to a (≤ 4)-face. If this face is of size four, then we obtain configuration (L22). Suppose now that this face is of size three. Since there is no three consecutive bad faces around f, we can assume that each of the edges $\alpha_3\alpha_4$ and $\alpha_6\alpha_7$ lies on a bad face. By the reducibility of (L18), we conclude that α_3 and α_7 have degree at least four. But then, $\mathtt{fce} + \mathtt{sfe} < 5$.

$\mathtt{fce} + \mathtt{sfe} \le 4$: In this case, $\mathrm{ch}^*(f) \ge 3 - 1 - 4\cdot\frac{1}{3} - 3\cdot\frac{1}{6} > 0$.

$\mathcal{D} = \{\alpha_1, \alpha_3\}$ *or* $\mathcal{D} = \{\alpha_1, \alpha_4\}$: Again $\mathtt{fce} + \mathtt{sfe} \le 6$, and we consider two cases regarding the value of $\mathtt{fce} + \mathtt{sfe}$. Since a bad face is not incident to a dangerous vertex, we infer that $\mathtt{bad} \le 3$.

$\mathtt{fce} + \mathtt{sfe} = 6$: Suppose first that $\mathcal{D} = \{\alpha_1, \alpha_3\}$. Let $P_1 = \alpha_1\alpha_2\alpha_3$ and $P_2 = \alpha_3\alpha_4\alpha_5\alpha_6\alpha_7\alpha_1$. In order to assure $\mathtt{fce} + \mathtt{sfe} = 6$, observe that all edges of P_1 are incident to 3-faces and all inner vertices of P_2 are safe, or vice-versa. Thus, α_2 or α_4 is a (≥ 5)-vertex by the reducibility of (L9). Hence, it gives $\frac{2}{3}$ to f by Rule R5. Therefore, $\mathrm{ch}^*(f) \ge 3 - 2\cdot\frac{1}{2} - 6\cdot\frac{1}{3} - 3\cdot\frac{1}{6} + \frac{2}{3} > 0$.
Suppose now that $\mathcal{D} = \{\alpha_1, \alpha_4\}$. Similarly as above, one can show that α_2 or α_5 is a (≥ 5)-vertex that donates $\frac{2}{3}$ to f. Hence, $\mathrm{ch}^*(f) \ge 3 - 2\cdot\frac{1}{2} - 6\cdot\frac{1}{3} - \frac{3}{6} + \frac{2}{3} > 0$.

$\mathtt{fce} + \mathtt{sfe} \le 5$: Notice that $\mathtt{bad} \le 2$. Therefore, $\mathrm{ch}^*(f) \ge 3 - 2\cdot\frac{1}{2} - 5\cdot\frac{1}{3} - 2\cdot\frac{1}{6} = 0$.

$\mathtt{dgs} = 1$: Then $\mathtt{fce} + \mathtt{sfe} \le 6$ and, by Corollary $1(i)$, we infer that $\mathtt{bad} \le 3$. So, $\mathrm{ch}^*(f) \ge 3 - \frac{1}{2} - 6\cdot\frac{1}{3} - 3\cdot\frac{1}{6} = 0$.

$\mathtt{dgs} = 0$: By Corollary $1(i)$, $\mathtt{fce} + \mathtt{sfe} \le 7$ and $\mathtt{bad} \le 4$. So, $\mathrm{ch}^*(f) \ge 3 - 7\cdot\frac{1}{3} - 4\cdot\frac{1}{6} = 0$.

f is an 8-face. Because (L4) and (L23) are reducible, there cannot be three consecutive dangerous vertices on f. Hence, $\mathtt{dgs} \le 5$. Denote by α_i, $i \in \{1, 2, \ldots, 8\}$, the vertices incident to f in clockwise order, and let $\mathcal{D}$ be the set of dangerous vertices incident to f.

$\mathtt{dgs} = 5$: Up to symmetry, $\mathcal{D} = \{\alpha_1, \alpha_2, \alpha_4, \alpha_5, \alpha_7\}$. Since a bad face is not incident to a dangerous vertex, necessarily $\mathtt{bad} = 0$. For $i \in \{1,4\}$, denote by f_i the face adjacent to f and incident to both α_i and α_{i+1}. Since (L24) is reducible, at most one of f_1 and f_4 is a 3-face. Furthermore, at most two of $\alpha_3, \alpha_6, \alpha_8$ can be safe vertices, since at least one of α_6, α_8 is a (≥ 4)-vertex. Therefore, $\mathtt{fce} \leq 2$, $\mathtt{sfe} \leq 2$ and so, $\mathrm{ch}^*(f) \geq 4 - 5 \cdot \frac{1}{2} - 4 \cdot \frac{1}{3} > 0$.

$\mathtt{dgs} = 4$: Up to symmetry, the set of dangerous vertices is $\{\alpha_1, \alpha_2, \alpha_4, \alpha_5\}$, $\{\alpha_1, \alpha_2, \alpha_5, \alpha_6\}$, $\{\alpha_1, \alpha_2, \alpha_4, \alpha_6\}$, $\{\alpha_1, \alpha_2, \alpha_4, \alpha_7\}$ or $\{\alpha_1, \alpha_3, \alpha_5, \alpha_7\}$. In any case, $\mathtt{bad} \leq 2$ and $\mathtt{fce} + \mathtt{sfe} \leq 5$. Hence, $\mathrm{ch}^*(f) \geq 4 - \frac{4}{2} - \frac{5}{3} - \frac{2}{6} = 0$.

$\mathtt{dgs} = 3$: Then, $\mathtt{fce} + \mathtt{sfe} \leq 6$ and $\mathtt{bad} \leq 3$. So, $\mathrm{ch}^*(f) \geq 4 - \frac{3}{2} - \frac{6}{3} - \frac{3}{6} = 0$.

$\mathtt{dgs} = 2$: Then, $\mathtt{fce} + \mathtt{sfe} \leq 7$, and by Corollary $1(i)$, $\mathtt{bad} \leq 4$. Thus, $\mathrm{ch}^*(f) \geq 4 - \frac{2}{2} - \frac{7}{3} - \frac{4}{6} = 0$.

$\mathtt{dgs} = 1$: Again, $\mathtt{fce} + \mathtt{sfe} \leq 7$ and $\mathtt{bad} \leq 4$, so $\mathrm{ch}^*(f) \geq 4 - \frac{1}{2} - \frac{7}{3} - \frac{4}{6} > 0$.

$\mathtt{dgs} = 0$: By Corollary $1(i)$, $\mathtt{bad} \leq 5$. So, $\mathrm{ch}^*(f) \leq 4 - \frac{8}{3} - \frac{5}{6} > 0$.

f is a (≥ 9)-face. Let f be a k-face with $k \geq 9$, and denote by $u_1, u_2, \ldots, u_{\mathtt{dgs}}$ the dangerous vertices on f in clockwise order. Denote by f_i the (≤ 4)-face incident to u_i. The facial segment $P = u_i w_1 w_2 \ldots w_j u_{i+1}$ of f between u_i and u_{i+1} (in clockwise order) is of one of the five following types:

(a) if $j \geq 1$, w_1 is not incident to f_i and w_j is not incident to f_{i+1};

(b) if $j \geq 1$, w_1 is incident to f_i and w_j is incident to f_{i+1};

(c) if $j \geq 1$ and not of type (a) or (b);

(d) if $j = 0$ and both f_i and f_{i+1} are the same 3-face; and

(e) if $j = 0$ and not of type (d).

We denote by α the number of paths of type (a), β the number of paths of type (b), γ the number of paths of type (c), δ the number of paths of type (d) and ε the number of paths of type (e). Note that a path of type (d) or (e) is of length one. Observe that the following holds:

Claim 1. $\alpha + \beta + \gamma + \delta + \varepsilon = \mathtt{dgs}$.

We now bound the number of safe vertices and 3-faces.

Claim 2. $\mathtt{fce} + \mathtt{sfe} \leq k - \alpha - \gamma - \varepsilon$.

For each ℓ-path P of type $(a),(c)$ or (e) the number of safe vertices on P plus the number of 3-faces which share an edge with P is at most $\ell-1$. Indeed, for any path of one of these types, there are at most ℓ faces different from f and incident to an edge of the path, but at least one of them is not a (≤ 4)-face. There are $\ell-1$ vertices on the path, so at most $\ell-1$ safe vertices. Furthermore, every (≤ 4)-face prevents at least one vertex from being safe. Observe also that an ℓ-path of type (b) or (d) contributes for at most ℓ, which thus yields Claim 2.

We distinguish two kinds of paths of type (e): a path of type (e) is of *type* (e_0) if its edge is not incident to a 4-face. Otherwise, it is of *type* (e_1). Let ε_i be the number of paths of type (e_i), $i \in \{0,1\}$.

Claim 3. $\mathtt{bad} \leq k - 2\mathtt{dgs} + \delta + \varepsilon_1$.

First, remark that each dangerous vertex prevents its two incident edges on f from belonging to a bad face, since no bad face is incident to a dangerous vertex. By the reducibility of (L23), there cannot be three consecutive dangerous vertices on f, so it only remains to consider two consecutive dangerous vertices, i.e. paths of type (d) or (e). A path of type (d) or (e_1) prevents exactly three edges of f from being incident to a bad face. Every 1-path of type (e_0) prevents at least four edges of f from being incident to a bad face. To see this, consider a path $u_1u_2u_3u_4u_5u_6$, where u_2u_3 is a 1-path of type (e_0). Clearly, none of u_1u_2, u_2u_3, u_3u_4 is incident to a bad face. We claim that at least one of u_4u_5, u_5u_6 is not incident to a bad face. Otherwise, if u_4u_5 is incident to a bad face, then by Lemma 2(iii), u_4 must be a (≥ 4)-vertex. Hence, by Corollary 1(i), u_5u_6 is not incident to a bad face. As no three dangerous vertices are consecutive of f, this proves Claim 3.

Claim 4. $\alpha - \beta + \varepsilon_0 = \delta + \varepsilon_1$.

Associate each dangerous vertex u_i with its incident (≤ 4)-face f_i. Each path of type (a) contains no face f_i, so does each path of type (e_0); each path of type (c) contains exactly one face f_i, and each path of type $(b),(d)$ or (e_1) contains exactly two faces f_i (where a face is counted with its multiplicity, i.e. once for each dangerous vertex of f incident to it). So, $\mathtt{dgs} = \gamma + 2(\beta + \delta + \varepsilon_1)$, and hence $\alpha + \beta + \gamma + \delta + \varepsilon = \gamma + 2(\beta + \delta + \varepsilon_1)$, which gives Claim 4.

So, by Claims 1–4, we get

$$
\begin{aligned}
\mathrm{ch}^*(f) &= k - 4 - \mathtt{dgs} \cdot \frac{1}{2} - (\mathtt{fce} + \mathtt{sfe}) \cdot \frac{1}{3} - \mathtt{bad} \cdot \frac{1}{6} \\
&\geq k - 4 - \frac{\mathtt{dgs}}{2} - \frac{k - \alpha - \gamma - \varepsilon}{3} - \frac{k - 2\mathtt{dgs} + \delta + \varepsilon_1}{6} \\
&= \frac{k}{2} - 4 - \frac{\mathtt{dgs}}{6} + \frac{\alpha + \gamma + \varepsilon_0}{3} + \frac{\varepsilon_1 - \delta}{6} \\
&= \frac{k}{2} - 4 + \frac{(\alpha - \beta + \varepsilon_0) + \gamma}{6} - \frac{\delta}{3} \\
&= \frac{k}{2} - 4 + \frac{\delta + \varepsilon_1 + \gamma}{6} - \frac{\delta}{3} \\
&\geq \frac{k}{2} - 4 - \frac{\delta}{6}.
\end{aligned}
$$

According to Corollary $1(iii)$ and the reducibility of (L24), there are at least two vertices between any two paths of type (d). So, $\delta \leq \frac{k}{4}$. Therefore, one can conclude that

$$\mathrm{ch}^*(f) \geq \frac{k}{2} - \frac{k}{24} - 4 = \frac{11}{24}k - 4 \geq \frac{99}{24} - 4 > 0.$$

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